

# CO<sub>2</sub> in Earth's Ice Age Cycles

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**Summary** Earth's history is marked by episodes of large-scale continental glaciation. Most recently, beginning 3 million years ago, northern hemispheric glaciation expanded and developed cyclic variations known as the *ice age cycles*. With the 19th-century discovery of these cycles in ice extent and climate, changes in atmospheric carbon dioxide (CO<sub>2</sub>) concentration were proposed as a possible cause. Since the 1980s, scientists have produced detailed reconstructions revealing that, during ice ages, atmospheric CO<sub>2</sub> was as much as a third lower than its preindustrial concentration—enough to explain almost half of the approximately 5 °C ice age cooling by weakening the Earth's natural greenhouse effect. The consensus is that the ice age climate cycles result from cyclic changes in Earth's orbit, which redistribute sunlight between regions and seasons but do not in themselves significantly heat or cool the globe on an annual-average basis. If so, the regional and seasonal effects of orbital change must cause changes in aspects of the Earth system that then induce changes in global annual-average climate. Changes in the reflection of sunlight by the ice sheets are widely believed to have played such a role. Atmospheric CO<sub>2</sub> appears to be a second key Earth system property, and one that caused the ice age cycles to be global rather than simply regional phenomena. The ocean was likely the dominant driver of atmospheric CO<sub>2</sub> change between warm “interglacial” and cold “glacial” periods, through multiple aspects of its behavior. First, ice age cooling and other changes allowed bulk global seawater to absorb additional CO<sub>2</sub> from the atmosphere. Second, during ice ages, the ocean's “biological carbon pump” was stronger: Ocean plankton and their sinking debris more effectively removed CO<sub>2</sub> from surface waters and the atmosphere, sequestering it in the ocean interior. Polar ocean changes were key to this stronger biological pump, involving some combination of changes in biological productivity, ocean circulation, and air–sea gas exchange. Third, the net effect of these ocean changes was to enhance deep ocean CO<sub>2</sub> storage and thus to dissolve calcium carbonate sediment off the seafloor, changing the ocean's acid/base chemistry so that it absorbed additional CO<sub>2</sub> from the atmosphere. The specific polar ocean changes that drove the strengthening of the biological carbon pump and the ensuing seafloor calcium carbonate response are a topic of ongoing debate.

**Keywords:** ice age, carbon cycle, carbon dioxide, climate, ocean chemistry, Southern Ocean, biological productivity, biological pump, alkalinity

**Subjects:** Climate Systems and Climate Dynamics, Past Climates, Climate Systems and Climate Dynamics: Theoretical Foundations, Climate Systems and Climate Dynamics: Biogeochemistry

## Theory

### *From Ice Age Theory to Observations*

The discovery of widespread geologic evidence for large-scale advances of continental glaciation led 19th-century Earth scientists to advance the “Eiszeit” (Ice Age) theory (e.g., Agassiz, 1840; Charpentier, 1841; Schimper, 1837; cf. Evans, 1887; Krüger, 2013): that Earth's climate had experienced multiple ice ages (e.g., Geikie, 1874, 1896; Penck & Brückner, 1901). Key early evidence came in the form of glacial deposits and landforms as well as plant and animal fossils and remains that hinted at past changes in regional climate and vegetation cover on land (Köppen & Wegener, 1924; Summerhayes, 2019). Methods for temperature reconstruction from microfossils in deep sea sediments (Anand et al., 2003; Emiliani, 1955, 1966) then yielded a continuous record of climatic change, with alternation

between long and cold glacial stages, abrupt glacial terminations, and ensuing short and warm interglacial stages (Figure 1). The climate amelioration from the Last Glacial Maximum (LGM) to the current Holocene interglacial period may have spurred the Neolithic Revolution, with diverse human cultures transitioning to agriculture and settlement (Bar-Yosef, 2011; Betti et al., 2020; Gupta, 2004).

The water reservoir on Earth's surface is partitioned among ocean, ice sheets, and groundwater in the proportions of approximately 96%:2%:2%, respectively. During the LGM, some 20,000 years ago, as well as during other peak glacial intervals, the expansion of continental glaciation corresponded to the removal of approximately 3% of Earth's seawater volume, yielding an LGM partitioning of water of approximately 93%:5%:2% (cf. Adkins et al., 2002). The reconstructed global mean sea-level during glacial maxima was about 120–130 m lower than in the Holocene

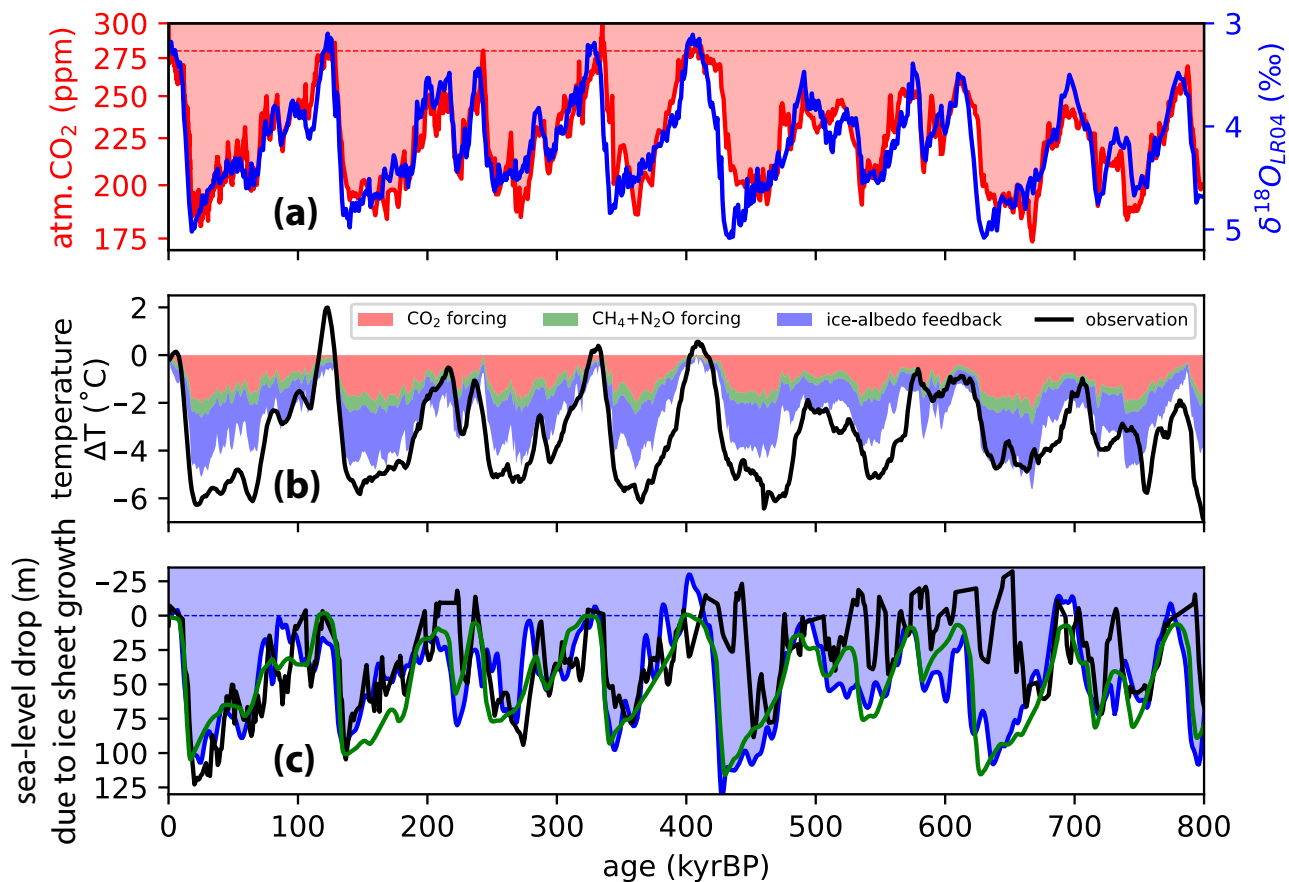
(Figure 1), causing dramatic coastal landform changes, such as the draining of the North Sea, the Bering Strait, and the Bosphorus (Batchelor et al., 2019; Clark et al., 2009; Farmer et al., 2023; Hopkins, 1959; Peltier, 2004). The Laurentide ice sheet of North America and the Fennoscandian ice sheet of Europe held most of the additional ice (Clark & Mix, 2002), but mountain glaciers also formed and expanded in diverse regions around the globe (Ehlers et al., 2011), and the Antarctic ice sheet may also have changed mass (Blackburn et al., 2020; Piccione et al., 2022; Raymo et al., 2006).

With the occurrence of ice age (or glacial) cycles broadly accepted, the search began for their cause, and many hypotheses have been proposed (De Marchi, 1895; Donn & Ewing, 1966; Ewing & Donn, 1956; Fairbridge, 1987; Weart, 2008). The following two sections address only the orbital theory and CO<sub>2</sub> theory for ice ages: The evidence

suggests that subtle changes in Earth's orbit around the Sun and resulting changes in the global greenhouse effect are required elements in explaining the timing, magnitude, and spatial footprint of ice ages as glaciologic and climatic phenomena. Albedo, Earth's reflectivity, is also central to the orbital theory and interacts strongly with the CO<sub>2</sub> theory; however, it is not addressed in detail here.

### Orbital Theory for Ice Ages

One of the earliest hypotheses to explain ice ages involves the changing solar insolation that Earth receives because of cyclic changes in Earth's rotation and orbit, which affect the orientation of Earth's spin axis relative to the direction of sunlight and the distance from the Sun (Adhémar, 1842; Croll, 1875). Early attempts to formulate an *orbital theory* for ice ages were found to contradict geologic evidence (Hann, 1908). In a major breakthrough, Milutin Milankovitch



**Figure 1. 800 kyr of coupled CO<sub>2</sub> and climate.** Records of atmospheric CO<sub>2</sub> reconstructions (red in panel a; compiled by Bereiter et al., 2015) correlate tightly with the oxygen isotopic composition (δ<sup>18</sup>O) of deep-sea benthic foraminifera (blue in panel a; Lisiecki & Raymo, 2005), which serves as a proxy measure for combined waxing and waning of continental ice sheets and cooling and warming of the deep ocean; the ice ages are downward excursions (i.e., to higher δ<sup>18</sup>O). These ice age cycles do not follow orbital forcing in a simple way, but there is strong statistical evidence that their timing (especially of glacial termination and deglaciation; Figure 9) is controlled by orbital changes in the distribution of insolation with latitude and season (Hays et al., 1976; Huybers, 2011; Huybers & Wunsch, 2005; Imbrie et al., 1984). Combining estimates of Earth's equilibrium climate sensitivity (Hegerl et al., 2007; Sherwood et al., 2020) with the radiative effect of reconstructed change in CO<sub>2</sub> and the minor greenhouse gases methane and nitrous oxide (Bereiter et al., 2015; Etmann et al., 2016; Loulergue et al., 2008; Schilt et al., 2010) and a 3-Wm<sup>-2</sup> surface albedo effect of maximum ice extent (Köhler et al., 2010) suggests that most of reconstructed global surface cooling (black in panel b; Snyder, 2016) may have been driven by CO<sub>2</sub> change as amplified by the ice albedo feedback (Equation 1), broadly consistent with reconstructed sea-level changes resulting from ice sheet advances (c; blue: Elderfield et al., 2012; green: de Boer et al., 2013, black: Rohling et al., 2014). Similar results can be obtained with numerical climate models (e.g., Ritz et al., 2011). The question of why CO<sub>2</sub> changed as reconstructed is the topic of this article. In panel b, the expected temperature effects are calculated with Equation 1 of the main text where ice sheet albedo is treated as feedback to greenhouse gas forcing, using 500-year binned greenhouse gas data.

calculated and graphed an accurate orbital solution for Earth insolation that reflected the approximately 23,000-year (23-kyr) cycle of precession of the equinox, the approximately 41-kyr cycle of rotation axis obliquity, and the approximately 100-kyr cycle of eccentricity of the orbit (Berger, 1988; Köppen & Wegener, 1924; Lourens et al., 2010; Loutre, 2003; Milankovitch, 1920, 1941; Szarka et al., 2021). Eccentricity and precession primarily shift sunlight between the seasons, while obliquity also shifts sunlight between latitude zones. The cycles in these orbital parameters, however, do not significantly alter the total sunlight that Earth receives in a year. Milankovitch, Köppen, and Wegener postulated that relatively cold summers in the Arctic would favor ice sheet growth, and they matched the summer insolation minima at 65 °N with the various ice advances that were known at the time (Milankovitch, 1930). Because there was no reliable method to independently date the age of glacial deposits from distinct periods of ice advance, the Milankovitch theory of northern summer insolation as a key driver for ice ages could not be immediately tested in a rigorous way.

Orbital solutions have since been improved and extended back in deep geologic time (e.g., Berger, 1977, 1978; Laskar, 1988; Laskar et al., 2004, 2011; Vernekar, 1972). More critically for understanding the ice ages of the last 3 million years, the development of dating techniques has led to repeated validation of the orbital theory for these ice ages. Uranium-thorium dating of marine carbonates (Broecker & Thurber, 1965; Thurber et al., 1965) revealed sea-level high stands in synchrony with warm northern hemisphere summers (Mesolella et al., 1969). Dating of the Brunhes–Matuyama reversal of Earth's magnetic field at approximately 440 kyr before present provided the first independent age control for sediment cores recovered from the deep sea (Shackleton & Opdyke, 1973), revealing the temporal fingerprint of orbital change in ocean temperature, global ice volume, and sea-level (Elderfield et al., 2012; Hays et al., 1976; Huybers, 2011; Huybers & Wunsch, 2005; Imbrie et al., 1984, 1992; Kukla et al., 1981; Lisiecki & Raymo, 2005, 2007; Raymo et al., 2006; Figure 1). The direct dating of moraines and other glacial landforms has progressed from measuring the colonization of glacial boulders by slow-growing lichens that led to the discovery of distinct glacial advances (Schimper, published posthumously in 1885; Beschel, 1950) to modern dendrochronology of tree fossils, geochemical methods on volcanic ash embedded in moraines near volcanoes such as in Patagonia and Hawai'i (Porter, 2005; Singer et al., 2013), and cosmogenic exposure dating of glacial deposits (Briner, 2011; Federici et al., 2017; Gosse & Phillips, 2001; Phillips et al., 1990, 1997; Putnam et al., 2013; Schaefer et al., 2006; Smith et al., 2005; Valletta et al., 2017) that highlight the dual importance of local and global climate change for glacial systems. Ice cores drilled and recovered from the Antarctic and Greenland ice sheets have been precisely dated and synchronized with each other and with orbital change (Bender, 2002; Bender et al., 1994, 2006; Blunier & Brook, 2001; Blunier et al., 1998; Sowers & Bender, 1995; Sowers et al., 1989; Suwa & Bender, 2008) to

yield records of changing polar climate and environmental conditions as well as changing atmospheric composition that strongly support orbital forcing of climate (EPICA Community Members, 2006; Kawamura et al., 2007).

Geologic, paleoceanographic, and ice core evidence has all but confirmed the Milankovitch theory. However, this has deepened the conundrum of how—mechanistically—modest redistribution of solar insolation between seasons and latitudes can explain the global distribution of the cooling during ice ages (e.g., Broecker, 1966; Huybers, 2011; Imbrie et al., 1993; Raymo & Huybers, 2008; Raymo et al., 2006). The widely shared understanding is that orbital changes pace the rhythm of the ice age cycles but that strong feedbacks internal to the Earth system are required to translate the nearly net-zero changes in global insolation into  $\geq 5$  °C global ice age coolings (cf., Arrhenius, 1896; CLIMAP Project Members, 1976; Crowley, 2000; Köppen & Wegener, 1924; Osman et al., 2021; Seltzer et al., 2021; Tierney et al., 2020). Albedo changes have long been considered a source of rectification and amplification of orbital changes that lead to the observed glacial cycles. The greenhouse effect, dominantly modulated by atmospheric CO<sub>2</sub>, has been identified as a second potential source.

### CO<sub>2</sub> Theory for Ice Ages

The discovery of the greenhouse effect (Fourier, 1822)—that trace gases of water vapor, CO<sub>2</sub> and methane allow incoming sunlight to pass through the atmosphere but partially block outgoing long-wave radiation to space (Foote, 1856; Tyndall, 1861)—prompted the idea that changes in atmospheric composition would cause climate change (cf., Held & Soden, 2000; Manabe & Broccoli, 2020). Water vapor in the atmosphere accounts for most of Earth's greenhouse effect. However, because evaporation and condensation of water are governed by temperature (Clapeyron, 1834; Clausius, 1850), it was understood by Arrhenius (1896) and Chamberlin (1899) that

*[if] an increase of carbon dioxide raises the temperature, it increases the quantity of water vapor and this by its thermal absorption further increases the temperature and calls forth more vapor [...]. The carbon dioxide becomes therefore the determinative factor, and the question of the thermal absorption of the atmosphere may be discussed for convenience as though it were solely dependent upon the fluctuations in the content of this constituent, although this will not be strictly exhaustive. (Chamberlin, 1899, p. 552)*

Spurred by debate after an 1894 conference talk by A. G. Högbom, Svante Arrhenius translated parts of Högbom's conference paper in his proposal that atmospheric CO<sub>2</sub> change was the cause for past climate changes from the Eocene “hot house” to the recurring ice ages (Arrhenius, 1896; cf. Crawford, 1997). However, Arrhenius left out Högbom's description of the prevailing view that atmospheric CO<sub>2</sub> is set by equilibration with the much larger

ocean carbon inventory (Högbom, 1894, translated in Berner, 1995, p. 494):

*Suppose e.g. that a sudden addition of CO<sub>2</sub>, by an amount equal to that already existing in the atmosphere, should take place in one way or another. By this act the CO<sub>2</sub> in the atmosphere would not at all be doubled because the major part of the addition would be offset by increased CO<sub>2</sub> absorption in seawater because of the increased partial pressure. Therefore, the increase of CO<sub>2</sub> in the atmosphere would be insignificant. On top of that the increase of absorption of CO<sub>2</sub> in the minerals and increase in the biosphere would make the CO<sub>2</sub> increase in the atmosphere negligible. A similar tendency to maintain the equilibrium would occur if there were a decrease of the CO<sub>2</sub> in the atmosphere.*

In comments on the dispute as to whether atmospheric CO<sub>2</sub> could readily and rapidly change so as to cause climate change, Chamberlin (1899) and Callendar (1938) presciently identified mass balance considerations and the importance of seawater carbonate chemistry in governing atmospheric CO<sub>2</sub> (Harvey, 1945; Eriksson & Welander, 1956; Revelle & Suess, 1957), as is discussed later. Gilbert Plass (Plass, 1956b, 1956c) computerized spectral calculations to demonstrate that CO<sub>2</sub> absorption of infrared radiation contributes to the greenhouse effect (Plass, 1956a). This result elevated CO<sub>2</sub>-related theories of climate and climate change, including the CO<sub>2</sub> theory for ice ages (Callendar, 1949). Ultimately, this line of inquiry led to the discovery of the ongoing CO<sub>2</sub> change caused by humans (Keeling, 1960; Pales & Keeling, 1965) and ultimately the discovery that atmospheric CO<sub>2</sub> could be reconstructed from ice age air trapped in ice core samples (Berner et al., 1978; Delmas et al., 1980; Neftel et al., 1982; Raynaud & Barnola, 1985; Raynaud et al., 1982; Stauffer et al., 1984).

These ice core studies indicated that, during the *Last Glacial Maximum* (LGM; the apparent peak of the last ice age approximately 20–30 kyr ago), atmospheric CO<sub>2</sub> was roughly 190 ppm, a 32% (~90 ppm) reduction relative to the approximately 280-ppm CO<sub>2</sub> level during the most recent preindustrial times of the *Holocene*, the current interglacial. Subsequent reconstructions progressively further back in time revealed a close and stable coupling between ice age climate cycles and atmospheric CO<sub>2</sub> over the 800-kyr reach of existing Antarctic ice cores (Barnola et al., 1987; Bereiter et al., 2015; Lüthi et al., 2008; Petit et al., 1999; Siegenthaler et al., 2005; Figure 1). This has been complemented by a progressive increase in temporal resolution of the CO<sub>2</sub> record (Ahn & Brook, 2008; Bereiter et al., 2012), especially for the time period since the last ice age (Marcott et al., 2014; Monnin et al., 2001), as well as reconstruction of changes in the stable isotopic composition (Schmitt et al., 2012) and radiocarbon content of atmospheric CO<sub>2</sub> (Reimer et al., 2020). Additionally, less direct reconstructions of atmospheric CO<sub>2</sub> yield evidence for ice age CO<sub>2</sub> cycles

extending further back in geologic time (Chalk et al., 2017; Hönisch et al., 2009; Martínez-Botí, Foster, et al., 2015; Yamamoto et al., 2022).

These data collectively support the CO<sub>2</sub> hypothesis for ice ages (e.g., Genthon et al., 1987) by providing a mechanism by which orbital changes could be, and apparently were, rectified and amplified by the CO<sub>2</sub> greenhouse effect to yield global climate change—with CO<sub>2</sub> change broadly following orbital pacing and ice sheet change broadly following CO<sub>2</sub> forcing (Figure 1). That is, Earth's radiative imbalance ( $\delta F$ ) in response to a change in greenhouse gas radiative forcing ( $F_{GHG}$ ) will raise or lower global surface temperatures so as to restore radiative balance (Equation 1a), with an equilibrium climate sensitivity ( $1/\lambda_{ECS}$ ; see List of Symbols) of roughly 3 °C warming per 4 Wm<sup>-2</sup> (Sherwood et al., 2020), and perhaps some 6% greater (Hegerl et al., 2007) when including climate feedbacks that unfold over decades (i.e., -1.26 Wm<sup>-2</sup> of radiative feedback per 1 °C of surface warming). The peak ice age reduction of CO<sub>2</sub> exerts a radiative forcing ( $F_{GHG}$ ) of -2.2 Wm<sup>-2</sup> (-2.9 Wm<sup>-2</sup> when including other reconstructed greenhouse gases; Etminan et al., 2016; Sherwood et al., 2020), which is expected to yield 2.3 °C of cooling ( $\Delta T_{GHG}$ ; Equation 1b).

$$\delta F = F_{GHG} + \lambda_{ECS} * \delta T_{GHG} = 0 \quad (1a)$$

$$\Delta T_{GHG} = \frac{F_{GHG}}{-\lambda_{ECS}/(1+0.06)} = \frac{-2.9Wm^{-2}}{1.26\frac{Wm^{-2}}{C}} = -2.3^{\circ}C \quad (1b)$$

Furthermore, including the albedo change due to ice sheet expansion as a 3 Wm<sup>-2</sup> radiative feedback per 5 °C of reconstructed peak ice age cooling ( $1/\lambda_{icesheets}$ ), 4.5 °C of cooling is estimated (Equation 1c; Köhler et al., 2010; Sherwood et al., 2020).

$$\Delta T_{GHG+ICE} = \frac{F_{GHG}}{\lambda_{ECS}/(1+0.06)+\lambda_{icesheets}} = \frac{-2.9Wm^{-2}}{1.26\frac{Wm^{-2}}{C}+3\frac{Wm^{-2}}{5C}} = -4.5^{\circ}C \quad (1c)$$

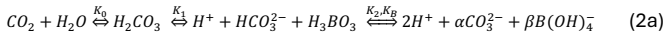
That is, the lowering of CO<sub>2</sub> during the ice ages appears to have been comparable to the increase in Earth's albedo in explaining the observed global cooling, and the total suite of observed changes appears adequate to explain the amplitude of cooling (e.g., Snyder, 2016; Tierney et al., 2020; Figure 1). What remains to be established is how—mechanistically—the redistribution of solar insolation between seasons and latitudes caused the atmospheric CO<sub>2</sub> changes. This question, an active area of research, is the main subject from hereon. As will become apparent, the ocean is central to all proposals, regardless of whether it is envisioned as the driver of the CO<sub>2</sub> change (Broecker, 1982a, 1982b; Sigman & Boyle, 2000). Thus, the treatment necessarily begins with the carbon chemistry of seawater.

## Seawater Carbon Chemistry

### Equilibria and Speciation

Our modern understanding of the inorganic chemistry of carbon in seawater (seawater carbonate chemistry) is

typically framed in terms of the concentration of inorganic carbon species (dissolved inorganic carbon, DIC) and alkalinity. DIC and alkalinity, in the context of laws of mass action and temperature- and salinity-dependent equilibrium constants, determine the concentrations of the different inorganic carbon species (Buch, 1929, 1933, 1951; Buch et al., 1932; Harvey, 1945, 1955). The laws of mass action and equilibrium constants are as follows:



$$K_0(T, S) = \frac{\text{H}_2\text{CO}_3}{\text{CO}_2} \quad (2b)$$

$$K_1(T, S) = \frac{\text{H}^+ + \text{HCO}_3^-}{\text{H}_2\text{CO}_3} \quad (2c)$$

$$K_2(T, S) = \frac{\text{H}^+ + \text{CO}_3^{2-}}{\text{HCO}_3^-} \quad (2d)$$

$$K_B(T, S) = \frac{\text{H}^+ + \text{B(OH)}_4^-}{\text{H}_3\text{BO}_3} \quad (2e)$$

where CO<sub>2</sub> refers to the carbon dioxide concentration<sup>1</sup> of air that would be in equilibrium with the seawater; H<sub>2</sub>CO<sub>3</sub>, HCO<sub>3</sub><sup>-</sup>, and CO<sub>3</sub><sup>2-</sup> are the concentrations of carbonic acid, bicarbonate ion and carbonate ion, respectively, the dissolved inorganic carbon species (Figure 2); B(OH)<sub>4</sub><sup>-</sup> and H<sub>3</sub>BO<sub>3</sub> are the concentrations of borate ion and boric acid species of seawater boron; H<sup>+</sup> is the total proton concentration; K<sub>0</sub>, K<sub>1</sub>, K<sub>2</sub>, and K<sub>B</sub> are the equilibrium constants; and α and β reflect the differential action of the carbonate buffer system and the borate buffer system, respectively, as addressed in the section “Seawater Buffering.” By convention, the equations refer to concentration—not activity—in units of moles per kilogram of seawater, μmol/kg. Also by convention, the equilibrium constants are on the total pH scale (Dickson, 1984, 1993; Dickson & Millero, 1987; Sillén, 1967; colored shading in Figure 2), which includes the small proportion of protons complexed to sulfate ion in the total concentration of protons (H<sup>+</sup>). It should be noted that there are additional weak acids and bases in seawater (e.g., those of inorganic silicon and phosphorus), the effects of which are too weak to warrant consideration here and are, thus, neglected in Equation 2. Best practices for the seawater equilibrium constants and seawater carbonate system measurements are described by Dickson et al. (2007).

### Inorganic Carbon, pH, and Alkalinity

The following is the CO<sub>2</sub> system of equations for the concentrations of CO<sub>2</sub>, carbonic acid (H<sub>2</sub>CO<sub>3</sub>), its products of deprotonation, bicarbonate and carbonate ion (HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>); boric acid and borate (H<sub>3</sub>BO<sub>3</sub> and B(OH)<sub>4</sub><sup>-</sup>); pH; total DIC; and alkalinity (ALK):

$$\text{DIC} = \left( \frac{\text{H}^+}{K_1} + 1 \right) * \text{HCO}_3^- + \text{CO}_3^{2-} \quad (3a)$$

$$\text{ALK} \cong \text{HCO}_3^- + 2 * \text{CO}_3^{2-} + \text{B(OH)}_4^- \quad (3b)$$

$$p\text{H}_T = -\log_{10}(\text{H}_T^+) = -\log_{10} \left( K_2 \frac{\text{HCO}_3^-}{\text{CO}_3^{2-}} \right) \approx -\log_{10} \left( K_2 \frac{2 * \text{DIC} - \text{ALK}}{\text{ALK} - \text{DIC}} \right) \quad (3c)$$

$$\text{CO}_2 = \frac{K_2}{K_0 * K_1} * \frac{(\text{HCO}_3^-)^2}{\text{CO}_3^{2-}} \approx \frac{K_2}{K_0 * K_1} \frac{(2 * \text{DIC} - \text{ALK})^2}{\text{ALK} - \text{DIC}} \quad (3d)$$

$$\text{B(OH)}_4^- = \frac{11.86 \mu\text{mol/kg} * S}{\frac{\text{H}_T^+}{K_B} + 1} \quad (3e)$$

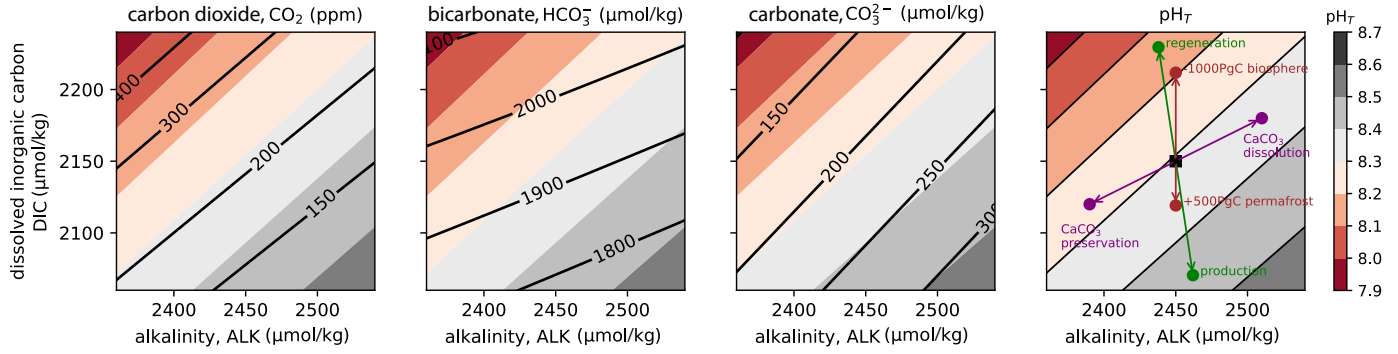
If any two of the parameters on the left are measured or known, the others can be calculated using the equilibrium constants (K<sub>0</sub>, K<sub>1</sub>, K<sub>2</sub>, K<sub>B</sub>) defined in Equation 2. For example, in Figure 2, ALK and DIC were chosen as the x- and y-ordinates and solved for CO<sub>2</sub>, HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup> and pH<sub>T</sub>. The least intuitive aspect of the seawater carbonate system is alkalinity, which is not composed of any particular element or compound; rather, alkalinity relates to the overall charge balance of all ions in seawater. Alkalinity speaks to the basic nature of seawater, which causes CO<sub>2</sub> that is dissolved in seawater to largely be deprotonated to form bicarbonate or carbonate ions. Alkalinity is defined as the amount of strong acid that must be added to seawater to titrate its pH to the point where the total proton concentration equals the bicarbonate ion concentration. This pH (roughly 4.5 in seawater; Morel & Hering, 1993) is often known as the “CO<sub>2</sub> equivalence point,” as inorganic carbon chemistry at this pH can be understood simply as the deprotonation equilibrium of dissolved CO<sub>2</sub> to yield equivalent amounts of bicarbonate ion and H<sup>+</sup> (Equations 2a, 2c), with no additional reactions. Given this definition, alkalinity is independent of DIC: Alkalinity does not change when CO<sub>2</sub> is added to or removed from seawater. Whether and how much DIC changes for a given change in ALK depends on the processes occurring. For example, when atmospheric CO<sub>2</sub> is dissolved into seawater, DIC increases while ALK is unaffected. In contrast, when CaCO<sub>3</sub> is precipitated from seawater, ALK and DIC decrease in a 2:1 ratio (see the sections “Biological Pump” and “Mean Ocean Alkalinity Change”). When a given concentration of CO<sub>2</sub> is extracted from seawater by photosynthetic growth of organic matter biomass, DIC declines by this amount, while ALK increases just slightly because of the accompanying consumption of certain nutrients (Brewer & Goldman, 1976; Figure 2; see Equation 10).

### Seawater Buffering

While seawater pH is buffered against change by the DIC species themselves, even minor pH changes greatly affect the concentration of aqueous CO<sub>2</sub>. Based on this insight (Buch et al., 1932) and the known alkalinity of the ocean, Revelle and Suess (1957) derived the sensitivity of seawater CO<sub>2</sub> concentration to incremental change in DIC at constant ALK:

$$\frac{\delta\text{CO}_2}{\text{CO}_2} = R \frac{\delta\text{DIC}}{\text{DIC}} \quad (4)$$

where R is known as the Revelle buffer factor and the prefix δ refers to a small change (differential) in the constituent of interest. Typical ocean values of R range from 8.5 in the low



**Figure 2. Inorganic carbon chemistry of seawater.** Most of the dissolved inorganic carbon (DIC; vertical axis in all panels) in seawater is in the form of bicarbonate ion (HCO<sub>3</sub><sup>-</sup>; center left) and carbonate ion (CO<sub>3</sub><sup>2-</sup>; center right), and these two species are linked by mass balance laws (Equation 2): Individual carbon species must add up to the total DIC concentration, and the net charge of anions and cations in seawater must be zero. Hence, adding DIC in the form of uncharged CO<sub>2</sub> raises HCO<sub>3</sub><sup>-</sup> and consumes CO<sub>3</sub><sup>2-</sup> but does not change the net charge of the combined DIC species (i.e., it shifts seawater upward in the plots). In contrast, increasing alkalinity (adding base and thus raising pH (right panel); toward the right in all panels) strips protons from bicarbonate to form carbonate ion, raising the net charge of the DIC species without any change in total DIC. Dissolved CO<sub>2</sub> (and its extremely scarce hydrated form, carbonic acid, H<sub>2</sub>CO<sub>3</sub>) is only a minor contributor to DIC in seawater, and its ratio with the dominant species bicarbonate is also tied to pH. For example, if DIC and/or ALK changes cause the carbonate-to-bicarbonate ratio to increase by +25%, pH will increase by approximately 0.1 (a proton concentration decrease of 20%, i.e., 10<sup>-0.1</sup>), and the ratio CO<sub>2</sub>-to-bicarbonate will decrease by about 25%. For any given values of seawater temperature and salinity, dissolved CO<sub>2</sub> determines the equilibrium CO<sub>2</sub> gas partial pressure, thereby determining the CO<sub>2</sub> concentration of the atmosphere at equilibrium (left panel). The arrows in the right panel indicate the direction of change for the production/regeneration of marine organic matter (green), preservation/dissolution of CaCO<sub>3</sub> (purple), and growth/decay of terrestrial carbon stocks (red).

latitudes to 15 in the Southern Ocean surface. This statement of finite seawater buffering was primarily motivated by the question of how easily anthropogenic carbon could raise the seawater CO<sub>2</sub> so as to allow a fraction of that added carbon to remain in the atmosphere in equilibrium. Regarding lower ice age CO<sub>2</sub> levels, for example, a 5% proportional reduction in DIC (i.e., a  $\delta\text{DIC}/\text{DIC}$  of  $-0.05$ ) causes an approximate 50% reduction in seawater CO<sub>2</sub> (i.e., a  $\delta\text{CO}_2/\text{CO}_2$  of  $-0.5$ )—sufficient to generate the amplitude of the reduction in atmospheric CO<sub>2</sub> during ice ages. This diagnosis by Revelle and Suess was subsequently extended and reformulated to yield other relevant buffer factors as fractional CO<sub>2</sub> sensitivities (Eggleston et al., 2010; Hain et al., 2018; Sarmiento & Gruber, 2006). In this buffering system, bicarbonate/carbonate contributes approximately 65% of the buffer action, and the approximately residual 35% primarily arises from the acid/base chemistry of boric acid/borate (see the factors  $\alpha$  and  $\beta$  in Equation 2a). The net effect on seawater pH and CO<sub>2</sub> primarily results from the combined buffering of both carbonate and borate, so that for every unit of CO<sub>2</sub> or other acid added to seawater, only 0.65 units (i.e., 65%) of carbonate ion are consumed (Equation 5a), a conversion that is referred to here as the carbonate proton fraction (CPF; Hain et al., 2015):

$$\frac{\delta_{\text{DIC}}\text{CO}_3^{2-}}{\delta_{\text{DIC}}} \cong -\frac{\delta_{\text{ALK}}\text{CO}_3^{2-}}{\delta_{\text{ALK}}} \cong \frac{\delta_{\text{ALK}}\text{HCO}_3^-}{\delta_{\text{ALK}}} = \text{CPF} \approx -0.65 \quad (5a)$$

$$\frac{\delta_{\text{DIC}}\text{HCO}_3^-}{\delta_{\text{DIC}}} = (1 - \text{CPF}) \approx 1.65 \quad (5b)$$

$$\frac{\delta_{\text{DIC}}\text{CO}_2}{\text{CO}_2} = \frac{\delta_{\text{HCO}_3^-\text{CO}_2}{\text{CO}_2} + \frac{\delta_{\text{CO}_3^{2-}\text{CO}_2}}{\text{CO}_2} \cong \left(2 * \frac{1-\text{CPF}}{\text{HCO}_3^-} - \frac{\text{CPF}}{\text{CO}_3^{2-}}\right) * \delta_{\text{DIC}} \approx \frac{0.66\%}{\mu\text{mol/kg}} * \delta_{\text{DIC}} \quad (5c)$$

$$\frac{\delta_{\text{ALK}}\text{CO}_2}{\text{CO}_2} \cong \left(2 * \frac{\text{CPF}}{\text{HCO}_3^-} + \frac{\text{CPF}}{\text{CO}_3^{2-}}\right) * \delta_{\text{ALK}} \approx \frac{-0.56\%}{\mu\text{mol/kg}} * \delta_{\text{ALK}} \quad (5d)$$

$$\Delta_{\text{DIC}+\text{ALK}}\ln\text{CO}_2 = \Delta_{\text{DIC}}\ln\text{CO}_2 + \Delta_{\text{ALK}}\ln\text{CO}_2 =$$

$$\int \frac{0.66\%}{\mu\text{mol/kg}} * \delta_{\text{DIC}} + \int \frac{-0.56\%}{\mu\text{mol/kg}} * \delta_{\text{ALK}} \quad (5e)$$

$$\text{CO}_2 = \text{CO}_2^{\text{initial}} * e^{\left(\frac{0.66\%}{\mu\text{mol/kg}} * \delta_{\text{DIC}} + \frac{-0.56\%}{\mu\text{mol/kg}} * \delta_{\text{ALK}}\right)} \quad (5f)$$

where  $\delta_{\text{DIC}}$  and  $\delta_{\text{ALK}}$  refer to differential changes in DIC or ALK and their resulting differential changes in bicarbonate, carbonate ion, or CO<sub>2</sub> are referred to with subscripted DIC or ALK, respectively ( $\delta_{\text{DIC}/\text{ALK}}\text{HCO}_3^-$ ,  $\delta_{\text{DIC}/\text{ALK}}\text{CO}_3^{2-}$ , or  $\delta_{\text{DIC}/\text{ALK}}\text{CO}_2$ ). An increase in bicarbonate ion due to the addition of DIC or acid (Equation 5b) is approximately balanced by a change in carbonate ion (Equation 5a), so as to obey the constraint of total DIC (see Equation 3a), yielding the full set of partial derivative “sensitivities” of the carbonate buffer species that determine seawater CO<sub>2</sub>. Similar to Revelle’s expression, the quotient rule is applied to CO<sub>2</sub> (Equation 3d) and then the species sensitivities (Equations 5a–5b) are substituted in, which yields fractional CO<sub>2</sub> change as approximate linear functions of DIC and ALK change (Equation 5c, 5d). The fractional CO<sub>2</sub> effects of changes in DIC and ALK are additive (Equation 5e), with compounding exponential effects on absolute CO<sub>2</sub> (Equation 5f; see also Hain et al., 2018; Marinov, Follows, et al., 2008; Marinov, Gnanadesikan, et al., 2008). Equations 5a–5f refer to the carbon chemistry of seawater before considering equilibrium CO<sub>2</sub> transfers between the global ocean and the atmosphere, which is done in the next section. To clarify the key consequence of Equation 5f, changes in DIC and ALK that individually lower CO<sub>2</sub> by 10% and 20%, respectively, compound to a new CO<sub>2</sub> that is 72% (i.e., 80% of 90%) of the original value. As will be shown, the main effects on ice age CO<sub>2</sub> all cause fractional changes that must be compounded (Equations 5e, 5f), as

opposed to the intuitive and common approach of summing distinct effects on atmospheric CO<sub>2</sub> in absolute ppm terms. In Tables 1–3, a carbonate chemistry solver is used to compute the capacity of different mechanisms to effect CO<sub>2</sub> change, reported in both fractional terms and in terms of absolute concentration (i.e., CO<sub>2</sub> mixing ratio in units of ppm). These results agree well with the approximate analytical solutions (Equations 7–10, 13, 15, 18). Thus, the approximate solutions are used in the discussion below of the chemical basis of different CO<sub>2</sub> effects and the different hypotheses for ice age CO<sub>2</sub> drawdown, while the more accurate carbonate chemistry solver is used in Tables 1–3.

### Air–Sea CO<sub>2</sub> Exchange

In the 1950s, based on the rate with which radiocarbon (<sup>14</sup>C) from aboveground nuclear testing was disappearing from the atmosphere, it became apparent that the entire CO<sub>2</sub> inventory of the atmosphere is exchanged with surface ocean DIC in roughly 5–10 years (Bolin, 1960; Craig, 1957; Revelle & Suess, 1957), indicating that gas exchange is adequately rapid to keep the atmosphere in approximate CO<sub>2</sub> equilibrium with the globally averaged surface ocean. Changes in the DIC, ALK, temperature, or salinity of ocean surface water would change its CO<sub>2</sub> concentration, causing a CO<sub>2</sub> disequilibrium between ocean and atmosphere that then drives net air–sea exchange, which repartitions the CO<sub>2</sub> change between ocean and atmosphere:

$$N_{atm} * \Delta_A CO_2 = -M_{oc} * \Delta DIC = -M_{oc} * \frac{DIC}{R * CO_2} * \Delta_O CO_2 \quad (6a)$$

$$\Delta_{O-A} CO_2 = \Delta_A CO_2 - \Delta_O CO_2 = \left(1 + R * \frac{N_{atm} CO_2}{M_{oc} DIC}\right) * \Delta_A CO_2 \quad (6b)$$

$$\frac{\Delta_A CO_2}{\Delta_{O-A} CO_2} = \left(1 + R * \frac{N_{atm} CO_2}{M_{oc} DIC}\right)^{-1} = \Phi \cong 85\% \quad (6c)$$

where  $\Delta_{O-A} CO_2$  represents an initial CO<sub>2</sub> disequilibrium between ocean and atmosphere (with a positive value indicating a higher CO<sub>2</sub> in the surface ocean than in the atmosphere),  $\Delta_O CO_2$  is the CO<sub>2</sub> change of the ocean during equilibration,  $\Delta_A CO_2$  is the total CO<sub>2</sub> change realized in the atmosphere during equilibration, and R is the Revelle buffer factor of the seawater as identified in Equation 3. The terms  $N_{atm}$  and  $M_{oc}$  refer to the number of gas molecules in the atmosphere and the mass of the ocean,  $1.773 \times 10^{20}$  mol and  $1.4 \times 10^{21}$  kg, respectively (see Table A.1 in Sarmiento & Gruber, 2006). Based on the preceding discussion, if the preindustrial atmosphere was “magically” reduced from 280 to 190 ppm (i.e., to LGM CO<sub>2</sub> levels), the resulting disequilibrium ( $\Delta_{O-A} CO_2$ ) of +90 ppm would be resolved by ocean CO<sub>2</sub> release that adds +76.5 ppm of CO<sub>2</sub> back to the atmosphere (i.e., 85%, identified as  $\Phi$ ), yielding a final atmospheric CO<sub>2</sub> decline of only –13.5 ppm, approximately 15% ( $1 - \Phi$ ) of the initial “magic” atmospheric CO<sub>2</sub> decline.

Likewise, if one unit of carbon was suddenly added to the atmosphere, the “airborne fraction” (Keeling, 1973) of that carbon after equilibration with the bulk ocean would be approximately 15% (Maier-Reimer & Hasselmann, 1987),

corresponding to an effective value for R of 10. Alternatively, if the typical Southern Ocean value for R of 14 is assumed, the estimate of the airborne fraction would increase to about 20%. Since this factor simply accounts for the relative size of ocean and atmosphere carbon reservoirs (i.e.,  $N_{atm}/M_{oc}$  term) and seawater buffering (R), it applies to disequilibria regardless of their cause, not just to the airborne fraction of net CO<sub>2</sub> emissions to the atmosphere. For example, if the chemistry of the ocean were magically changed so that the CO<sub>2</sub> of the surface ocean was reduced from 280 to 190 ppm, after equilibration, both the ocean and the atmosphere will have experienced 85% of the initial 90-ppm CO<sub>2</sub> reduction. The helpful approximation of  $\Phi = 0.85$  is used to account for the finite buffering of seawater in the assessment of different ocean-based mechanisms for driving atmospheric CO<sub>2</sub> change (i.e., the factor is included in Tables 1–3 and Figures 3, 6). Importantly, the buffering described here does not include the tendency of the whole ocean to change its alkalinity in response to CaCO<sub>3</sub> cycle changes, which is discussed next.

### Ocean Alkalinity Budget

Alkalinity is a measure of the history of the net addition of acids and bases to seawater, framed in terms of hypothetical strong acids and bases. In seawater, 546mM HCl + 28mM H<sub>2</sub>SO<sub>4</sub> is hypothetically neutralized by 469mM NaOH + 53mM Mg(OH)<sub>2</sub> + 10mM Ca(OH)<sub>2</sub> + 10mM KOH and then concentrated in the ocean by evaporation. As a result, the positive charge from cations (Na<sup>+</sup>, Mg<sup>2+</sup>, Ca<sup>2+</sup>, K<sup>+</sup>) exceeds the negative charge of hard anions (Cl<sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, excluding soft ions such as HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>, B(OH)<sub>4</sub><sup>-</sup>) by about 2.3 mM—mean ocean alkalinity—with about 35 g dissolved sea salt per kilogram (i.e., roughly per liter) of seawater (For more convenient formulations of seawater-like solutions, see, e.g., Harrison et al., 1980). That is, the weak acid carbonic acid is largely neutralized to the deprotonated forms, bicarbonate and carbonate ion, thereby accounting for almost all the ocean's 38,000 PgC carbon storage. This has raised the ongoing research question of how changes in ocean alkalinity during ice ages may have contributed to atmospheric CO<sub>2</sub> change (Archer & Maier-Reimer et al., 1994; Berger, 1982a, 1982b; Broecker, 1982a, 1982b; Broecker & Peng, 1989; Cartapanis et al., 2016; Hain et al., 2010; Sigman et al., 1998; Toggweiler, 1999; cf. Arrhenius, 1896; Högbom, 1894).

A change in the ocean's mean alkalinity concentration can occur due to (a) addition/removal of water from the ocean associated with ice sheet melting/growth or (b) net addition/removal of acid or base from seawater by exchange with the solid Earth. The first driver is considered to be a *closed system* change because the quantity of solute alkalinity in the seawater is constant, with the ocean alkalinity concentration change achieved simply by the reversible movement of water (solvent) between the ocean and cryosphere. In contrast, the second driver is considered to be an *open system* change because it arises from temporary imbalances between alkalinity input primarily

from weathering and alkalinity removal dominated by the burial of  $\text{CaCO}_3$  on the seafloor. This same distinction between open and closed system changes can also be applied to carbon. In this case, the relevant carbon reservoir would be the combined carbon inventories of the ocean and the atmosphere, and open system processes would include net land organic carbon changes, organic carbon burial in sediments, and weathering of geologic carbon, as well as the carbon fluxes that come with  $\text{CaCO}_3$  weathering and burial (Hain et al., 2024).

Earth's natural  $\text{CaCO}_3$  cycle dominates the open system changes in alkalinity: The primary input of alkalinity to the ocean is by the weathering of limestone on land, with the weathering products brought to the ocean by rivers. This is balanced by a loss of ocean alkalinity through  $\text{CaCO}_3$  precipitation by calcifying marine organisms in the surface ocean followed by preservation and burial on the seafloor. Importantly, most  $\text{CaCO}_3$  precipitation in the ocean is followed by dissolution of this same  $\text{CaCO}_3$ . Thus, the factors controlling  $\text{CaCO}_3$  preservation and burial as opposed to redissolution are critical to the dynamics of ocean alkalinity. A substantial fraction of newly precipitated  $\text{CaCO}_3$  is preserved and buried on the shallow seafloor, with the resulting burial flux of  $\text{CaCO}_3$  ultimately removing alkalinity from the ocean, transferring its chemical constituents to the solid Earth. In contrast, a larger fraction of the  $\text{CaCO}_3$  is dissolved prior to burial on the deeper seafloor, with very little being preserved and buried on seafloor deeper than 4 km. In terms of chemical accounting, treating this dissolution of marine  $\text{CaCO}_3$  as the prevention of alkalinity loss from the ocean, not as an additional input of alkalinity to the ocean, is favored here.

A long-term balance between weathering and burial of alkalinity is maintained by a negative feedback involving the saturation state of  $\text{CaCO}_3$  in the deep ocean, as described below in section "Lysocline Constraints". In this feedback, any surplus/deficit of weathering relative to burial would raise/lower global ocean alkalinity and thus the  $\text{CaCO}_3$  saturation of the deep ocean, causing burial to increase/decrease to remove the imbalance between weathering and burial. As a consequence, when considering specific hypotheses for ice age atmospheric  $\text{CO}_2$  drawdown, the effects on atmospheric  $\text{CO}_2$  of both "closed system" alkalinity change and the "open system" change in alkalinity that stems from  $\text{CaCO}_3$  weathering and burial reaching long-term alkalinity mass balance must be taken into account.

## Effects on Atmospheric $\text{CO}_2$

### Terrestrial Carbon Storage

The reservoir of organic carbon in the terrestrial biosphere includes both living and dead biomass, such as in trees and soil, respectively, and is estimated at roughly 2,500 PgC (see Intergovernmental Panel on Climate Change, 2000). Among biomes, forests are the single greatest contributor (1,150 PgC), with about 560 PgC in boreal forests (9% of land area), 430 PgC in tropical forests (12% of land area), and 160 PgC in

temperate forests (7% of the land area), alongside tropical savannas (330 PgC, 15%), temperate grasslands (300 PgC, 8%), wetlands (240 PgC, 2%; or higher Yu et al., 2021), and another 460 PgC in all other land biomes combined (47% of land area). The predominance of data and model results indicates that less carbon was stored on land during the LGM than in the early 21st century (Adams et al., 1990; Crowley, 1991, 1995; Duplessy et al., 1988; Jeltsch-Thömmes et al., 2019; Prentice et al., 1993; Shackleton, 1977).

In addition to these active biospheric carbon pools, there is calculated to be a large carbon pool associated with permafrost. The top 3 m of permafrost soils of the arctic and boreal regions are thought to contain approximately 1,000 PgC (Hugelius et al., 2014), with another 200–1,000 PgC stored at greater depth and in subsea permafrost (Schuur et al., 2015). Permafrost conditions help preserve and accumulate organic carbon over long timescales, yielding a distinct organic carbon reservoir that responds differently to climatic drivers than the combined ecosystems that make up the terrestrial biospheric carbon reservoir. In contrast to the terrestrial biosphere, the size of permafrost carbon stocks during the LGM appears to have been greater than in the Holocene (Crichton et al., 2016; Köhler et al., 2014; Winterfeld et al., 2018).

If the land carbon inventory was to decline by a small differential amount (negative  $\delta C_{\text{Land}}$ ), that carbon would be released to the atmosphere as  $\text{CO}_2$ , and it would then equilibrate with the ocean DIC reservoir. The resulting inorganic carbon partitioning is dictated by mass balance (Equation 6a; cf. Högbom, 1894) and by 6-to-1 equilibration (i.e., 6 of 7, or 0.85;  $\Phi$ ) between the ocean ( $\delta \text{DIC}$ ) and atmosphere ( $\delta \text{CO}_2$ ; Equation 6b; Keeling, 1973; Maier-Reimer & Hasselmann, 1987; Revelle & Suess, 1957). When it comes to integrating these three differentials (Equation 7a;  $\delta \text{CO}_2$ ,  $\delta \text{DIC}$ ,  $\delta C_{\text{Land}}$ ) for a substantial change in land carbon storage, Equation 6c can be used to compute the realized ocean DIC change (Equation 7b), and it can be combined with Equation 5c to compute the resulting  $\text{CO}_2$  change (Equation 7c). For example, reducing the land carbon reservoir from 2,500 to 2,400 PgC (negative  $\delta C_{\text{Land}}$ ) raises atmospheric  $\text{CO}_2$  by 3.4% (Equation 7d).

$$\delta C_{\text{Land}} = (-N_{\text{atm}} * \delta \text{CO}_2 - M_{\text{oc}} * \delta \text{DIC}) * \frac{12 \text{ gC}}{\text{mol}} \quad (7a)$$

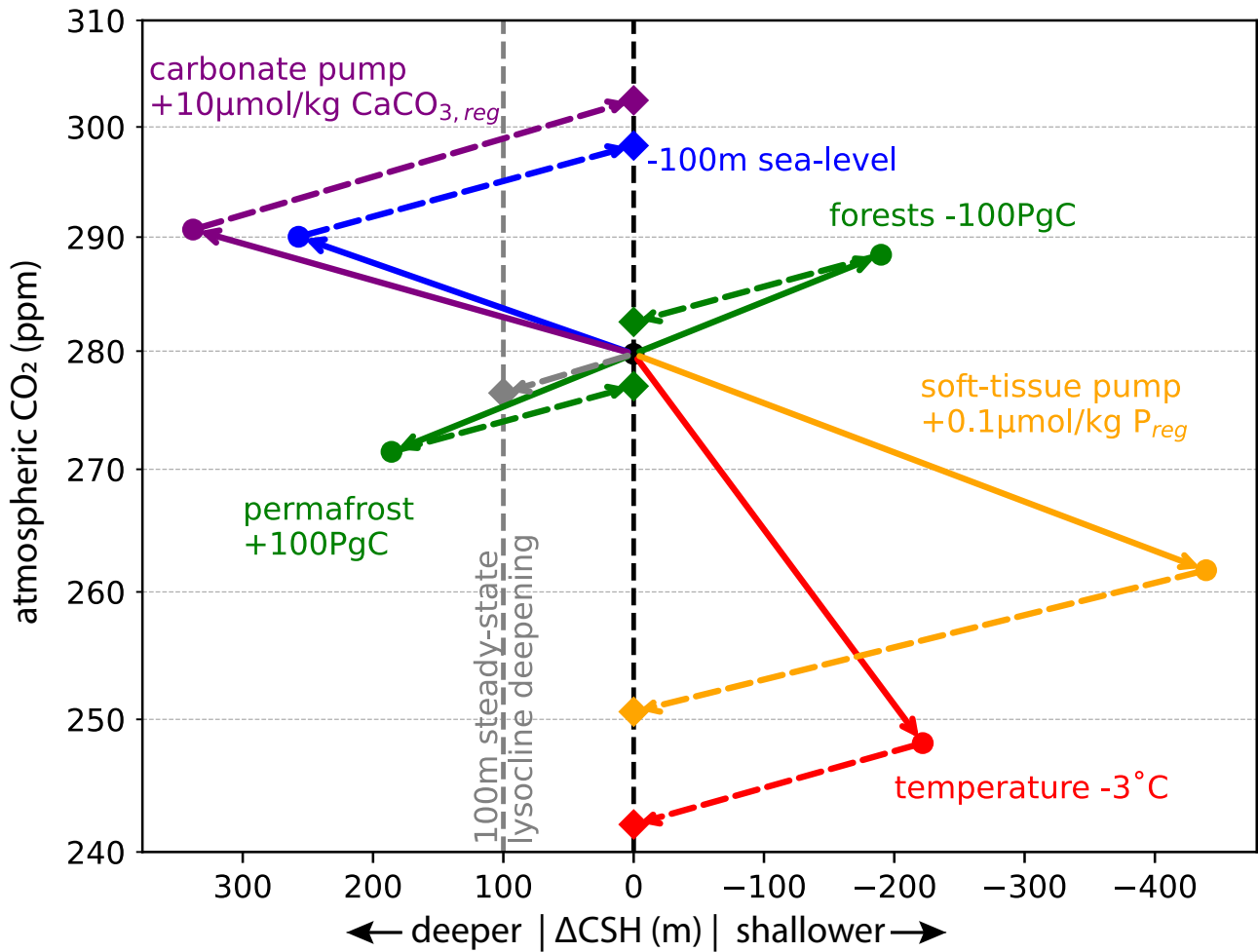
$$\delta_{\text{DIC}} \text{CO}_2 = -\Phi * \frac{\text{mol}}{12 \text{ gC}} * \delta C_{\text{Land}} \quad (7b)$$

$$\frac{\delta_{\text{Land}} \text{CO}_2}{\text{CO}_2} = \Phi * \frac{\text{mol}}{12 \text{ gC}} * \frac{\delta C_{\text{Land}}}{\delta_{\text{Land}} \text{DIC}} * \frac{-\delta_{\text{DIC}} \text{CO}_2}{\text{CO}_2} = \Phi * \frac{-3.9\%}{100 \text{ PgC}} * \delta C_{\text{Land}} \quad (7c)$$

$$\Delta_{\text{Land}} \ln \text{CO}_2 \approx \Phi * \int_{2500 \text{ PgC}}^{2400 \text{ PgC}} \frac{-3.9\%}{100 \text{ PgC}} * \delta C_{\text{Land}} = +3.4\% \quad (7d)$$

In Equation 7,  $\delta \text{CO}_2$  refers to the change in  $\text{CO}_2$  concentration in either the atmosphere or the surface ocean because the two are assumed to be in equilibrium. Based on the preceding, 6 of 7 carbon atoms absorbed by an expansion of the land carbon reservoirs ultimately come from the ocean





**Figure 3. Sensitivities of CO<sub>2</sub> to carbon cycle changes.** Overview of the CO<sub>2</sub> responses caused by changes in land carbon storage (green), ocean temperature (red), the volume of the ocean as affected by land ice (i.e., sea-level, blue), and the soft-tissue (orange) and carbonate (purple) components of the biological pump. These changes have distinct direct exponential effects on CO<sub>2</sub> (*y*-axis is logarithmic) as well as on CaCO<sub>3</sub> saturation in the deep ocean (i.e., linear effect on the depth of the calcite saturation horizon [CSH], on the *x*-axis), which are shown with solid arrows. Dashed arrows indicate CO<sub>2</sub> and CSH change caused by transient differences between CaCO<sub>3</sub> weathering and burial, as part of the carbonate compensation response that acts to restore a balanced ocean alkalinity budget. A deepening of the steady-state lysocline (and thus the CSH), for example, in response to reduced CaCO<sub>3</sub> burial on the continental shelves, is shown in grey. The indirect CO<sub>2</sub> effect from carbonate compensation generally magnifies the direct CO<sub>2</sub> effects, except for land carbon changes, which are nearly canceled by carbonate compensation. The mean ocean temperature, salinity, DIC, and ALK are taken from Table A.3 in Sarmiento and Gruber (2006), and carbonate chemistry is solved using PyCO<sub>2</sub>sys (Humphreys et al., 2022) assuming a constant  $\Phi$  of 0.85 to account for equilibration with the atmosphere. For the soft-tissue and carbonate pump, regenerated DIC and ALK are assumed to be concentrated in the deeper half of the ocean ( $X_{STP} = X_{CP} = 2$ ; Equations 16d, 16e). Detailed results are given in Table 1.

( $\Phi = 85\%$ ), and only 1 of 7 comes from the atmosphere ( $1 - \Phi = 15\%$ ) when assuming an *R* of 10 in Equation 6c; or 4 of 5 partitioning ( $\Phi = 80\%$ ) when assuming an *R* of 14, which is more appropriate for the Southern Ocean. Conversely, a sudden land carbon release of 100 PgC might instantaneously raise CO<sub>2</sub> by as much as 47 ppm, but 6 of 7 of those CO<sub>2</sub> molecules will be absorbed by the ocean, such that the equilibrium CO<sub>2</sub> change after a few centuries is only about +8 ppm (+3%, Table 1, Figure 3). In this latter case,  $1 - \Phi$  can be identified as the “airborne fraction” of anthropogenic fossil fuel carbon emissions, the fraction that will remain in the atmosphere after equilibration with the bulk ocean (Joos et al., 2013; Keeling, 1973; Maier-Reimer & Hasselmann, 1987), when appropriately accounting for changes in land carbon storage (Gloor et al., 2010). This general differential partitioning of added carbon between

ocean and atmosphere can be framed either as the fractional sensitivity of CO<sub>2</sub> ( $\delta_{Land}CO_2/CO_2$ ) to a differential change in land carbon storage ( $\delta C_{Land}$ ) in Equation 7c, or as the integrated fractional CO<sub>2</sub> change ( $\Delta_{Land} \ln CO_2$ ) resulting from a large change in land carbon ( $\Delta C_{Land}$ ; i.e., based on the integration bounds) in Equation 7d. In the rest of this article, this same notation is used, where the differential change in causative factor *X* ( $\delta X$ ) integrates to some larger change ( $\Delta X$ ), where the differential fractional sensitivity of CO<sub>2</sub> to *X* ( $\delta_X CO_2/CO_2$ ) integrates to some proportional atmospheric CO<sub>2</sub> change ( $\Delta_X \ln CO_2$ )—hence the logarithmic CO<sub>2</sub> axis in Figures 3 and 6. Further below, it is described how the ocean acidification from such land carbon release would drive transient CaCO<sub>3</sub> dissolution to raise ocean alkalinity such that only about 1 of 15 of the CO<sub>2</sub> molecules would ultimately remain in the atmosphere.

**Table 1. Sensitivity of Atmospheric CO<sub>2</sub> to Earth System Changes**

forcing	effect	value	direct CO <sub>2</sub>	compensated CO <sub>2</sub>	ΔCaCO <sub>3</sub>	direct ΔCSH	compensated ΔCSH	mean ocean DIC	mean ocean ALK	deep ocean O <sub>2</sub>
			(ppm)	(ppm)	(μmol/kg)	(m)	(m)	(μmol/kg)	(μmol/kg)	(μmol/kg)
modern reference			280	280	+0	3656	3656	2249	2364	165
land C uptake		100 PgC	271 (-3%)	277 (-1%)	-5	186	0	2238	2353	165
land C release		-100 PgC	288 (+3%)	283 (+1%)	+5	-190	-0	2260	2375	165
temperature	DIC speciation (K <sub>0</sub> , K <sub>1</sub> , K <sub>2</sub> )	-3.0 °C	248 (-11%)	248 (-11%)	+0	0	0	2249	2364	191
temperature	CaCO <sub>3</sub> saturation (K <sub>sp</sub> )	-3.0 °C	280 (0%)	273 (-2%)	+7	-222	0	2256	2377	165
temperature	combined	-3.0 °C	248 (-11%)	242 (-13%)	+7	-222	0	2256	2377	191
ice volume	DIC, ALK, & AOU concentration	-100 m	284 (+1%)	290 (+4%)	-6	192	0	2305	2418	161
ice volume	salinity & Ca concentration	-100 m	286 (+2%)	284 (+2%)	+1	-46	-0	2250	2367	163
ice volume	seafloor pressure (K <sub>sp</sub> )	-100 m	280 (+0%)	283 (+1%)	-3	110	0	2246	2358	165
ice volume	all combined	-100 m	290 (+4%)	298 (+7%)	-8	257	0	2303	2414	159
lysocline change	steady-state deepening	+100 m	280 (+0%)	276 (-1%)	+3	-11	100	2252	2370	165
biological pump	organic matter (P <sub>reg</sub> )	+0.1 μmol/kg	262 (-6%)	251 (-10%)	+12	-439	-0	2261	2389	131
biological pump	regenerated CaCO <sub>3</sub>	+10 μmol/kg	291 (+4%)	302 (+8%)	-10	338	0	2239	2344	165

*Note:* The sensitivities of atmospheric CO<sub>2</sub> and ocean carbonate chemistry are based on known principles of seawater carbon chemistry, can be derived analytically, and computed without analytical approximation using established carbon chemistry solver software (PyCO2sys was used to compute this table; Humphreys et al., 2022). From a modern reference with a preformed CO<sub>2</sub> of 280 ppm and a calcite saturation horizon (CSH) at 3,656 m depth, the isolated sensitivities are computed for each of the main forcings on atmospheric CO<sub>2</sub>: land carbon storage, mean ocean temperature, ice and ocean volume, as well as the effect of the biological pump to sequester respired soft-tissue carbon and re-dissolved CaCO<sub>3</sub> alkalinity. (1) The “direct effect” and (2) the “compensated (i.e., net) effect” are distinguished. The “direct CO<sub>2</sub> effect” is the atmospheric CO<sub>2</sub> change due only to the immediate forcing factor, whereas the “compensated CO<sub>2</sub> effect” includes the direct effect and the atmospheric CO<sub>2</sub> change caused by resulting “open-system” changes in mean ocean alkalinity, assuming that the CSH is perfectly restored back to the reference depth by net addition or removal of dissolved CaCO<sub>3</sub> (as per dashed arrows in Figure 3). The exception is “lysocline change,” in which an imposed increase in CaCO<sub>3</sub> rock weathering on land (or a reduction in CaCO<sub>3</sub> burial on the continental shelves or in the CaCO<sub>3</sub> rain rate out of the surface ocean) adds “dissolved CaCO<sub>3</sub>” (ΔCaCO<sub>3</sub>) to the ocean, deepening the CSH by 100 m. “Direct” and “compensated” ΔCSH refer to the change in CSH depth due to the indicated forcing prior to and after the response of the ocean’s CaCO<sub>3</sub> fluxes (positive being a deepening). A positive value for ΔCaCO<sub>3</sub> indicates the net addition of dissolved CaCO<sub>3</sub> to the ocean, due to a temporary excess in CaCO<sub>3</sub> rock weathering relative to CaCO<sub>3</sub> burial in the ocean. For the forcings involving the biological pump, two-fold deep ocean concentration factors are assumed for the storage of regenerated phosphorus and alkalinity (X<sub>STP</sub> and X<sub>CP</sub> in Equations 16e, 16f in Box 2), as appears appropriate for changes in the Southern Ocean. Percentages in the CO<sub>2</sub> columns refer to the proportional change in atmospheric CO<sub>2</sub> relative to the reference value of 280 ppm. The reference deep ocean conditions are based on mean ocean temperature, salinity, dissolved inorganic carbon (DIC) and alkalinity (ALK) from Table A.3 in Sarmiento and Gruber (2006). The reference preformed CO<sub>2</sub> (Hain et al., 2010) is based on the same mean ocean properties but adjusted for respired organic matter as measured by mean ocean apparent oxygen utilization from the same Table A.3, and further adjusted for re-dissolved CaCO<sub>3</sub> assuming a global mean ALK<sub>reg</sub> of 80 μmol/kg, accounting separately for the action of the soft-tissue and carbonate components of the biological pump (see Box 1).

### Temperature Effect

The solubility of CO<sub>2</sub> (K<sub>0</sub>) and the first and second deprotonation constants of carbonic acid (K<sub>1</sub>, K<sub>2</sub>) are all significantly sensitive to temperature (Equation 2). With cooling, K<sub>0</sub> increases (CO<sub>2</sub> becomes more soluble) while K<sub>1</sub> and K<sub>2</sub> decrease (carbonic acid and bicarbonate tend to deprotonate less). As a result, effective CO<sub>2</sub> solubility (K<sub>0</sub> times K<sub>1</sub> in Equation 3d) is relatively insensitive to cooling, while seawater pH (Equation 3c) rises substantially due to decreasing K<sub>2</sub>. Hence, the K<sub>0</sub>, K<sub>1</sub>, and K<sub>2</sub> terms compound to yield proportionally equivalent changes in dissolved CO<sub>2</sub> and H<sup>+</sup>, with a differential temperature (δT) change causing a linear change in pH and a fractional change in CO<sub>2</sub> (Hain et al., 2018):

$$\frac{\delta_T CO_2}{CO_2} \cong \Phi * \left( \frac{1}{K_2} \frac{\delta_T K_2}{\delta T} - \frac{1}{K_0} \frac{\delta_T K_0}{\delta T} - \frac{1}{K_1} \frac{\delta_T K_1}{\delta T} \right) * \delta T \approx \Phi * \frac{4\%}{^\circ C} * \delta T \quad (8a)$$

Following Equation 8a, for each degree of cooling, seawater CO<sub>2</sub> declines by approximately 4% such that atmospheric CO<sub>2</sub> must be transferred into the ocean to return to air–sea equilibrium. For a global mean ocean cooling of 3 °C, such as from 4 to 1 °C into the LGM, the percentage change in atmospheric CO<sub>2</sub> is thus approximated as

$$\Delta_T \ln CO_2 \approx \Phi * \int_{4^\circ C}^{1^\circ C} \frac{4\%}{^\circ C} \delta T = -10\% \quad (8b)$$

This approximate solution—a 10% (–27 ppm) CO<sub>2</sub> reduction predicted for a 3 °C cooling—is in good agreement with a more accurate calculation with a carbon chemistry solver (–11%, –32 ppm; see Table 1) and with numerical carbon cycle model integrations yielding a 21- to 30-ppm CO<sub>2</sub> decrease when forced with reconstructed LGM sea surface temperatures (Kohfeld & Ridgwell, 2009, and references therein). These estimates do not yet take into account the

effect of temperature on the expected response of global mean ocean alkalinity, which slightly magnifies the CO<sub>2</sub> decline per cooling.

### Ocean Volume Effect

Ice ages involve the large-scale sequestration of water into continental ice sheets, and the repeated removal and release of that water from the ocean is the principal driver for reconstructed oscillations in sea-level, with high stands similar to the modern sea-level (Kopp et al., 2013) and glacial low stands up to 135 m below current (e.g., Bard et al., 1990; Elderfield et al., 2012; Fairbanks, 1989; Gowan et al., 2021; Grant et al., 2012; Lambeck et al., 2014; Figure 1). Any such closed system change would have predictable consequences for global mean seawater carbonate chemistry and atmospheric CO<sub>2</sub>, as well as a host of less predictable environmental effects on carbon cycling in the coastal zone as it shifts onto and off the continental shelf. As to the direct *ocean volume effect* on CO<sub>2</sub>, 100 m of sea-level lowering (i.e.,  $\delta SL$  of  $-100$  m) multiplied by the ocean's area ( $A_{oc}$ ) corresponds to an approximately 2.7% reduction in ocean volume ( $\delta V_{oc}/V_{oc}$ ). With less water in the ocean, the concentration of solutes increases proportionally (Equation 9a) to cause two separable effects of approximately equal importance for CO<sub>2</sub>. First, the salinity ( $S$ ) of seawater rises by almost 1 salinity unit (a  $\delta S/S$  of  $+2.7\%$ , including a  $+2.7\%$  increase in total boron), with effects on the carbonate chemistry equilibrium constants— $K_0$ ,  $K_1$ , and  $K_2$  in Equations 2 and 9b—that raise CO<sub>2</sub> by about  $+6$  ppm ( $+2.15\%$ , Table 1). Second, ocean DIC and alkalinity are concentrated by approximately 2.7%, with the effect of increasing carbonic acid, bicarbonate, carbonate, borate, and boric acid proportionally by 2.7%. This, by itself, raises CO<sub>2</sub> by  $+4$  ppm (i.e.,  $+1\%$ , Table 1; the  $\delta S/S$  term in Equation 9b includes the effect of the  $+2.7\%$  increase in borate alkalinity; see also Equations 5c and 5d).

$$\frac{\delta V_{oc}}{V_{oc}} = \frac{A_{oc}}{V_{oc}} * \delta SL \approx \frac{\delta m_{oc}}{m_{oc}} = -\frac{\delta S}{S} = -\frac{\delta DIC}{DIC} = -\frac{\delta ALK}{ALK} \quad (9a)$$

$$\begin{aligned} \frac{\delta_S CO_2}{CO_2} &\approx \Phi * \left( \frac{1}{K_2} \frac{\delta_S K_2}{\delta S} - \frac{1}{K_0} \frac{\delta_S K_0}{\delta S} - \frac{1}{K_1} \frac{\delta_S K_1}{\delta S} + \frac{1}{S} \right) * \delta S \\ &= \Phi * \left( 2.15\% + \frac{1}{S} \right) * \delta S \approx 4\% * \delta S \end{aligned} \quad (9b)$$

The combined fractional CO<sub>2</sub> effects of higher salinity and carbonate and borate species concentrations (Equation 9b) can be reframed as a linear function of ocean volume change and sea-level change (Equation 9c), which is useful because sea-level reconstructions (e.g., Figure 1) are the primary observational constraint on ocean volume changes. Integrating this sea-level CO<sub>2</sub> sensitivity while accounting for equilibration with the atmosphere ( $\Phi = 0.85$ ; Equation 6) results in a  $+10$  ppm ( $+4\%$ ) atmospheric CO<sub>2</sub> increase for 100 m of sea-level lowering (Equation 9d, where  $S = 34.7$ , ocean area  $A_{oc}$  is  $3.58 \times 10^{14}$  m<sup>2</sup>, and ocean volume  $V_{oc}$  is  $1.34 \times 10^{18}$  m<sup>3</sup>; Table A.1 in Sarmiento & Gruber, 2006). To clarify the  $\delta S/S$  term: (a) raising total boron without alkalinity change lowers

pH and raises CO<sub>2</sub>, (b) proportionally raising total boron and borate alkalinity has no effects on pH and CO<sub>2</sub>, (c) proportionally raising DIC and carbonate alkalinity has no effect on pH but HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup> and CO<sub>2</sub> all rise by  $\delta S/S$ .

$$\frac{\delta_{SL} CO_2}{CO_2} = \Phi * (-2.15\% * S - 1) * \frac{\delta V_{oc}}{V_{oc}} \approx \Phi * -1.75 * \frac{A_{oc}}{V_{oc}} * \delta SL \quad (9c)$$

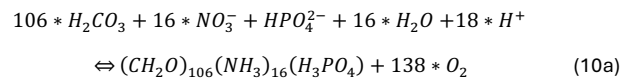
$$\Delta_{SL} \ln CO_2 \approx \Phi * \int_{0m}^{-100m} -0.047 \frac{\%}{m} \delta SL = +4\% \quad (9d)$$

Thus, taking all of the above effects together, the atmospheric CO<sub>2</sub> rise for an ocean volume decline equivalent to 125 m of sea-level fall is approximately 5%, in good agreement with carbonate chemistry solver results ( $+5\%$ ,  $+13$  ppm; Table 2) and with numerical carbon cycle model integrations yielding a 12- to 16-ppm CO<sub>2</sub> increase for simulations isolating the sea-level effect at low stand (Kohfeld & Ridgwell, 2009; see also Lhardy et al., 2021a). As with the temperature and land carbon storage changes, this projected CO<sub>2</sub> change is before taking into account the response of CaCO<sub>3</sub> burial and thus ocean alkalinity. When the alkalinity change (due to “CaCO<sub>3</sub> compensation”) is included, the ocean volume-driven CO<sub>2</sub> increase almost doubles (to  $+8\%$ ,  $+23$  ppm; Table 2).

### Biological Pump

#### Productivity, Export, and Regeneration

Photosynthesis by phytoplankton floating in the sunlit surface ocean converts CO<sub>2</sub> into the organic carbon of biomass. This reduces DIC, which lowers the dissolved CO<sub>2</sub> concentration of surface waters largely by raising its pH (Equations 3, 5; Figure 2). Phytoplankton metabolism, decomposition and metabolism by microbes and grazing and respiration by zooplankton and higher trophic levels oxidize the organic carbon of the phytoplankton biomass back to DIC, reversing the carbonate chemistry effects of (and consuming the oxygen produced by) biomass growth. These processes can be represented by the following chemical equation, in which flow to the right represents photosynthesis by phytoplankton and flow to the left represents respiration by all organisms:



This formulation includes on its left-hand side the dominant inorganic forms of the major nutrients nitrogen and phosphorus—nitrate (NO<sub>3</sub><sup>-</sup>) and singly protonated phosphate (HPO<sub>4</sub><sup>2-</sup>)—as well as protons to balance the charge. The right-hand side represents soft-tissue biomass with a C:N:P ratio of 106:16:1, following the canonical major nutrient-to-carbon stoichiometry of marine plankton derived by Alfred Redfield (1934), although subsequent work indicates a slightly higher average carbon content and substantial biogeographic variation in the C:N:P ratios of phytoplankton (Anderson & Sarmiento, 1994; Li & Peng, 2002; Martiny et al., 2013; Paulmier et al., 2009; Takahashi et al., 1985; Teng et al.,

2014). In photosynthetic growth, the consumption of protons associated with the assimilation of nitrate and phosphate into biomass corresponds to a small increase in ALK, further lowering  $\text{CO}_2$  (Morel & Hering, 1993, see also Wolf-Gladrow et al., 2007). Hence, Equation 10b, where the fractional  $\text{CO}_2$  drawdown ( $\delta_{\text{STP}}\text{CO}_2/\text{CO}_2$ ; STP referring to “soft-tissue pump”) is a linear function of photosynthetic growth of “soft-tissue” biomass and where the concentration equivalent of that biomass is usefully framed in terms of the net conversion from dissolved phosphate to organic matter-bound phosphorus ( $\delta\text{P}$  referring to a differential conversion from phosphate to organic P):

$$\begin{aligned} \frac{\delta_{\text{STP}}\text{CO}_2}{\text{CO}_2} &= \Phi * \left( -106 * \frac{\delta_{\text{DIC}}\text{CO}_2}{\text{CO}_2} - 18 * \frac{\delta_{\text{ALK}}\text{CO}_2}{\text{CO}_2} \right) * \delta\text{P} \\ &\approx \Phi * \frac{-70\% - 10\%}{\mu\text{molP/kg}} * \delta\text{P} = \Phi * \frac{-80\%}{\mu\text{molP/kg}} * \delta\text{P} \end{aligned} \quad (10b)$$

That is, if phytoplankton draw down phosphate (positive  $\delta\text{P}$ ), nitrate, and  $\text{CO}_2$  according to a given stoichiometry, then the DIC decrease and ALK increase associated with that growth act to lower surface ocean  $\text{CO}_2$  and drive ocean carbon uptake from the atmosphere in a predictable ratio to the phosphate drawdown. For example, if  $0.1 \mu\text{mol/kg}$  of phosphate is utilized with the C:N:P stoichiometry of 106:16:1, the corresponding net carbon fixation in surface waters ( $\Delta\text{C}_p$ , where  $\text{C}_p$  refers to biological pump C storage) is 178 PgC (Equation 10c).

$$\Delta\text{C}_p = 106 * \frac{12\text{gC}}{\mu\text{molP/kg}} * M_{oc} * \Delta\text{P} = 1781 \frac{\text{PgC}}{\mu\text{molP/kg}} \quad (10c)$$

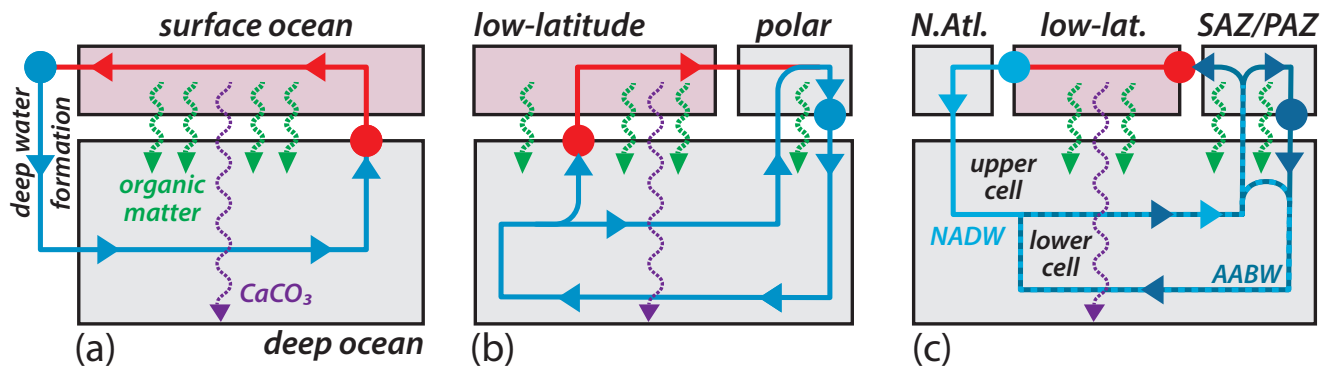
A common misconception about the ocean’s biological pump is that the amount of biological pump C storage ( $\text{C}_p$ ) equates to the amount of  $\text{CO}_2$  removed from the atmosphere. However, the 178 PgC of carbon storage associated with  $0.1 \mu\text{mol/kg}$  phosphate drawdown from surface waters integrates to a 6.5% reduction in atmospheric  $\text{CO}_2$  once the surface ocean has equilibrated with the atmosphere (Equation 10d) and hence only causes an approximately 40-PgC ( $\sim 19 \text{ ppm}$ ) reduction in atmospheric  $\text{CO}_2$ .

$$\Delta_{\text{STP}} \ln \text{CO}_2 \approx \Phi * \int_0^{0.1 \mu\text{mol/kg}} \frac{\delta_{\text{STP}}\text{CO}_2}{\text{CO}_2} = -6.5\% \quad (10d)$$

Alternatively, when using the 117:16:1 C:N:P stoichiometry of Anderson and Sarmiento (1994), an approximate 7.1%  $\text{CO}_2$  reduction is found, which is marginally greater than accurate carbonate chemistry solver results in Table 1 (–6%, –18 ppm). The small proportion of carbon removed from the atmosphere can be understood by considering where inorganic carbon would go if an equivalent amount of carbon were to be released from land carbon reservoirs. Most would end up as DIC in the voluminous deep ocean, leaving only about 15% of this carbon as  $\text{CO}_2$  in the atmosphere (i.e.,  $1 - \Phi$  as in Equation 6).

A second misconception regarding the ocean’s biological pump is that it involves the accumulation of organic matter.

Unlike the forests and permafrost on land that store thousands of PgC, the total open ocean planktonic carbon inventory is estimated at only about 1 PgC (Falkowski, 1994). Most of that standing stock of plankton and nekton in surface waters is decomposed within the surface mixed layer so as to regenerate the carbon and nutrients to their inorganic forms and undo the chemical effects of biomass production. This decomposition back to DIC in the surface ocean occurs almost entirely within months of the organic carbon having been produced by photosynthesis. The critical aspect of surface ocean biological production for atmospheric  $\text{CO}_2$  is that a fraction of the organic matter is exported out of the surface mixed layer and into the ocean interior (“export production”), mostly as sinking particles (Bender et al., 1987; Dugdale & Goering, 1967). This exported organic matter is also almost entirely remineralized, but in the ocean interior, with the respiration of the organic carbon producing a stoichiometric amount of DIC and (acidic) nutrients while also consuming dissolved oxygen (Equation 10a, the leftward reaction; Anderson, 1995; Anderson & Sarmiento, 1994; Redfield, 1934; Redfield et al., 1963; Sarmiento & Gruber, 2006). The transfer of carbon, (negative) alkalinity, and nutrients from the surface mixed layer to the ocean interior has no immediate effect on the global ocean’s inventory or mean concentration of these constituents. However, it causes the carbonate chemistry of surface waters to be different from the mean ocean, and it is only the surface that equilibrates with the atmosphere. Hence, export production changes surface carbonate chemistry relative to the mean ocean, maintaining a lower surface ocean’s concentration of  $\text{CO}_2$  as it continuously “pumps” carbon and other constituents into the ocean interior. This, in turn, causes a net uptake of atmospheric  $\text{CO}_2$ . This set of processes is



**Figure 4. Conceptual models of the ocean's biological pump.** The development of the understanding of the biological pump can be summarized as a succession of three major conceptual advances. (a) Nutrient-limited export production sequesters carbon (but also alkalinity) from the surface ocean into the deep ocean interior (Broecker, 1982a, 1982b; Volk & Hoffert, 1985). When first proposed, it was implicitly assumed that surface water returns to the deep ocean through deep water formation largely sourced from the low latitude surface, in the form of modern NADW. In this context, a rise in ocean nutrient inventories was proposed to enhance the biological pump during ice ages. In a more accurate view of the modern ocean (b), the biological pump does not operate at maximal efficiency with respect to its nutrient inventory because most of the deep ocean is filled from the polar ocean surface where nutrients are not completely utilized for export production. In the first studies to represent this (Knox & McElroy, 1984; Sarmiento & Toggweiler, 1984; Siegenthaler & Wenk, 1984; see also Keeling & Bolin, 1968), the polar region was implicitly the Southern Ocean, although the polar surface box was fed in part from low latitude surface, unlike in the modern Southern Ocean. In the context of (b), drawdown of unused Southern Ocean nutrients was proposed to drive ice age atmospheric CO<sub>2</sub> reductions. More recent conceptions (c) combine (a) and (b): The low-nutrient North Atlantic and the high-nutrient Antarctic Zone compete to fill the deep ocean with distinct water masses (NADW, AABW) that differ in their burden of preformed (unused) nutrients (Bolin & Stommel, 1961; Sigman & Haug, 2003; Stommel & Arons, 1959; Toggweiler, 1999; Toggweiler et al., 2003). The dashed of the lower cell overturning loop in (c) is to indicate that AABW is an admixture of dense water formed in the Antarctic Zone surface (especially the Polar Antarctic Zone, PAZ) and deep water formed in the North Atlantic and the Subantarctic Zone (SAZ) of the Southern Ocean (Gebbie & Huybers, 2010). In this context, it has been proposed that, during the ice ages, reduced overturning or additional sea ice introduced barriers to the release of sequestered carbon from the Antarctic Zone to the atmosphere, effectively leaving the North Atlantic and Subantarctic Zone to indirectly ventilate the abyssal ocean, which strengthens both the soft-tissue and carbonate components of the biological pump. In all mechanisms, whole ocean alkalinity responds to the forced change in deep ocean CaCO<sub>3</sub> saturation state, further changing atmospheric CO<sub>2</sub>. This effect is more pronounced with explicit separation of the interior into mid-depth and abyssal layers (Boyle, 1988b; Keir, 1988; Hain et al., 2010; Toggweiler, 1999).

commonly referred to as the *biological pump* (Volk & Hoffert, 1985). The term “soft-tissue pump” specifically refers to the effects of organic biomass alone (Equation 10a), excluding the biomineral hard parts (Equations 12 and 13). As discussed later, the biomineral flux out of the surface ocean includes CaCO<sub>3</sub>, which extracts both DIC and alkalinity from the surface, and its effects on the ocean's DIC and ALK distribution are referred to as the “carbonate pump” (see Box 1).

Finally, addressing a third misconception, an increase in export production from the surface is not strictly required for the biological pump to sequester more carbon in the ocean interior. The strength of the biological pump in any given region is controlled by the ratio of (a) the rate at which organic carbon is exported from the surface to (b) the rate at which CO<sub>2</sub>- and nutrient-rich deep water is returned to the ocean surface; the higher the ratio, the stronger the pump. As described later, this ratio is reflected in the degree to which the major nutrients are consumed in surface waters.

The biological pump in the ocean was recognized early in the search for the origin of glacial/interglacial CO<sub>2</sub> change (Broecker, 1982a, 1982b; Figure 4a). Biological pump hypotheses first revolved around the low latitude ocean, where, to first approximation, the major nutrients are (and will essentially always be) completely consumed from surface waters. These hypotheses necessarily revolved around the ocean major nutrient reservoirs and/or the carbon-to-nutrient ratios of organic matter export from the

surface ocean. The critical role of the high latitude ocean (and specifically the “Southern Ocean” around Antarctica) in the biological pump then came into focus, broadening the range of mechanisms by which oceanic changes could enhance the biological pump so as to lower atmospheric CO<sub>2</sub> during ice ages (e.g., Knox & McElroy, 1984; Sarmiento & Toggweiler, 1984; Siegenthaler & Wenk, 1984; Figure 4b).

The sinking flux of soft-tissue organic matter consists of particles that undergo decomposition as they sink, leading to a progressive decline in the particle flux with increasing depth, with most of the sinking flux respired near the surface and only a small proportion reaching the deep ocean (Buesseler et al., 2007, 2020; Karl et al., 1988; Martin et al., 1987; Pavia et al., 2019). Due to the temperature sensitivity of respiration rates, sinking particles may reach less deeply into the ocean before being decomposed under global warming (Boscolo-Galazzo et al., 2018, 2021; López-Urrutia et al., 2006; Marsay et al., 2015; Passow & Carlson, 2012; Sarmiento et al., 2010; Wohlers et al., 2009) and may have sunk to greater depths during the ice ages (Kwon et al., 2009; Matsumoto, 2007), with other probable changes likely also affecting the attenuation of particle flux with depth (Taucher et al., 2014) and organic carbon flux to the seafloor (Jeltsch-Thömmes et al., 2019; Roth et al., 2014; Tschumi et al., 2011). Under most scenarios, a deepening of remineralization lowers atmospheric CO<sub>2</sub>. However, the amplitude of this effect (i.e., the degree of change in the soft-tissue pump) depends on the response of surface nutrient

distributions, which, in turn, depends on the response of surface ocean phytoplankton production. Thus, different assumptions about the phytoplankton response lead to starkly different sensitivities of atmospheric CO<sub>2</sub> to a deepening of organic matter remineralization, ranging from minimal change to up to nearly half of the ice age decline (Kwon et al., 2009; Matsumoto, 2007; Sigman et al., 1998). There are also dynamics related to the calcium carbonate described in the section “Mean Ocean Alkalinity Change,” about which there is more confidence: An increase in the sinking depth of soft-tissue organic matter should concentrate biological CO<sub>2</sub> sequestration in the deep ocean, driving a transient reduction in seafloor CaCO<sub>3</sub> burial, which increases mean ocean alkalinity and thus lowers atmospheric CO<sub>2</sub> (Boyle, 1988a, 1988b; Sigman et al., 1998; Toggweiler, 1999).

#### Preformed Versus Regenerated Nutrients and Ocean Carbon Storage

Because of the known stoichiometry of organic matter and the measurement of apparent oxygen utilization (AOU), which refers to the observed oxygen depletion relative to saturation with the atmosphere and serves as an estimate of true oxygen utilization (Arons & Stommel, 1967; Redfield, 1934), it is possible to map out the distribution of respired carbon and the corresponding regenerated nutrients in the ocean (Broecker, 1974; Broecker et al., 1985). The average ocean concentrations of phosphate and AOU are approximately 2.2 μmol/kg and 154 μmol/kg, respectively (see Table A.3 in Sarmiento & Gruber, 2006), suggesting that only about half of the ocean’s total phosphate is *regenerated* from organic matter. Accordingly, the other half of the phosphate (known as *preformed*) was not utilized in the surface but was instead carried from the sea surface into the ocean interior by ocean circulation and mixing:

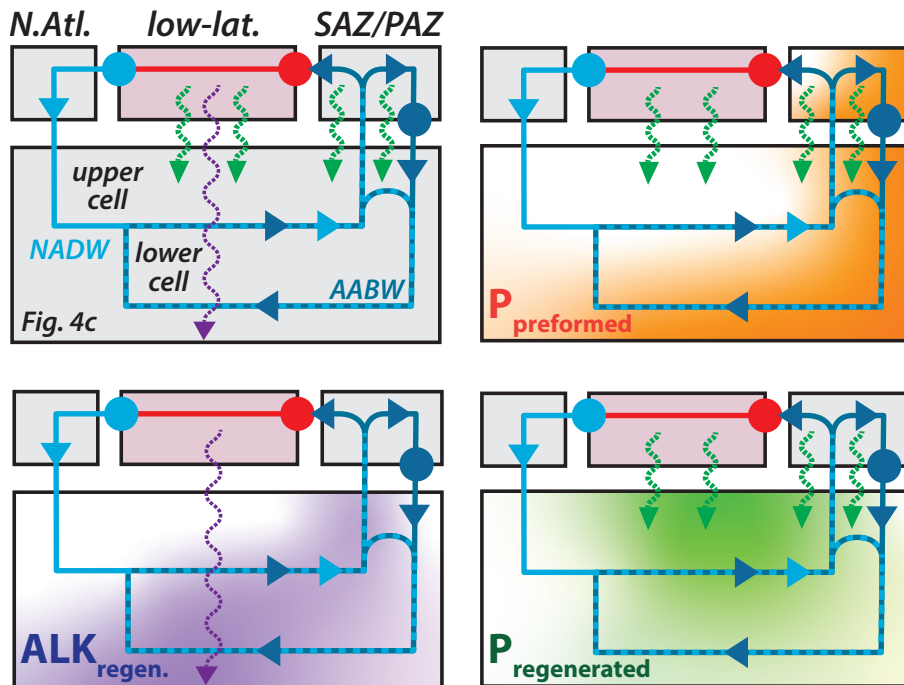
$$P_{total} = P_{preformed} + P_{regenerated} \approx P_{preformed} + \frac{AOU}{138} \quad (11)$$

The modern ocean holds almost 2,000 PgC of respired (i.e., regenerated) carbon sequestered via the soft-tissue component of the biological pump (Box 1), on par with the quantity of organic carbon in all forests on land or locked away in permafrost. If marine productivity and carbon export were to stop, atmospheric CO<sub>2</sub> would almost double (e.g., Kwon et al., 2011). Preformed nutrients reflect a missed opportunity for biological carbon sequestration—a measure of the inefficiency of the modern biological pump. This important distinction is relevant to the early hypothesis of an increase in the ocean’s total phosphate inventory as the cause for a stronger biological pump (Broecker, 1982a, 1982b; Jeltsch-Thömmes & Joos, 2023; Roth et al., 2014; Tsandev et al., 2008; Wallmann, 2003; Wallmann et al., 2016). Only in the low- and mid-latitude ocean does the supply of the major nutrients phosphate and nitrate appear to represent the ultimate limiting factor for biological productivity (Figure 4a). Thus, in calculating the CO<sub>2</sub> drawdown expected from an increase in the ocean’s

phosphate reservoir, it should be taken into account that this phosphate inventory increase is unlikely to be met by a proportional increase in high latitude biological production, with a substantial portion of the added phosphate becoming preformed (Sigman et al., 1998; cf., Lauderdale et al., 2020).

Polar ocean productivity appears to be co-limited by “trace” nutrient supply (chiefly iron; e.g., Boyd et al., 2007) and photosynthetically active radiation from sunlight in the surface mixed layer, such that polar surface water is replete in unused (i.e., preformed) “major” nutrients phosphate and nitrate (Figure 4b). Converting some of the polar ocean preformed phosphate into regenerated phosphate during the ice ages would increase the ocean interior inventory of sequestered DIC, lowering atmospheric CO<sub>2</sub> (Knox & McElroy, 1984; Sarmiento & Toggweiler, 1984; Siegenthaler & Wenk, 1984). The mechanism that is shared by this hypothesis and that of an ice age increase in the whole ocean phosphate inventory (Broecker, 1982a, 1982b) is an increase in the quantity of regenerated phosphate, which would be accompanied by a decline in ocean interior oxygenation (Equation 11). All else equal, the expectations are that (a) an increase in phosphate inventory would strengthen the soft-tissue pump by increasing low- and mid-latitude productivity, while (b) a relative increase in trace nutrient (e.g., iron) supply to the polar surface would raise polar productivity so as to strengthen the soft-tissue pump. The effect of a decrease in the gross supply of phosphate from the ocean interior, a third mechanism put forward for enhancing the biological pump during ice ages (François et al., 1997; Sarmiento & Toggweiler, 1984), requires more careful consideration. Deep water upwelling is an important iron source to the polar ocean surface, but it is stoichiometrically deficient relative to nitrate and phosphate. Atmospheric and coastal iron inputs can compensate for this deficiency, and a reduction in polar upwelling would tend to make that compensation more important (e.g., Lefèvre & Watson, 1999; Moore, 2016; Moore et al., 2013; Sigman & Hain, 2012). Moreover, even without any decoupling of the phosphate and iron supply, a longer residence time of water at the sunlit surface may lead to iron recycling and thus more complete phosphate drawdown in those surface waters (e.g., Rafter et al., 2017). As a result, a reduction in polar upwelling is expected to tend to lower productivity but enhance major nutrient consumption, strengthening the biological pump.

**Box 1. Soft-Tissue and Carbonate Pumps**



The soft-tissue and CaCO<sub>3</sub> components of the biological pump both operate through the sinking (“rain”) of biogenic material produced by the surface planktonic ecosystems, but the soft-tissue pump (STP, shown as green) and carbonate pump (CP, shown as purple) differ in three important ways. First, the STP primarily acts to sequester DIC (alongside regenerated phosphorus nutrient, P<sub>regenerated</sub>) and thus acts to draw down atmospheric CO<sub>2</sub>, whereas the CP primarily sequesters alkalinity (ALK<sub>regenerated</sub>) so as to raise atmospheric CO<sub>2</sub>. Second, microbial heterotrophy in the dark ocean interior rapidly decomposes the organic matter rain, thereby releasing respired carbon (i.e., regenerated DIC) and regenerated nutrients shallow in the water column (green shading) whereas CaCO<sub>3</sub> is more resistant to losses while sinking, is buried on the shelf and shallow to mid-depth seafloor, and dissolves mostly when it rains onto the deep seafloor, below about 3.7 km (purple shading). Third, calcifying plankton such as coccolithophores thrive in low-nutrient, low-latitude settings while silicifying diatoms dominate productivity in the polar ocean, where the concentration of unused nutrients is high at the surface. For these reasons, the STP and CP are effectively decoupled, and their respective scaling relationships for their integrated effect on the Earth System differ, yielding different carbon cycle feedbacks. The STP is closely tied to the total available phosphate inventory and the nutrient conditions in the polar ocean surface, where nutrients can go unused in the surface (such as phosphate, P<sub>preformed</sub>), a missed opportunity for biological carbon storage (orange shading). Alkalinity sequestration in the ocean interior by the CP depends on CaCO<sub>3</sub> production (and thus biological productivity) in the low latitude ocean and on the approximate 1,000-year timescale with which abyssal bottom water is circulated to the Southern Ocean surface. Thus, (1) more complete nutrient consumption in the polar ocean strengthens the STP but not the CP, (2) more nutrient supply to the low-latitude surface strengthens the CP but not the STP, and (3) a slowdown in deep ocean overturning strengthens the CP. North Atlantic Deep Water (NADW), the deep water formed in the North Atlantic, is fed from the low-nutrient, low-latitude surface. As a result, NADW formation strengthens both the STP and the CP. In contrast, deep water formation in the nutrient-rich Southern Ocean (which occurs mostly in the Antarctic Zone (AZ), especially in the Polar Antarctic Zone (PAZ), as opposed to the lower-latitude Subantarctic Zone (SAZ)) weakens both the STP and the CP. This cartoon highlights the competition to fill the global abyssal ocean between the North Atlantic and the Antarctic Zone, but the Subantarctic Zone and other regions also contribute to ocean ventilation, especially at intermediate depths. Despite its great size, the Pacific basin is not explicitly represented in the figure because it contributes little to the ventilation of the deep ocean and, thus, affects its properties less directly. Here and elsewhere, colored circles indicate water temperature change (red for warming, blue for cooling).

It is the standing stock of regenerated CO<sub>2</sub> (i.e., respired from organic matter) that the ocean holds that defines the atmospheric CO<sub>2</sub> impact of the soft-tissue pump (Equation 10; Volk & Hoffert, 1985), not the particular rates or pathways of export and ocean circulation or the locus of carbon storage in the ocean interior (Hain et al., 2010; Ito & Follows, 2005;

Kwon et al., 2011; Marinov, Follows, et al., 2008; Marinov, Gnanadesikan, et al., 2008; Sigman & Haug, 2003; Toggweiler et al., 2003). That is, the soft-tissue pump CO<sub>2</sub> effect is determined by the ocean mean regenerated phosphate, which is the difference between mean ocean phosphate and the unused phosphate concentration at the ocean surface, weighted by the fractions of the ocean interior water that originate from distinct ocean surface areas. Just three regions dominate the ventilation of the ocean interior (Broecker et al., 1985, 1998; deVries & Primeau, 2011; Gebbie & Huybers, 2010; Rae & Broecker, 2018). Roughly 35% of the modern ocean is filled from the polar Antarctic surface, with a preformed phosphate of 2.1 μmol/kg; this is referred to as Antarctic Bottom Water (AABW), although AABW is more precisely a mixture of polar Antarctic surface water and entrained subsurface water with different ventilation origins (compare Figure 4c). About 25% is filled from the polar-to-subpolar North Atlantic by North Atlantic Deep Water (NADW) with a preformed phosphate of 0.8 μmol/kg. Another 20% is filled from the Southern Ocean north of the Antarctic Zone, by Antarctic Intermediate Water (AAIW) and Subantarctic Mode Water (SAMW), with an approximate average preformed phosphate of 1.2 μmol/kg. The residual 20% is filled by the rest of the ocean surface, with an average preformed phosphate concentration of 0.5 μmol/kg. These estimates yield an ocean average preformed phosphate concentration of 1.1 μmol/kg (relative to a mean total phosphate concentration of 2.17 μmol/kg; see Table A.3 in Sarmiento & Gruber, 2006).

Much of the complexity in discussions of soft-tissue pump hypotheses for glacial/interglacial CO<sub>2</sub> change involves the dynamic interactions among nutrient supply by upwelling, biological productivity, and ocean ventilation by deep water formation. The following scenarios illustrate this complexity. If biological nutrient consumption increases in the Antarctic so as to cause a decline in the preformed phosphate of AABW from 2.1 to 0.8 μmol/kg, the mean ocean value declines by 0.46 μmol/kg, an additional 810 PgC of regenerated carbon is sequestered by the biological pump, and atmospheric CO<sub>2</sub> declines by about 27% (Equations 10 and 11; Table 3e–g). Alternatively, if circulation changes so that NADW is eliminated and AABW instead fills the vacated 25% of the ocean, the 1.3 μmol/kg difference between these deep water sources increases mean ocean preformed phosphate by 0.33 μmol/kg, regenerated carbon sequestration by the biological pump declines by 580 PgC, and atmospheric CO<sub>2</sub> rises by about 25% (Equations 10 and 11; Table 3l,m). If these two scenarios are combined, rather than canceling each other's CO<sub>2</sub> effects, atmospheric CO<sub>2</sub> is still expected to be reduced by about 27% because, with a lowered preformed phosphate for AABW, there is no longer a difference from NADW, and thus, the same circulation change has no effect. If the NADW is first eliminated, prior to any change in the preformed phosphate of AABW, atmospheric CO<sub>2</sub> rises by 25%. Thereafter, AABW is filling 60% of the ocean interior, such that atmospheric CO<sub>2</sub> has become much more sensitive to the preformed phosphate of

AABW. If, then, the preformed phosphate of AABW is lowered from 2.1 to 0.8 μmol/kg, mean preformed phosphate declines by 0.78 μmol/kg (from +0.33 to –0.46 μmol/kg) and atmospheric CO<sub>2</sub> decreases by 42% (from +25% to –27%). Hence, atmospheric CO<sub>2</sub> is sensitive to the combination of changes in deep ocean circulation and in Southern Ocean nutrient cycling, biological productivity, and organic matter export (Hain et al., 2010; Sigman et al., 2010). Across all these changes, the global ocean's regenerated phosphate content provides an integrated measure of soft-tissue pump change to estimate or diagnose the soft-tissue pump CO<sub>2</sub> effect (Hain et al., 2010; Ito & Follows, 2005; Kwon et al., 2011; Marinov, Follows, et al., 2008; Marinov, Gnanadesikan, et al., 2008; Sigman & Haug, 2003; Toggweiler et al., 2003).

A critical complication, which is explored in the section on Antarctic-based hypotheses, is air–sea CO<sub>2</sub> disequilibrium in polar ocean regions, caused by either ice cover or very high rates of surface–subsurface water exchange. This factor can be thought of in two mathematically equivalent ways. In one view, limitations on gas exchange reduce the ventilation from a surface region relative to its role as a source of water in the ocean interior, which is the view taken in this treatment. Alternatively, gas exchange restriction can be framed as causing “disequilibrium carbon storage,” recognizing that the disequilibrium requires preexisting CO<sub>2</sub> oversaturation of deep water due to the action of the global ocean's biological pump or other processes (e.g., Galbraith & Skinner, 2020; Khatiwala et al., 2019).

#### Carbonate Export and Regenerated Alkalinity

Some plankton build hard parts from either opal (SiO<sub>2</sub>) or calcium carbonate (CaCO<sub>3</sub>), and these components have a high propensity to sink from the surface, perhaps even ballasting some soft-tissue organic matter to sink deeper into the ocean (Armstrong et al., 2001; Boyd & Trull, 2007; Klaas & Archer, 2002). The effects of this hard part construction in surface waters can be summarized by these simplified chemical equations:



The conversion between silicic acid and opal does not affect seawater carbonate chemistry, because neither carbon nor protons are part of the reaction. In contrast, the production and export of CaCO<sub>3</sub> from the surface ocean has the overall effect of lowering pH and therefore raising the CO<sub>2</sub> of surface water and atmosphere (Figures 2 and 3). This is because, even though the export of CaCO<sub>3</sub> contains carbon and lowers DIC by 1 unit (raising pH), the production of CaCO<sub>3</sub> adds 2 units of protons so as to reduce surface alkalinity (decreasing pH, Equation 13a, 13b). As with the soft-tissue pump (Equation 10b; Box 1), the CO<sub>2</sub> effect of CaCO<sub>3</sub> export scales with the inventory of sequestered DIC and ALK “regenerated”



from CaCO<sub>3</sub> dissolution held in the ocean interior, which is framed here as the mean ocean concentration of regenerated CaCO<sub>3</sub>,  $\delta Ca_{reg}$ :

$$\frac{\delta_{CP}CO_2}{CO_2} = \left( \frac{\delta_{DIC}CO_2}{CO_2} + 2 * \frac{\delta_{ALK}CO_2}{CO_2} \right) \approx \Phi * \frac{-0.66\% + 2 * 0.56\%}{\mu\text{mol/kg}} * \delta Ca_{reg} \quad (13b)$$

where CP refers to the carbonate pump. This term has been used to highlight the qualitative similarity to the soft-tissue pump, but specifically referring to the export of CaCO<sub>3</sub> from the surface ocean and its sequestration of DIC and ALK in the deep ocean (Hain et al., 2010, 2014; Kwon et al., 2011; Sarmiento & Gruber, 2006; Box 1). In opposition to the soft-tissue pump, its effect is to raise CO<sub>2</sub> in the surface waters and thus the atmosphere. The concentration of alkalinity regenerated from CaCO<sub>3</sub> dissolution in the ocean interior is roughly 50  $\mu\text{mol/kg}$  (Chung et al., 2003; Feely et al., 2002; Fry et al., 2015; Sabine et al., 2002), suggesting an inventory in the ocean interior of 420 PgC carbon (and 1,400 Pg of calcium). For every 10- $\mu\text{mol/kg}$  increase in regenerated CaCO<sub>3</sub>, atmospheric CO<sub>2</sub> would rise by about 4%:

$$\Delta_{CP} \ln CO_2 \approx \Phi * \int_0^{10 \frac{\mu\text{mol}}{\text{kg}}} \frac{\delta_{CP}CO_2}{CO_2} = +4\% \quad (13c)$$

This estimate is only for the direct CO<sub>2</sub> effect of “dissolved CaCO<sub>3</sub>” that has been removed from the surface, transferred to, and sequestered in the ocean interior (i.e., the *closed system* effect of the carbonate pump). The *open system* effect, which arises from temporary imbalances that it causes between alkalinity sources from weathering and CaCO<sub>3</sub> burial on the seafloor, is categorized in section “Mean Ocean Alkalinity Change.” A hypothesis for lowering atmospheric CO<sub>2</sub> that involves strengthening of the biological (soft-tissue) pump will be less effective if it also strengthens the carbonate pump (Hain et al., 2010; Kwon et al., 2011; Sigman et al., 1998).

### Mean Ocean Alkalinity Change

Because charge is conserved in a *closed system*, a 3% reduction in ocean volume due to ice sheet growth would raise mean ocean alkalinity proportionally, by approximately 81  $\mu\text{mol/kg}$  (as included in Equation 9, Tables 1–3). However, on the multi-millennial timescale of the ice age cycles, the ocean operates as an *open system* for alkalinity. By far the largest input flux of alkalinity to the ocean is the weathering of marine limestone on land (which adds two units of ALK for each unit of DIC), and the largest output flux is seafloor CaCO<sub>3</sub> burial, these opposing fluxes comprising the ocean’s CaCO<sub>3</sub> “budget.” Hence, any change in mean ocean alkalinity above and beyond the ocean volume effect is caused by an imbalance between CaCO<sub>3</sub> burial and weathering (primarily of CaCO<sub>3</sub>): an increase in weathering or a decrease in burial tends to raise mean ocean alkalinity (and DIC in a 2:1 ratio) and thereby reduce atmospheric CO<sub>2</sub> (Figure 2). CaCO<sub>3</sub> burial responds to mean ocean alkalinity change by minimizing the weathering/burial imbalance to yield a balanced CaCO<sub>3</sub> budget. To understand the role of this feedback and because support is lacking for a significant ice age increase in

weathering (as outlined in the next section on Lysocline Constraints), the focus here is on the controls on CaCO<sub>3</sub> burial.

Land carbon release (i.e., a decrease in land carbon storage) during glacial times would have driven CO<sub>2</sub> into the ocean, which would cause ocean pH to drop, reducing the concentration of carbonate ion and thus the saturation state of CaCO<sub>3</sub> ( $\Omega$ ;  $\Omega > 1$  for oversaturation and  $\Omega < 1$  for undersaturation):



$$\Delta CO_3^{2-} = -CPF * \frac{\Delta C_{land}}{M_{oc}} = CPF * \frac{\Delta C_{CaCO_3}}{M_{oc}} \quad (14b)$$

$$\Delta \Omega = \frac{Ca^{2+} * \Delta CO_3^{2-}}{K_{sp}(T,S,p)} \quad (14c)$$

Broecker (1982a, 1982b) pointed out that deep ocean sediment cores indicate rather similar CaCO<sub>3</sub> saturation during the LGM and modern conditions, and he proposed the dynamic of CaCO<sub>3</sub> *compensation*—where any forced change in deep ocean DIC would dissolve an equivalent amount of CaCO<sub>3</sub> from the deep ocean seafloor ( $\Delta C_{CaCO_3}$  in Equation 14d and Tables 1–3; see Equation 5; Hain et al., 2018) to re-establish balance between weathering on land and CaCO<sub>3</sub> burial in the ocean (Broecker & Peng, 1987; see also Högbom, 1894). For example, land carbon release during ice ages would cause CO<sub>2</sub> uptake by the ocean, including the deep ocean, which would lower deep ocean carbonate ion concentration and thus temporarily reduce CaCO<sub>3</sub> burial. As CaCO<sub>3</sub> burial is the main removal flux of alkalinity from the ocean, its decline would cause a transient surplus of alkalinity delivered to the ocean by weathering on land. That surplus would diminish over time as the ocean accumulates excess alkalinity, returning deep ocean carbonate ion concentration and thus CaCO<sub>3</sub> burial to pre-perturbation levels. Within roughly 4,000 years (e-folding timescale; see Equation 19), the ocean CaCO<sub>3</sub> budget is restored to a balance between weathering and burial:

$$\overline{\Delta CO_3^{2-}} \approx \frac{-CPF}{12g \cdot M_{oc}} * (-\Delta C_{CaCO_3} + \Delta C_{Land}) \approx 0 \quad (14d)$$

The mean ocean alkalinity rise due to the transient net addition of dissolved CaCO<sub>3</sub>, in itself, causes atmospheric CO<sub>2</sub> to decline. Hence, the direct effect on atmospheric CO<sub>2</sub> of land carbon change ( $\delta_{Land}CO_2/CO_2$ ) and the indirect effect that arises over time from the CaCO<sub>3</sub> compensation response ( $\delta_{CaCO_3}CO_2/CO_2$ ) must be distinguished:

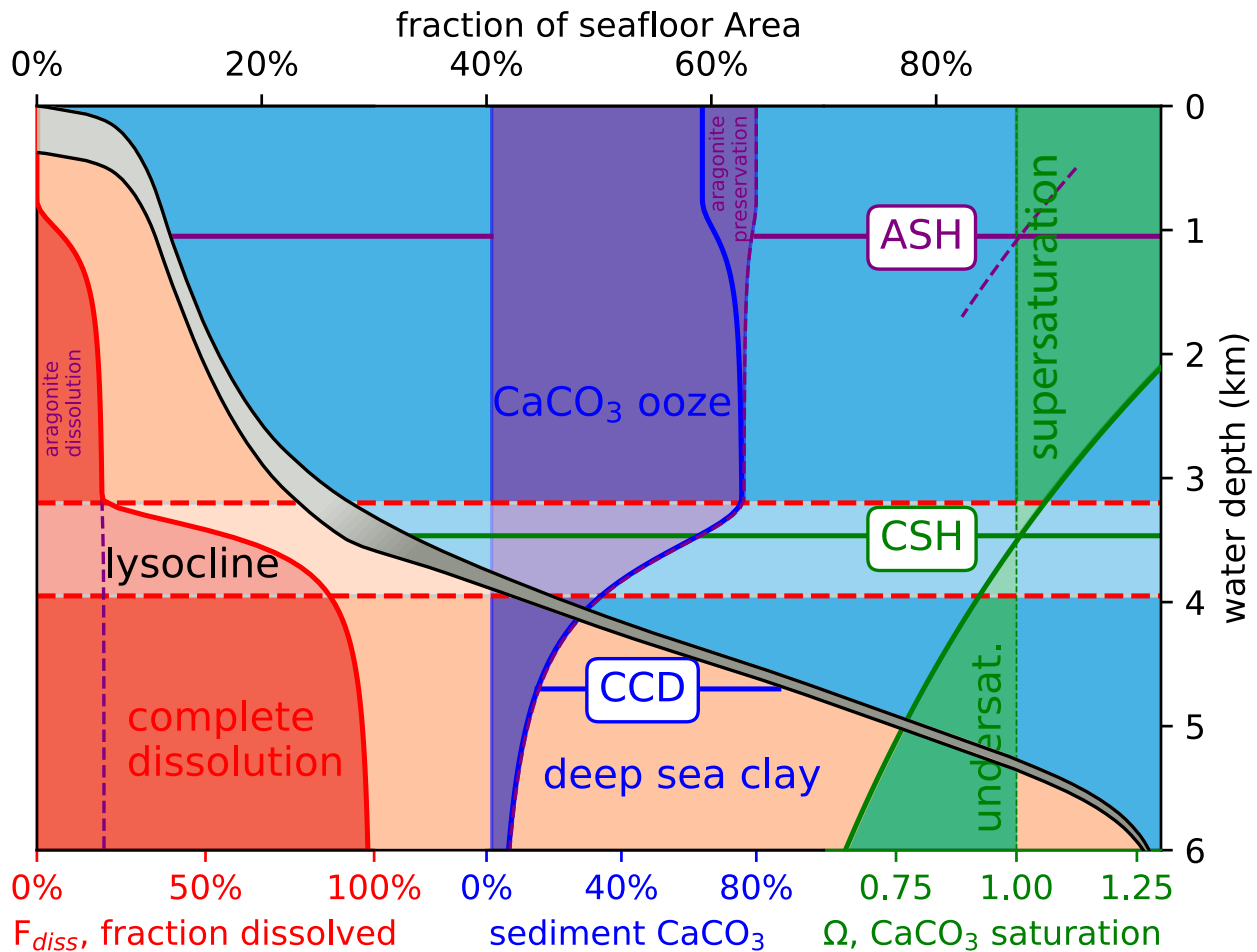
$$\begin{aligned} \frac{\delta_{Land,comp}CO_2}{CO_2} &= \frac{\delta_{Land}CO_2}{CO_2} + \frac{\delta_{CaCO_3}CO_2}{CO_2} \approx \\ &= \left( \frac{-3.2\%}{100PgC} + \frac{-3.2\% + 5\%}{100PgC} \right) * \delta C_{Land} = \\ &= \frac{-1.4\%}{100PgC} * \delta C_{Land} \end{aligned} \quad (14e)$$

For example, if there was a net release of 350 PgC from land carbon shrinkage during the LGM (e.g., Crowley, 1995; Curry et al., 1988; Duplessy et al., 1984, 1988; Menviel et al., 2017; Oliver et al., 2010; Peterson et al., 2014; Shackleton, 1977)—sufficient to instantaneously raise CO<sub>2</sub> by 165 ppm (+59%)—an about 32-ppm CO<sub>2</sub> increase (+11%) would be expected after the added carbon fully equilibrates between atmosphere and ocean (Equation 6) and only a 10-ppm (+3%) CO<sub>2</sub> increase after mean ocean alkalinity is increased by 38

μmol/kg through net open-system dissolved CaCO<sub>3</sub> addition (Table 2). That is, after CaCO<sub>3</sub> compensation, less than one-fifteenth of the carbon released from land would remain in the atmosphere. Thus, only large changes in land carbon can drive notable changes in atmospheric CO<sub>2</sub> that persist for thousands of years, exactly as Högbom (1894) predicted.

Carbon cycle box model studies (e.g., Boyle, 1988a, 1988b; Broecker & Peng, 1987; Hain et al., 2010; Keir, 1988; Sigman et al., 1998; Toggweiler, 1999) have argued that any

**Figure 5. Lysocline, CSH, and deep sea CaCO<sub>3</sub> dissolution.** CaCO<sub>3</sub> production by plankton is typically greater than the rate of mineral dust blown onto the open ocean, such that mineral particle rain from the open ocean surface into the ocean interior can be approximately 80%–90% CaCO<sub>3</sub>. That particle rain is intercepted by and preserved on shallow seafloor (shown in beige, top axis), where both calcite and aragonite forms of CaCO<sub>3</sub> are oversaturated in the water column ( $\Omega > 1$ , calcite in green, aragonite in purple), leading to the preservation and burial of the CaCO<sub>3</sub> rain (shown in blue). The solubility of CaCO<sub>3</sub> increases with pressure, and, hence, saturation ( $\Omega$ ) declines significantly with water depth (green for calcite and purple dashed for aragonite), first driving the more soluble aragonite to undersaturation ( $\Omega_a < 1$  below the aragonite saturation horizon, ASH) and then calcite undersaturation in the deeper ocean ( $\Omega_c < 1$  below the calcite saturation horizon, CSH). The respiration of organic matter on the seafloor tends to drive sediment porewaters toward undersaturation, but diffusion of carbonate ions from seawater into the sediment can neutralize the carbonic acid from respiration and protect seafloor CaCO<sub>3</sub> from respiration-driven dissolution if bottom water is sufficiently oversaturated ( $\Omega \gg 1$ ). In contrast, if bottom water is undersaturated ( $\Omega < 1$ ), CaCO<sub>3</sub> dissolution proceeds readily and rapidly, up to the point that the CaCO<sub>3</sub> rain to the sediment is entirely dissolved. For example, at the CSH, all the aragonite and half of the calcite rain may dissolve ( $F_{diss} = 60\%$ ), but the residual 40% of preservation still yields a CaCO<sub>3</sub> ooze composed of >60% CaCO<sub>3</sub> because of the low non-carbonate sediment flux. Because of increasing calcite solubility with pressure (and thus depth),  $F_{diss}$  may reach 90% on the order of 500 m below the CSH, where the character of the sediment begins to change to deep sea aluminosilicate clay with minor CaCO<sub>3</sub>. The carbonate compensation depth (CCD) is defined as the depth where dissolution is essentially complete ( $F_{diss} \sim 99\%$ ) and seafloor sediments are composed of approximately 95% non-carbonate. More relevant to the ocean's CaCO<sub>3</sub> burial budget is the lysocline (horizontal gray shading bounded by dashed red lines)—referring to the depth interval spanning across the CSH where the degree of dissolution ( $F_{diss}$ ) increases rapidly with depth. Any change in temperature, salinity, pressure, or carbonate chemistry of the bottom water near the depth of the lysocline will change the depths of the CSH and lysocline (see Equation 15; Box 1), thereby changing the area of seafloor on which CaCO<sub>3</sub> is buried. This affects the ocean's alkalinity budget, causing atmospheric CO<sub>2</sub> change while feeding back on the deep ocean's CaCO<sub>3</sub> saturation state.



process affecting the saturation state of CaCO<sub>3</sub> on the deep ocean seafloor ( $\delta\Omega_{forced}$ , Equation 15a) would cause a CaCO<sub>3</sub> compensation response ( $\delta\Omega_{CaCO_3}$ ), extending the purview of CaCO<sub>3</sub> compensation to nearly all possible glacial/interglacial changes in the carbon cycle. This response restores any perturbation to deep ocean CaCO<sub>3</sub> saturation through a transient imbalance in the ocean CaCO<sub>3</sub> budget (not just land carbon as in Equation 14d)—excess burial or excess weathering—that changes mean ocean alkalinity. That is, an increment of net CaCO<sub>3</sub> exchange between seawater and solid Earth ( $\delta CaCO_3$ , positive in the case of dissolved CaCO<sub>3</sub> addition to seawater) causes a saturation effect toward restoring alkalinity mass balance (Equation 15b). The resulting 2-to-1 change in seawater ALK and DIC affects CO<sub>2</sub> in proportion to any forced disturbance of the deep ocean saturation state (Equation 15c). Thus, the direct CO<sub>2</sub> effect of any forced change ( $\delta_{forced}CO_2$ ) is complemented by an indirect effect of changing mean ocean alkalinity (and DIC) by net CaCO<sub>3</sub> dissolution, yielding the net (i.e., “CaCO<sub>3</sub> compensated”) CO<sub>2</sub> change ( $\delta_{comp.CO_2}$ ; Equation 15d).

$$0 = \frac{\delta\Omega_{forced} + \delta\Omega_{comp}}{\Omega} = \frac{\delta K_{sp}}{K_{sp}} - \frac{\delta_{SL}Ca^{2+}}{Ca^{2+}} - \frac{\delta CO_3^{2-}|_{forced} + \delta CO_3^{2-}|_{comp}}{CO_3^{2-}} \quad (15a)$$

$$\frac{\delta\Omega_{CaCO_3}}{\Omega} = \frac{\delta_{CaCO_3}CO_3^{2-}}{CO_3^{2-}} = \frac{-CPF}{CO_3^{2-}} * \frac{\delta CaCO_3}{M_{oc}} \quad (15b)$$

$$\frac{\delta_{CaCO_3}CO_2}{CO_2} = \Phi * \frac{-0.46\%}{\mu mol/kg} * \frac{\delta CaCO_3}{M_{oc}} \approx \Phi * 0.6 * \frac{\delta\Omega_{forced}}{\Omega} \quad (15c)$$

$$\frac{\delta_{comp.CO_2}}{CO_2} \approx \frac{\delta_{forced}CO_2}{CO_2} + \frac{\delta_{CaCO_3}CO_2}{CO_2} \quad (15d)$$

Ocean temperature, salinity, volume, and hydrostatic pressure changes directly affect the deep ocean saturation with respect to CaCO<sub>3</sub>, mainly through the fractional change in the solubility product ( $\delta K_{sp}/K_{sp}$ ) and the ocean's calcium concentration ( $\delta Ca/Ca$ ). In response, a predictable quantity of CaCO<sub>3</sub> is dissolved or preserved ( $\delta CaCO_3$ ) to restore the carbonate ion concentration to return the ocean to a balance between the weathering input of dissolved CaCO<sub>3</sub> and the precipitation and burial of CaCO<sub>3</sub>. For example, a 3.3 °C LGM cooling would cause +7 μmol/kg net CaCO<sub>3</sub> dissolution, with the resulting 2:1 mean ocean increase in ALK and DIC reducing atmospheric CO<sub>2</sub> by about -3% (-6 ppm, Table 2). The approximate 125-m LGM sea-level lowering would reduce K<sub>sp</sub> due to the pressure drop, increase K<sub>sp</sub> due to the higher salinity, and raise the concentrations of calcium, bicarbonate, carbonate and borate ions, which in net would cause a +7.4% increase in Ω ( $\delta\Omega/\Omega_{forced}$ ). This ocean volume effect would drive about 8 μmol/kg of net dissolved CaCO<sub>3</sub> loss (negative  $\delta CaCO_3$  divided by the mass of the ocean,  $M_{oc}$ ) until the ocean's CaCO<sub>3</sub> budget is restored—equivalent to the net preservation of about 130 PgC of CaCO<sub>3</sub> and a +3% increase in atmospheric CO<sub>2</sub> due to CaCO<sub>3</sub> compensation. That is, the reduction in LGM ocean volume concentrates mean ocean alkalinity by +81 μmol/kg (a closed system

effect), and the alkalinity changes from the CaCO<sub>3</sub> compensation (i.e., open-system) responses to LGM ocean cooling (+7 μmol/kg) and volume loss (-10 μmol/kg) nearly cancel one another (Table 2). If temperature and volume effects are combined with 350 PgC reduction in LGM land carbon storage (causing +38 μmol/kg net CaCO<sub>3</sub> dissolution), the CaCO<sub>3</sub> compensation in response to these combined factors would have increased mean ocean alkalinity by +115 μmol/kg (from 2,364 to 2,479 μmol/kg, see Table 2; dashed vertical line in Figures 3 and 6).

When considering CaCO<sub>3</sub> compensation of the biological pump, it is important to note that the atmosphere and surface ocean are very small reservoirs for inorganic carbon in comparison to the voluminous ocean interior. As a result, changes in the regenerated CO<sub>2</sub> and alkalinity from soft-tissue organic matter degradation and CaCO<sub>3</sub> dissolution have no immediate, significant effect on the total DIC or alkalinity of the ocean interior. That is, the mean concentrations of bicarbonate and carbonate ions in the ocean interior are nearly unchanged, despite the input of inorganic carbon from the atmosphere and surface ocean in the case of a stronger biological pump. As a consequence, the soft-tissue and carbonate components of the biological pump have no significant effect on mean ocean CaCO<sub>3</sub> saturation ( $\delta\Omega/\Omega = 0$ ) and thus fail to cause significant CaCO<sub>3</sub> compensation. This is consistent with box model results (Toggweiler, 1999). It also explains the lack of significant CaCO<sub>3</sub> compensation in model experiments in which the ocean's phosphate reservoir is increased, driving an increase in the low-latitude biological productivity (Keir, 1988; Sigman et al., 1998).

Instead, CaCO<sub>3</sub> compensation of soft-tissue or carbonate pump changes is important when DIC and ALK are redistributed among the large volumes of the ocean interior. CaCO<sub>3</sub> compensation is largely achieved by changes in the depth of the *lysocline* (Broecker, 2003), the carbonate saturation-driven depth transition between shallower seafloor that hosts CaCO<sub>3</sub> burial and deeper seafloor on which the CaCO<sub>3</sub> rain is nearly entirely dissolved (Figure 5). Accordingly, significant CaCO<sub>3</sub> compensation is caused if there is preferential accumulation, relative to the ocean mean, of regenerated DIC and/or ALK, in the deeper portion of the ocean near the lysocline (i.e., by factors  $X_{STP}$  and  $X_{CP}$ ; see Figure 5; Equations 16e and 16f). For example, if a strengthening of the soft-tissue pump increases mean ocean  $P_{reg}$  by 0.1 μM and if the additional carbon storage is concentrated in the deeper half of the ocean by a factor  $X_{STP} = 2$  over the mean change, then a 10% reduction in deep Ω should occur. This deep Ω reduction is then compensated by a net addition of dissolved CaCO<sub>3</sub> of 12 μmol/kg that reduces atmospheric CO<sub>2</sub> by about 4% in addition to

**Box 2. Effects on Deep Ocean CaCO<sub>3</sub> Saturation**

Ocean volume effect, expressed in terms of meters of sea-level (SL) change: A reduction in SL causes a fractional reduction in ocean volume as determined by ocean surface area and ocean volume ( $A_{oc}/V_{oc}$  term), which proportionally raises seawater Ca<sup>2+</sup> and CO<sub>3</sub><sup>2-</sup> so as to raise deep ocean CaCO<sub>3</sub> saturation ( $\Omega$ ) by +6.4%/100 m. Moreover, per 100 m of sea-level lowering, seawater salinity rises and the hydrostatic pressure declines so as to change the CaCO<sub>3</sub> solubility product  $K_{sp}$  by +3% and -1.8%, respectively, for a combined -1.2%/100 m decrease in deep ocean CaCO<sub>3</sub> saturation from  $K_{sp}$ . Compounding all changes, CaCO<sub>3</sub> saturation increases by +5.2% per 100 m of sea-level fall.

$$\frac{\delta_{SL}\Omega}{\Omega} = \left( \frac{A_{oc}}{V_{oc}} * \left( 1 + \frac{CPF * (DIC - ALK)}{CO_3^{2-}} \right) - \frac{\delta_{SL}K_{sp}}{K_{sp} * \delta_{SL}} \right) * \delta_{SL} \cong \frac{+5.2\%}{100m} * \delta_{SL} \quad (16a)$$

Deep ocean temperature effect: In a closed parcel of seawater, a temperature increase causes an incremental pH decrease but almost no change in CO<sub>3</sub><sup>2-</sup>. Rather, the main effect is a -1.5% decrease in  $K_{sp}$  that causes a +1.5% increase in CaCO<sub>3</sub> saturation per degree of warming (and the opposite for cooling).

$$\frac{\delta_T\Omega}{\Omega} \cong \frac{\delta_T K_{sp}}{K_{sp} * \delta T} * \delta T \cong \frac{+1.5\%}{^\circ C} * \delta T \quad (16b)$$

Neutralization of land (or fossil) carbon release: Once dispersed through the ocean/atmosphere system, the CO<sub>2</sub> released from a reduction in land carbon storage titrates seawater B(OH)<sub>4</sub><sup>-</sup> and CO<sub>3</sub><sup>2-</sup>, lowering CaCO<sub>3</sub> saturation by about 3.4% per 100 PgC land carbon release.

$$\frac{\delta_{Land}\Omega}{\Omega} \cong \frac{-CPF}{CO_3^{2-}} * \frac{1}{M_{oc}} * \frac{\delta C_{land}}{12gC/molC} \cong \frac{-3.4\%}{100PgC} * \delta C_{land} \quad (16c)$$

Soft-tissue pump effect, expressed in terms of mean ocean regenerated phosphate ( $\overline{P_{reg}}$ ): The production, sinking, and respiration of organic matter effectively redistributes CO<sub>3</sub><sup>2-</sup> within the ocean. Ocean carbonate ion decreases at the depth of the lysocline (the key depth for carbonate compensation) if additional sequestration at the lysocline depth ( $\delta P_{reg}^{lys}$ ) exceeds the mean ocean change in sequestration ( $\delta \overline{P_{reg}}$ ) by a factor  $X_{STP}$ . For a +0.1- $\mu$ mol/kg increase in regenerated phosphate concentrated at the lysocline by a factor of 2, deep ocean saturation declines by about 7.9%.

$$\frac{\delta_{STP}\Omega}{\Omega} \cong \frac{CPF}{CO_3^{2-}} * (106 + 18) * (\delta P_{reg}^{lys} - \delta \overline{P_{reg}}) = \frac{CPF}{CO_3^{2-}} * 124 * (X_{STP} - 1) * \delta \overline{P_{reg}} \cong \frac{-7.9\%}{0.1\mu mol/kg} * \delta \overline{P_{reg}} \quad (16d)$$

Carbonate pump effect, expressed in terms of mean ocean alkalinity regenerated from CaCO<sub>3</sub> dissolution ( $\overline{ALK_{reg}}$ ): The precipitation, sinking, and dissolution of CaCO<sub>3</sub> effectively redistributes CO<sub>3</sub><sup>2-</sup> within the ocean. Ocean carbonate ion increases at the depth of the lysocline (the key depth for carbonate compensation) if additional regenerated alkalinity sequestration at that depth ( $\delta ALK_{reg}^{lys}$ ) exceeds the mean ocean change in sequestration ( $\delta \overline{ALK_{reg}}$ ) by a factor  $X_{CP}$ . For a +10- $\mu$ mol/kg increase in mean ocean regenerated alkalinity concentrated at the lysocline by a factor 2, deep ocean saturation rises by about 6.1%.

$$\frac{\delta_{CP}\Omega}{\Omega} \cong \frac{CPF}{CO_3^{2-}} * \frac{(1-2)}{2} * (\delta ALK_{reg}^{lys} - \delta \overline{ALK_{reg}}) = \frac{-CPF}{2 * CO_3^{2-}} * (X_{CP} - 1) * \delta \overline{ALK_{reg}} \cong \frac{+6.1\%}{10\mu mol/kg} * \delta \overline{ALK_{reg}} \quad (16e)$$

Carbonate compensation effect, expressed in terms of mean ocean alkalinity change ( $\delta ALK$ ): An increase in mean ocean alkalinity and DIC from net dissolution of CaCO<sub>3</sub> raises deep ocean CO<sub>3</sub><sup>2-</sup> and CaCO<sub>3</sub> saturation by raising preformed ALK and DIC in a 2:1 ratio. Per +10  $\mu$ mol/kg of alkalinity increase, deep CaCO<sub>3</sub> saturation rises by about 3.3%.

$$\frac{\delta_{comp}\Omega}{\Omega} \cong \frac{CPF}{2 * CO_3^{2-}} * \delta ALK \cong \frac{+3.3\%}{10\mu mol/kg} * \delta ALK \quad (16f)$$

Atmospheric compensation effect, expressed in terms of net change in atmospheric carbon “storage” ( $\delta C_{atm}$ ), which is known from ice core CO<sub>2</sub> reconstructions to be almost -200 PgC for the LGM relative to the preindustrial period. This is the sum of a minor recursive term that has been neglected in all the other effects described earlier (Equations 16a–16f), and it accounts for the fact that the 600 PgC in the preindustrial atmosphere are not trivial compared to the less than 4,000 PgC ocean carbonate ion inventory. A net 200 PgC transfer distributed throughout the bulk ocean mass ( $M_{oc}$ ) would titrate roughly 3.4% of the ocean’s existing carbonate ion content to drive its own small compensation event that slightly amplifies the net open-system CO<sub>2</sub> effects of the other compensation mechanisms. This term is not included in Tables 1–3 or Figures 3 and 6. This term helps further lower CO<sub>2</sub> levels, but it is much smaller than the changes in deep ocean saturation caused directly by changes in land carbon storage, temperature, ice sheets, and the biological pump (Figure 6; Table 2).

$$\frac{\delta_{comp}\Omega}{\Omega} \cong \frac{CPF}{CO_3^{2-}} * \frac{1}{M_{oc}} * \frac{\delta C_{atm}}{12gC/molC} \cong \frac{+3.4\%}{100PgC} * \delta C_{atm} \quad (1)$$

the 6% direct CO<sub>2</sub> reduction due to the closed-system CO<sub>2</sub> effect of the soft-tissue pump, nearly doubling the net CO<sub>2</sub> reduction (Table 1; Hain et al., 2010; Keir, 1988; Toggweiler, 1999). Likewise, a 10-μmol/kg strengthening of dissolved CaCO<sub>3</sub> sequestration by the carbonate pump, when concentrated by  $X_{CP} = 2$  in the deep ocean, causes CaCO<sub>3</sub> burial to temporarily exceed dissolved CaCO<sub>3</sub> supply from weathering, decreasing oceanic dissolved CaCO<sub>3</sub> by 10 μmol/kg. This causes a 20-μmol/kg decrease in mean ocean alkalinity that raises atmospheric CO<sub>2</sub> by 4%, in addition to the 4% direct CO<sub>2</sub> increase due to the closed-system CO<sub>2</sub> effect of the stronger carbonate pump (Table 1).

In summary, mean ocean alkalinity change caused by the open-system dynamic of CaCO<sub>3</sub> compensation can significantly amplify the direct atmospheric CO<sub>2</sub> effect of both the biological pump's soft-tissue and carbonate components. Moreover, CaCO<sub>3</sub> compensation largely cancels the direct closed-system CO<sub>2</sub> effect of reduced land carbon storage by making the ocean more alkaline. Finally, the CaCO<sub>3</sub> compensation responses to the lower temperature and reduced volume of the LGM ocean largely cancel each other, such that the mean ocean alkalinity increase is dominated by the closed-system effect of the ocean volume reduction. Taken together, it seems plausible that LGM mean ocean alkalinity was up to 200 μmol/kg greater than in the modern ocean (Tables 2 and 3, and Figure 6), including approximately 81 μmol/kg from the closed-system ocean volume effect, approximately 40 μmol/kg from the CaCO<sub>3</sub> compensation of 350-PgC LGM land carbon reduction, and perhaps up to 100 μmol/kg from the CaCO<sub>3</sub> compensation of a stronger LGM biological pump, the regenerated products of which were concentrated in the deeper half of the ocean ( $X_{STP} = X_{CP} = 2$ ; e.g., Boyle, 1988a, 1988b; Cartapanis et al., 2016; Hain et al., 2010; Jaccard et al., 2009; Toggweiler, 1999). In these calculations, no shift has been assumed in the ocean's steady-state lysocline depth that is overlain on the process of CaCO<sub>3</sub> compensation. The following section addresses the possibility of an ice age change in the steady-state lysocline depth, that is, the lysocline depth expected once the ocean had arrived at its new steady-state balanced ALK budget under ice age conditions.

### Lysocline Constraints

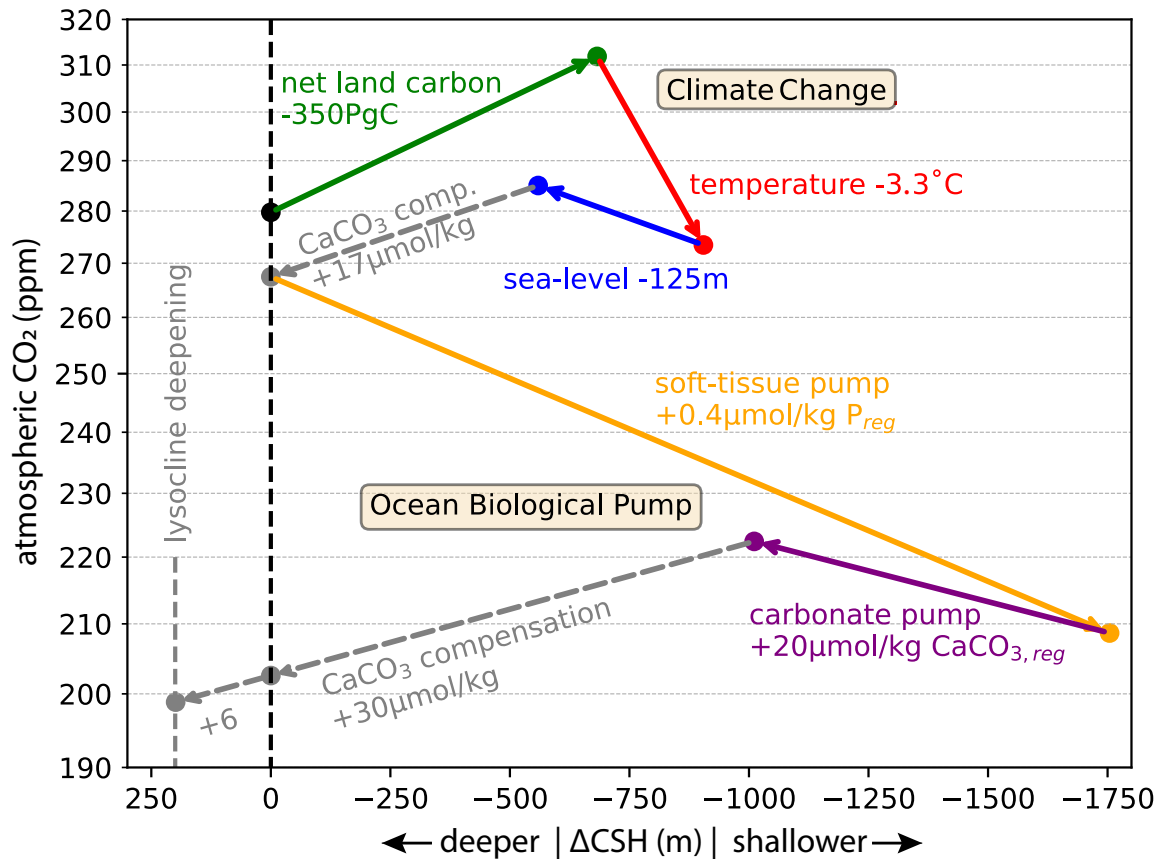
Because CaCO<sub>3</sub> compensation is a transient dynamic that restores the balance between weathering on land and CaCO<sub>3</sub> burial in the ocean, there are a number of potential carbon cycle changes that would lead to a change in the steady-state deep ocean saturation state (and thus lysocline depth) after CaCO<sub>3</sub> compensation is complete (e.g., Archer, 1991, 1996a, 1996b; Archer & Maier-Reimer, 1994; Archer et al., 2000; Keir, 1988; Sigman & Boyle, 2000; Sigman et al., 1998). However, observational constraints on the seafloor depth distribution of CaCO<sub>3</sub> preservation for the LGM ocean suggest that the global ocean saturation horizon depth was similar to the modern (e.g., Berger, 1982a, 1982b; Catubig et al., 1998; Chalk et al., 2019; Farrell & Prell, 1989, 1991; Yu et al., 2010,

2014; see also Cartapanis et al., 2016; Rickaby et al., 2010; Wood et al., 2023). Biological CaCO<sub>3</sub> production ( $F_{prod}$ ) and the dissolution of that CaCO<sub>3</sub> ( $F_{diss}$ ) are much greater than the rate of carbonate weathering on land ( $F_{weath}$ ) or the preservation and burial of CaCO<sub>3</sub> in ocean sediments ( $F_{burial}$ ). However, the recycling of alkalinity within the ocean has no effect on the ocean's mean alkalinity, a change in which requires an imbalance between carbonate weathering and burial (Equation 16a). If, for example, weathering increases, a transient surplus of alkalinity supply over burial that leads the ocean to become more alkaline could be expected until its CaCO<sub>3</sub> saturation state has increased sufficiently to permit adequate preservation to balance the elevated weathering supply with elevated burial:

$$0 = \frac{\delta CaCO_3}{\delta t} = F_{weath.} - (F_{prod.} - F_{diss.}) = F_{weath.} - F_{burial} \quad (17)$$

Provisionally assuming that CaCO<sub>3</sub> production is constant (until considering hypotheses; Table 3), these interactions define a negative feedback between CaCO<sub>3</sub> saturation state and seafloor dissolution that restores weathering and burial toward a balanced steady state, forming the basis for the assertion that deep ocean CaCO<sub>3</sub> saturation is to be restored by CaCO<sub>3</sub> compensation (e.g., Sigman et al., 1998; Toggweiler, 1999; Equation 15a). To explain why saturation state in the deep ocean is specifically critical in the operation of this feedback and how deep-sea sediment cores record deep ocean saturation, a brief review of the controls on seafloor CaCO<sub>3</sub> dissolution is needed.

Two controls on seafloor CaCO<sub>3</sub> dissolution fluxes warrant attention. The first is the degree of CaCO<sub>3</sub> saturation of the overlying bottom seawater (Archer, 1996a; Archer, 1996b; Boudreau, 1987; Broecker & Takahashi, 1978; Emerson & Bender, 1981; Honjo & Erez, 1978; Li et al., 1969; Takahashi, 1975; Broecker & Takahashi, 1977). Due to hydrostatic pressure, CaCO<sub>3</sub> saturation declines approximately 1.8% for each 100 m of water depth (Equation 18c), and hence, preservation generally occurs on seafloor shallower than 3.5 km and complete dissolution on seafloor deeper than 4.5 km, placing the transition zone in the deep-to-abyssal ocean. This transition zone is typically apparent as a strong gradient in sedimentary CaCO<sub>3</sub> percentage and is often referred to as the "lysocline." The top of the lysocline is often near the depth of the "calcite saturation horizon" (CSH), the crossover from CaCO<sub>3</sub> supersaturation to undersaturation (Figure 5). Second, CO<sub>2</sub> evolved from organic matter respiration within the sediment efficiently lowers the saturation state within the sediment porewaters and thereby promotes dissolution unless the bottom water is sufficiently oversaturated to allow for the diffusion of carbonate ion from the bottom water into the sediment (Archer, 1991; Archer et al., 1989; Berger, 1970; Boudreau, 1987; Emerson & Bender, 1981; Hales, 2003; Hales & Emerson, 1996, 1997a, 1997b). The relationship between the lysocline and the CSH can be complicated by respiration



**Figure 6. Composite  $\text{CO}_2$  scenario for the Last Glacial Maximum.** When assessing the drivers of observed  $\text{CO}_2$  drawdown during the Last Glacial Maximum, processes centered outside the ocean are considered first: 350 PgC net land carbon release (green),  $3.3^\circ\text{C}$  ocean cooling (red), and ice growth that lowered sea-level by 125 m (blue). The direct effect of land carbon release to raise  $\text{CO}_2$  is nearly canceled by carbonate compensation, while the reduction in ocean volume offsets a significant portion of the effects of cooling. Compounding these three drivers (green, red, and blue) raises  $\text{CO}_2$  from 280 to 285 ppm and shoals the calcite saturation horizon by approximately 600 m, but  $\text{CO}_2$  is then reduced to 267 ppm ( $-4\%$ ) if carbonate compensation adds  $+17\ \mu\text{mol/kg}$  of dissolved  $\text{CaCO}_3$  to the ocean in order to restore the CSH to its initial depth (upper gray dashed arrow). At this point, the initial mean ocean alkalinity has been concentrated by approximately 3% ( $+83\ \mu\text{mol/kg}$ , closed-system mean ocean alkalinity increase) and added to by carbonate compensation ( $+34\ \mu\text{mol/kg}$  open-system alkalinity increase from  $+17\ \mu\text{mol/kg}$  net  $\text{CaCO}_3$  dissolution), but atmospheric  $\text{CO}_2$  has declined by only 4%. Thus, the ocean's biological pump is thought to drive most of the residual  $\text{CO}_2$  drawdown. For example, if an additional  $0.4\ \mu\text{mol/kg}$  of regenerated phosphorus and an additional  $20\ \mu\text{mol/kg}$  regenerated  $\text{CaCO}_3$  were stored in the deeper half of the ocean (i.e.,  $X_{\text{STP}} = X_{\text{CP}} = 2$ ; Equations 16d/e), atmospheric  $\text{CO}_2$  would decline from 280 to 231 ppm ( $-17\%$ ), and the CSH would shoal by about 1 km, driving carbonate compensation to add  $+30\ \mu\text{mol/kg}$  of dissolved  $\text{CaCO}_3$  to the ocean in order to restore the initial CSH (lower gray dashed arrow) and thereby further lowering  $\text{CO}_2$  to 221 ppm (from  $-17\%$  to  $-25\%$ ; in addition to the already compensated 4%  $\text{CO}_2$  decrease from changes in land carbon, ocean temperature, and ocean volume). Reconstructed patterns of deep seafloor  $\text{CaCO}_3$  preservation allow for minor ocean-average CSH deepening during the LGM, with an addition of  $+6\ \mu\text{mol/kg}$  dissolved  $\text{CaCO}_3$  to the ocean and its associated atmospheric  $\text{CO}_2$  drawdown ( $-2\%$ ) for approximately 200 m of steady-state CSH deepening relative to the modern reference. Compounding the effects of ocean temperature, ocean volume, land carbon, and biological pump hence directly reduces  $\text{CO}_2$  to 235 ppm ( $-16\%$ ), shoals the CSH dramatically, and thereby causes  $+53\ \mu\text{mol/kg}$  net  $\text{CaCO}_3$  dissolution for carbonate compensation to yield slight steady-state lysocline deepening. This rough observational lysocline constraint limits the contribution of low-latitude ocean processes to  $\text{CO}_2$  drawdown because hypotheses of reductions in  $\text{CaCO}_3$  export production, reductions in coral reef  $\text{CaCO}_3$  burial in shallow waters, increases in weathering from land and exposed marine sediments, and nutrient deepening all depend in part on steady-state CSH deepening to raise ocean alkalinity and reduce atmospheric  $\text{CO}_2$ . Instead, it favors an increased efficiency of the biological pump — due to polar ocean changes in deep water formation, degree of surface nutrient consumption, and/or air-sea gas exchange — as the dominant cause of lower atmospheric  $\text{CO}_2$  during the LGM and other ice ages. The initial temperature, DIC, and ALK are taken from Table A.3 in Sarmiento and Gruber (2006), and carbonate chemistry is solved using PyCO2sys (Humphreys et al., 2022) assuming a constant  $\Phi$  of 0.85 to account for equilibration with the atmosphere. For the soft-tissue and carbonate pumps, increases in regenerated DIC and ALK are assumed to be concentrated in the deeper half of the ocean ( $X = 2$ ; see Box 2), as is appropriate for polar ocean mechanisms. Detailed results are given in Table 2, and a collection of mechanistic scenarios for changes in the biological pump is given in Table 3.

within the sediments (which can shoal the top of the lysocline relative to the saturation horizon; idealized in Figure 5) as well as at locations with high  $\text{CaCO}_3$  rain rate to the seabed (which can deepen the lysocline relative to the saturation horizon). While the CSH and lysocline may be offset, a change in deep ocean carbonate ion concentration

tends to shift the CSH and the lysocline by similar magnitudes (Sigman et al., 1998).

The net effect of these two dynamics is to separate the deep ocean seafloor into three preservation zones: (a) a burial zone where oversaturation of the seawater is sufficient to neutralize the respired  $\text{CO}_2$  and protect most of the  $\text{CaCO}_3$

from corrosion, (b) a dissolution zone where combined undersaturation and sediment respiration are sufficient to dissolve effectively all seafloor CaCO<sub>3</sub>, and (c) the *lysocline*, the transition zone between (a) and (b) where dissolution is substantial but not sufficient to dissolve all CaCO<sub>3</sub> sinking from the surface ocean to the seafloor (Archer, 1991, 1996b; Archer et al., 1989; Broecker & Takahashi, 1977; Jahnke et al., 1994).

A lysocline shift would change the seafloor area above the lysocline where CaCO<sub>3</sub> is preserved and buried and would thus affect the total deep ocean flux of CaCO<sub>3</sub> preservation and burial:

$$F_{burial} \approx \frac{F_{prod}}{A_{oc}} * A_{lyso.}^{\uparrow} + 0 * A_{lyso.}^{\downarrow} \quad (18a)$$

$$\delta F_{burial} \approx \frac{\delta F_{prod}}{A_{oc}} * A_{lyso.}^{\uparrow} + \frac{F_{prod}}{A_{oc}} * \frac{\delta A_{lyso.}^{\uparrow}}{\delta z} * \delta CSH \quad (18b)$$

where  $A_{lyso.}^{\uparrow}$  and  $A_{lyso.}^{\downarrow}$  are the seafloor areas above and below the ocean depth of the lysocline (and thus also, approximately, the CSH) and  $A_{lyso.}^{\uparrow}/\delta z$  reflects the depth distribution of the seafloor (i.e., its “hypsometry”). This simplified formulation has three important implications: First, any change in CaCO<sub>3</sub> export from the surface to the deep ocean ( $\delta F_{prod.}$ ) directly affects global CaCO<sub>3</sub> burial because of the large area of seafloor above the lysocline, where CaCO<sub>3</sub> is preserved and buried. Second, every forced 1% decrease/increase in abyssal ocean  $\Omega$  would shoal/deepen the calcite saturation horizon by about 55 m, so as to decrease/increase the area of deep ocean CaCO<sub>3</sub> burial by about 2%, corresponding to approximately 6.5 million km<sup>2</sup> or 1.3% of Earth's total surface area—a transient dissolution/preservation event that facilitates CaCO<sub>3</sub> compensation (Broecker & Peng, 1987). Third, for every 1% reduction in CaCO<sub>3</sub> export—or for roughly a 4% increase in weathering, or for a roughly 2% decrease in net shelf carbonate burial, a major contributor to total ocean carbonate burial—the steady state lysocline must deepen by about 27 m to achieve balance between weathering and preservation so as to increase the seafloor area above the lysocline by about 1%.

$$\begin{aligned} \delta CSH &\cong \left( \frac{-1}{K_{sp}} * \frac{\delta_z K_{sp}}{\delta z} \right)^{-1} * \left( \frac{-CPF}{CO_3^{2-}} \right) * \frac{\delta CaCO_3}{M_{oc}} \\ &\cong \left( \frac{-1}{K_{sp}} * \frac{\delta_z K_{sp}}{\delta z} \right)^{-1} * \left( \frac{-CPF}{CO_3^{2-}} \right) * \left( \frac{+0.66\% - 2 * 0.56\%}{\frac{\mu mol}{kg}} \right)^{-1} * \Phi^{-1} * \frac{\delta_{lyso.CO_2}}{CO_2} \quad (18c) \end{aligned}$$

$$\begin{aligned} \frac{\delta_{lyso.CO_2}}{CO_2} &\approx \Phi * \left( \frac{-0.46\%}{\frac{\mu mol}{kg}} \right) * \frac{\delta CaCO_3}{M_{oc}} \\ &= \Phi * -0.6 * \frac{\delta \Omega}{\Omega} = \Phi * -0.6 * \left( \frac{1.8\%}{100m} \right) * \delta CSH \quad (18d) \end{aligned}$$

That is, a deepening of the lysocline (a positive  $\delta CSH$  in Equations 18b, 18c) is achieved by the net dissolution of

CaCO<sub>3</sub> ( $\delta CaCO_3$ ), which raises deep ocean CaCO<sub>3</sub> saturation ( $\delta \Omega / \Omega$ ) by about 1.8% per 100 m of CSH deepening (Equation 18d). For every unit of net addition of dissolved CaCO<sub>3</sub>, mean ocean ALK and DIC concentration increase by 2 units and 1 unit, respectively ( $\delta CaCO_3 / M_{oc}$  term reflects these concentration changes, shown as  $\Delta CaCO_3$  in Tables 1–3), which together cause a fractional change in bulk ocean carbonate ion concentration ( $-CPF/CO_3^{2-}$  term reflects the buffering of the bulk ocean; see Equation 5). Because the solubility of CaCO<sub>3</sub> increases exponentially with pressure and water depth  $z$  ( $-\delta_z K/K$  term), a linear increase in CSH depth (positive  $\delta CSH$ ) corresponds to a fractional increase in bulk ocean carbonate ion that causes a fractional reduction in atmospheric CO<sub>2</sub> ( $\delta_{lyso} CO_2 / CO_2$ ). This expression can be reframed to highlight the fundamental sensitivities of atmospheric CO<sub>2</sub> to the open-system CaCO<sub>3</sub> cycle (Equation 18d): CO<sub>2</sub> declines by 0.46% per each 1- $\mu$ mol/kg net CaCO<sub>3</sub> dissolution, by 0.6% for every 1% increase in deep ocean saturation due to net CaCO<sub>3</sub> dissolution, and by about 1.1% (0.6 \* 1.8%, or ~3 ppm) for every 100 m of CSH deepening due to net CaCO<sub>3</sub> dissolution. This relationship between CO<sub>2</sub> and CSH sets the slopes of the dashed arrows projecting to  $\Delta CSH = 0$  in Figure 3 and Figure 6, representing the CaCO<sub>3</sub> compensation that restores the lysocline back to its current steady-state depth.

A number of hypotheses for lowering ice age CO<sub>2</sub> are based on a proposed rise in ocean alkalinity associated with a deepening of the steady-state lysocline relative to its modern depth (positive  $\Delta CSH$  in Figure 3 and Figure 6). These include (a) a glacial increase in continental weathering, (b) a glacial reduction in CaCO<sub>3</sub> export production either because of lower low-latitude ocean productivity (Kwon et al., 2009; Matsumoto et al., 2002) or less CaCO<sub>3</sub> production per unit of productivity (Archer & Maier-Reimer, 1994; Chikamoto et al., 2009; Matsumoto and Sarmiento, 2008), and (c) a glacial reduction in coral reef growth (Berger, 1982a, 1982b; Opdyke & Walker, 1992; Wood et al., 2023). However, the CO<sub>2</sub> reduction is only about 1% (~3 ppm, Table 1) per 100 m of steady-state lysocline deepening (Figure 3). Reconstructions of deep-sea CaCO<sub>3</sub> burial (e.g., Cartapanis et al., 2016; Hays et al., 2021; Wood et al., 2023) and saturation (e.g., Chalk et al., 2019; Yu et al., 2010, 2014) allow for no more than a few hundred meters of LGM lysocline deepening relative to the modern, which would imply a maximal CO<sub>2</sub> reduction of less than 10 ppm associated with lysocline deepening. All the preceding hypotheses for atmospheric CO<sub>2</sub> reduction that lead to lysocline deepening must compete to cause this maximal CO<sub>2</sub> reduction.

Conversely, hypotheses that invoke glacial increases in low- and mid-latitude biological production by increasing the overall nutrient (nitrogen and phosphorus) inventory of the ocean (Broecker, 1982a, 1982b; Broecker & Henderson, 1998; Falkowski, 1997) suffer from the possibility of raising CaCO<sub>3</sub> production (Box 1), which would force a decline in ocean alkalinity due to a shoaling of the steady state lysocline, which works to raise atmospheric CO<sub>2</sub> and thus offsets a component of CO<sub>2</sub> drawdown due to the

biological pump (Sigman & Boyle, 2000; Sigman et al., 1998). In contrast, because polar biological productivity is associated with proportionally less  $\text{CaCO}_3$  production, polar ocean changes in productivity and nutrient consumption do not cause substantial steady-state lysocline changes, except to the degree that they alter  $\text{CaCO}_3$  production in the low-latitude ocean (Archer et al., 2000; Hain et al., 2010, 2014; Keir, 1988; Sigman et al., 1998). Moreover, the polar regions have great leverage over the global efficiency of the soft-tissue pump, and their sinking organic matter is efficiently routed into deep waters at the depth of the lysocline. Thus, hypothesized polar biogeochemical changes discussed later can drive a significant portion of their atmospheric  $\text{CO}_2$  drawdown through  $\text{CaCO}_3$  compensation and without causing large changes in the steady-state lysocline depth (Hain et al., 2010; Sigman & Boyle, 2000; Sigman et al., 1998).

Any imbalance in the ocean's  $\text{CaCO}_3$  budget can be framed as the vertical offset  $\Delta\text{CSH}$  between the current CSH and the depth it would need to be at to yield a balanced the  $\text{CaCO}_3$  budget—the steady-state CSH depth. The carbonate compensation feedback will over time reduce the imbalance so that CSH and lysocline relax towards their steady state depths, where  $\Delta\text{CSH}$  is zero. Making the simplifying assumptions of (a) constant  $\text{CaCO}_3$  rain per area ( $F_{\text{prod}}/A_{\text{deep}}$ ) and (b) a constant slope for deep ocean seafloor ( $\delta A_z^1/\delta z$ ), the timescale of lysocline adjustment is solved for analytically:

$$\frac{\delta \text{CaCO}_3}{\delta t} \approx \frac{\delta A_z^1}{\delta z} * \frac{F_{\text{prod.}}}{A_{\text{deep}}} * \Delta\text{CSH} \quad (19a)$$

$$\frac{\delta \Delta\text{CSH}}{\delta t} = \left( \frac{\delta A_z^1}{\delta z} * \frac{K_{\text{sp}} * \delta z}{\delta_z K_{\text{sp}}} * \frac{F_{\text{prod.}}}{A_{\text{deep}}} * \frac{\text{CPF}}{M_{\text{oc}}} * \frac{\text{Ca}^{2+}}{K_{\text{sp}}} \right) * \Delta\text{CSH} = \frac{\Delta\text{CSH}}{\tau_{\text{lysocline}}} \quad (19b)$$

$$\tau_{\text{lysocline}} = \left( \frac{\delta A_z^1}{A_{\text{deep}} * \delta z} * \frac{K_{\text{sp}} * \delta z}{\delta_z K_{\text{sp}}} * \frac{\text{CPF} * F_{\text{prod.}}}{M_{\text{oc}}} * \frac{\text{Ca}^{2+}}{K_{\text{sp}}} \right)^{-1}$$

$$= \left( \frac{2\%}{100\text{m}} * \frac{100\text{m}^{-0.65+40+10^{12}\text{mol}}}{1.8\% * 1.4*10^{21}\text{kg}} * \frac{0.01\text{mol/kg}}{8*10^{-7}\text{mol}^2/\text{kg}^2} \right)^{-1} = 3877 \text{ yr} \quad (19c)$$

That is, any change in weathering or  $\text{CaCO}_3$  production or other perturbation to deep ocean  $\text{CaCO}_3$  saturation (see Box 2) would offset the CSH from its eventual steady state depth (by the amplitude of  $\Delta\text{CSH}$ ), with lysocline adjustment relaxing  $\Delta\text{CSH}$  over time to yield the new steady-state CSH. The adjustment is achieved through a transient imbalance between the weathering input and seafloor preservation ( $\delta\text{CaCO}_3/\delta t$ ), which reduces  $\Delta\text{CSH}$  with an e-folding timescale  $\tau_{\text{lysocline}}$  of about 3,900 years. This is lower than previous estimates (Archer et al., 1997; Broecker, 1982a, 1982b; Jeltsch-Thömmes & Joos, 2023), but uncertainties are high, for example, due to uncertainty in modern weathering and burial rates. Whatever imbalances may exist in the ocean *open-system*  $\text{CaCO}_3$  cycle will be compensated by lysocline adjustments that restore deep ocean carbonate ion concentration toward a level set by weathering and marine

$\text{CaCO}_3$  production. Hence, for any change in the global carbon cycle, while the direct  $\text{CO}_2$  effect is likely to be established after a few centuries of ocean overturning, the indirect open-system  $\text{CO}_2$  effect from transient and steady-state lysocline adjustments is expected to require thousands of years to unfold.



Table 2. LGM Scenario for Atmospheric CO<sub>2</sub> Drawdown

forcing	effect	value	direct CO <sub>2</sub> (ppm)	compensated CO <sub>2</sub> (ppm)	ΔCaCO <sub>3</sub> (μmol/kg)	direct ΔCSH (m)	compensated ΔCSH (m)	mean ocean DIC (μmol/kg)	mean ocean ALK (μmol/kg)	deep ocean O <sub>2</sub> (μmol/kg)
modern reference			280	280	+0	3656	3656	2249	2364	165
land C uptake	150 PgC		267 (-4%)	276 (-1%)	-8	277	0	2232	2348	165
land C release	-500 PgC		327 (+17%)	294 (+5%)	+27	-990	-0	2306	2418	165
net land C change	-350 PgC		312 (+11%)	290 (+3%)	+19	-682	-0	2289	2402	165
temperature	DIC speciation (K <sub>0</sub> , K <sub>1</sub> , K <sub>2</sub> )	-3.3 °C	245 (-12%)	245 (-12%)	+0	0	0	2249	2364	193
temperature	CaCO <sub>3</sub> saturation (K <sub>sp</sub> )	-3.3 °C	280 (0%)	272 (-3%)	+7	-243	0	2256	2379	165
temperature	combined	-3.3 °C	245 (-12%)	239 (-15%)	+7	-243	0	2256	2379	193
ice volume	DIC, ALK, & AOU concentration	-125 m	285 (+2%)	292 (+5%)	-7	241	0	2320	2431	160
ice volume	salinity & Ca concentration	-125 m	287 (+3%)	286 (+2%)	+2	-58	-0	2251	2367	163
ice volume	seafloor pressure (K <sub>sp</sub> )	-125 m	280 (+0%)	284 (+2%)	-4	138	0	2245	2356	165
ice volume	all combined	-125 m	293 (+5%)	303 (+8%)	-10	322	0	2317	2427	157
lysocline change	steady-state deepening	+179 m	280 (+0%)	274 (-2%)	+6	-20	179	2255	2376	165
biological pump	organic matter (P <sub>reg</sub> )	+0.4 μmol/kg	217 (-23%)	188 (-33%)	+50	-1883	-0	2299	2463	29
biological pump	regenerated CaCO <sub>3</sub>	+20 μmol/kg	302 (+8%)	329 (+18%)	-20	663	0	2229	2324	165
land <sub>d</sub> ,T,SL combined		as above	285 (+2%)	267 (-4%)	+17	-559	-0	2364	2479	185
biological pump	combined P <sub>reg</sub> , CaCO <sub>3</sub>	as above	231 (-17%)	210 (-25%)	+30	-1083	179	2279	2423	29
all combined		as above	235 (-16%)	199 (-29%)	+53	-1660	179	2400	2551	49

Note: This scenario for atmospheric CO<sub>2</sub> reduction during the Last Glacial Maximum (LGM) is computed in the same way and from the same modern reference point as Table 1, but using reconstruction-based estimates for net land carbon storage change, ocean cooling, sea-level lowering, and a Southern Ocean-focused scenario of biological pump change (Hain et al., 2010). Compounding the changes in land carbon storage, temperature, and sea-level lowering yields little net CO<sub>2</sub> reduction, and hence Southern Ocean changes, making the ocean's biological pump more efficient, appear central to the lower atmospheric CO<sub>2</sub> of the ice ages. This scenario for the LGM can account for 81-ppm (-29%) CO<sub>2</sub> drawdown without deepening the global steady-state CSH beyond observational constraints. All output parameters are as in Table 1.

## Hypotheses for Ice Age CO<sub>2</sub> Drawdown

The physicochemical, biogeochemical, and alkalinity budget-related mechanisms for altering atmospheric CO<sub>2</sub> outlined above comprise the geochemical possibilities for the origin of ice age CO<sub>2</sub> drawdown. However, this gallery of CO<sub>2</sub> sensitivities does not, by itself, offer a mechanistic explanation for why CO<sub>2</sub> tracked the ice age cycle, in rhythm with relatively minor changes in Earth's orbit. Any such explanation must identify climate-driven environmental changes that, through these sensitivities, caused atmospheric CO<sub>2</sub> to change. Even in the case of some otherwise external dynamic, such as CO<sub>2</sub> release from the solid Earth (Stott and Timmermann, 2011) or from terrestrial organic C deposits (Wadham et al., 2019; Zeng, 2003), the ocean's carbon cycle sensitivities are of central importance. The drawdown of atmospheric CO<sub>2</sub> during glacial maxima has become an important target in climate science that has prompted a range of mechanistic hypotheses and spurred a concerted effort by the scientific community to produce observational constraints and evidence for or against these. In the following discussion, these hypotheses are grouped by geographic region. First, causal mechanisms of CO<sub>2</sub> change centered outside the ocean are considered. Then ocean-based mechanisms are discussed, first those centered on the low latitudes and then those centered on the high latitudes. This structure helps to clarify why high-latitude ocean changes appear to be critical in CO<sub>2</sub> regulation during the ice ages. This finding, in turn, motivates an in-depth account of the various high-latitude ocean-centered hypotheses and their observational support.

Certain changes in the ice age ocean seem unavoidable as contributors to the atmospheric CO<sub>2</sub> changes of the glacial cycles. These include ocean cooling as a driver of ice age CO<sub>2</sub> decrease and ice volume increase and arguably terrestrial carbon loss as drivers of CO<sub>2</sub> increase. Having accounted for these “background” effects, there is not consensus as to whether the ice age CO<sub>2</sub> reductions are the result of many overlapping mechanisms or are dominated by one or a few central mechanisms. The following review of potential mechanisms ultimately favors two distinct changes in the Southern Ocean, one focused in its Subantarctic Zone and the other in its Antarctic Zone.

### **Effects of Background Ice Age Conditions**

Global surface temperature, land ice volume, terrestrial carbon storage, and land surface processes such as rock weathering have changed in association with the glacial cycles. These conditions and processes are not (or not dominantly) controlled by the ocean; nevertheless, due to the centrality of the ocean in the global carbon cycle, ocean carbon chemistry mediates their effects on atmospheric CO<sub>2</sub>.

A net increase in carbon storage on land would reduce the combined carbon inventory of the ocean and atmosphere, lowering atmospheric CO<sub>2</sub>. However, with only 1 of 15 carbon atoms derived from the atmosphere after

ocean CaCO<sub>3</sub> compensation (Box 2), a very large increase in land carbon would be required to explain the ice age decline in atmospheric CO<sub>2</sub>. In contrast, studies of marine carbonate carbon isotopes and lake pollen have led to the conclusion that the terrestrial biosphere *lost* roughly 300–400 PgC during ice ages (Adams et al., 1990; Crowley, 1991, 1995; Duplessy et al., 1988; Lindgren et al., 2018; Peterson et al., 2014; Prentice et al., 1993; Shackleton, 1977), a change that would have increased atmospheric CO<sub>2</sub> by about 10 ppm (+3%, Table 2). Arguments for as much as 1,250 PgC LGM of land carbon reduction (Jeltsch-Thömmes et al., 2019) would raise CO<sub>2</sub> significantly more and represent a major challenge for explaining low LGM CO<sub>2</sub>. An important caveat comes with episodes of abrupt destabilization of permafrost carbon and the associated CO<sub>2</sub> release to the atmosphere (Crichton et al., 2016; Köhler et al., 2014; Winterfeld et al., 2018), which may have caused temporary speed-ups in atmospheric CO<sub>2</sub> rise during the last deglaciation (e.g., Marcott et al., 2014; Monnin et al., 2001). Abrupt deglacial reductions in the carbon inventory of permafrost might result from continental warming that reduces the geographic extent of frozen ground and increases the active layer depth of summer-season thawing as well as from erosion of coastal permafrost soils with rising sea-levels. However, on longer timescales, ice sheet retreat exposes barren mineral soils, allowing biological growth to sequester soil carbon over time (e.g., Lindgren et al., 2018). Estimates of changes in organic carbon storage based on the global ocean carbon isotope change (i.e., Jeltsch-Thömmes et al., 2019; Shackleton, 1977) integrate the changes in permafrost and terrestrial biosphere carbon storage as well as those in organic carbon storage within shallow marine sediments, pointing to net carbon release during ice ages (Cartapanis et al., 2016). This comes with the caveat that ice age conditions may have advantaged C4 plants over C3 plants, which fractionate carbon isotopes differently (Hobbie & Werner, 2004). In general, significant uncertainties persist for the ice age changes in individual terrestrial organic carbon stocks as well as for the combined (net) change in land-and- shelf organic carbon storage.

Ocean water temperatures decline with the global cooling of ice ages (e.g., Baggenstos et al., 2019), thereby increasing the seawater solubilities of CO<sub>2</sub> and CaCO<sub>3</sub> as well as shifting seawater carbon speciation and pH, with a 3.4% CO<sub>2</sub> reduction and about 75-m CSH shoaling per 1 °C of cooling (Figure 3; Table 1). Observation-based estimates of deep ocean cooling from marine microfossil and porefluid data suggest 2–4 °C of ocean cooling during the LGM (e.g., Adkins et al., 2002; Cutler et al., 2003; Labeyrie et al., 1987; Martin et al., 2002; Schrag et al., 1996; Waelbroeck et al., 2002), and ice core noble gas estimates for the last three glacial maxima suggest 3.3 ± 0.4 °C of mean ocean cooling (Baggenstos et al., 2019; Haeblerli et al., 2021; Headly & Severinghaus, 2007; cf., Pöppelmeier et al., 2023). Taken at face value, the closed-system response to 3.3 °C of cooling corresponds to a –12% (~35-ppm) reduction in atmospheric

**Background changes**

- cooling -3.3°C
  - ocean volume -3%
  - land storage -350PgC
- = small CO<sub>2</sub> reduction  
= no lysocline change

**More weathering  
(or lower rain ratio)**

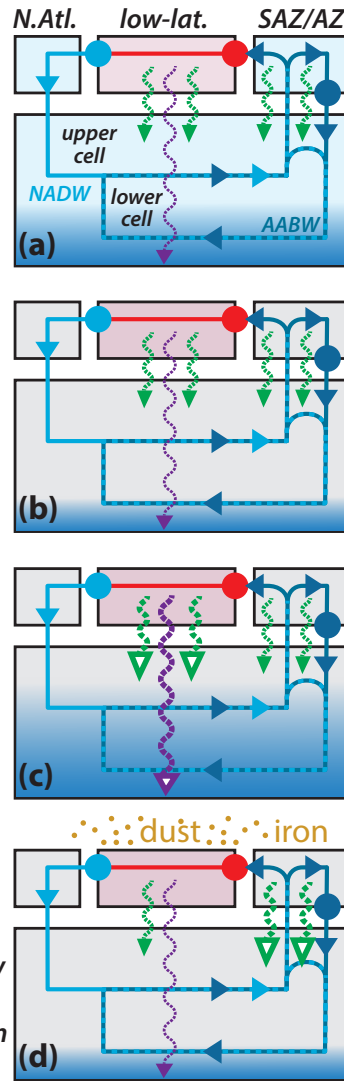
- (or lower shelf burial)  
= lysocline deepening  
= CO<sub>2</sub> -4ppm/100m CSH

**More phosphate**

- low-lat. productivity
  - shallow carbon storage
  - deep CaCO<sub>3</sub> rain
- = small CO<sub>2</sub> reduction  
= lysocline shoaling

**More dust-borne iron**

- more polar productivity
  - more carbon storage
  - less low-lat. productivity
  - less CaCO<sub>3</sub> rain
- = significant CO<sub>2</sub> reduction  
= lysocline deepening



**Figure 7. Global-scale hypotheses for ice age atmospheric CO<sub>2</sub> reduction.** (a) Background conditions associated with ice ages, when combined, yield little net CO<sub>2</sub> change, even after carbonate compensation restores the steady state lysocline to its original depth (bottom shading). (b) Hypotheses focused on the ocean's alkalinity budget. If weathering on land increased and/or the burial of CaCO<sub>3</sub> in the ocean decreased, the resulting imbalance would make the ocean more alkaline, increasing its carbon storage capacity and absorbing CO<sub>2</sub> from the atmosphere—but either change would cause deepening of the steady-state lysocline. (c) Hypotheses focused on the ocean's major nutrient budgets. An increase in the ocean's phosphate inventory would raise the inventory of nitrogen, the other major nutrient, and the two would spur biological productivity in the low-latitude surface ocean, driving additional biological carbon storage. However, the associated increase in CaCO<sub>3</sub> rain from the surface would increase carbonate burial, reduce ocean alkalinity, and shoal the steady state lysocline so as to yield little net CO<sub>2</sub> change. (d) Hypotheses focused on dust-borne iron fertilization of the ocean. As the micronutrient iron often limits biological productivity in higher latitude ocean regions, the reconstructed increase in dust-borne iron input to the ocean under cold and dry glacial climates may have fertilized phytoplankton growth in these regions. The reconstructed iron fertilization of the Subantarctic Zone in the Southern Ocean (Figure 9) draws down unused major nutrients, storing more carbon in the deep ocean. It also reduces the supply of major nutrients to the upper cell and the low-latitude ocean, thus reducing low-latitude productivity and CaCO<sub>3</sub> rain, which, in turn, causes ocean alkalinity to rise so as to deepen the steady state lysocline, causing additional CO<sub>2</sub> reduction in the process. Observations suggest that the steady-state lysocline changed little between the ice ages and the interglacials, offering a constraint that limits how much of the atmospheric CO<sub>2</sub> reduction may have been caused by the combination of these hypothesized mechanisms (Table 3). Moreover, neither of these hypotheses accounts for reconstructed changes in deep ocean circulation or the ice age reduction in productivity of the Antarctic Zone of the Southern Ocean (Figure 8), the major surface water source for the lower cell of the ocean's overturning circulation.

CO<sub>2</sub> and a 243 m shoaling of the CSH. To restore deep ocean CSH requires the net dissolution of 7 μmol/kg CaCO<sub>3</sub>, causing an open-system CO<sub>2</sub> decrease of -3% in addition to the -12% closed-system CO<sub>2</sub> decrease (for a net decrease of -41 ppm, -15%; Figures 3 and 6; Table 2).

The massive continental ice sheets of glacial times sequestered water and thereby reduced the ocean mass and volume and lowered global sea-level by about 125 m during the LGM, which corresponds to a CO<sub>2</sub> rise of +13 ppm (+5%, Table 2) and 322 m of CSH deepening for peak glacial conditions (Figure 3). This closed-system sensitivity includes a +3% increase in the concentrations of calcium, DIC, and ALK (e.g., increases in mean ocean alkalinity of +83 μmol/kg and in deep ocean carbonate ion of +3%, Table 2) and the effects of salinity and seafloor pressure on the carbonate chemistry equilibrium constants (Box 2). To restore deep ocean CSH requires the net burial of 10 μmol/kg CaCO<sub>3</sub>, causing an open-system CO<sub>2</sub> increase of +3% in addition to the +5% closed-system CO<sub>2</sub> increase (yielding a net increase of +23 ppm, +8%; Table 2; Figure 6).

These “background” ice age changes—land carbon release, ocean cooling, and ocean volume reduction—when taken

together, probably only modestly affected CO<sub>2</sub> during ice ages because (Figure 7a): (a) net land carbon release to the atmosphere is overwhelmingly buffered by ocean carbon uptake and CaCO<sub>3</sub> compensation, and (b) the CO<sub>2</sub> reduction driven by ocean cooling is significantly offset by the sequestration of ocean water into land ice (Tables 1 and 2, Figure 6). All of these changes are caused by global climate change, and so their CO<sub>2</sub> effects are climate feedbacks associated with the glacial cycles. However, in the aggregate, they fail to cause the observed CO<sub>2</sub> change: The combined closed-system driven CO<sub>2</sub> change is +5 ppm, while the net CO<sub>2</sub> reduction when including open-system effects is -13 ppm (Table 2, Figure 6). Ocean biogeochemical mechanisms related to the biological pump are thus implicated as the main driver of the ice age CO<sub>2</sub> cycles (Broecker, 1982a, 1982b; Table 3).

Nevertheless, the “background” changes caused a substantial net LGM increase in Earth's albedo—the fraction of incoming sunlight that is reflected back to space—related to the expansion of ice and snow cover, the contraction of forests, the expansion of land area, and greater dustiness of the atmosphere during peak glacial conditions (e.g., Hansen

et al., 1985; Köhler et al., 2010). This positive feedback between ice age surface changes, albedo increase, and radiative cooling is estimated to roughly double the global temperature response to the radiative forcing from observed changes in CO<sub>2</sub> and other greenhouse gases (Sherwood et al., 2020, Figure 1). Moreover, the increase in dust inputs has the potential to enhance global ocean CO<sub>2</sub> storage during the ice ages (Martin, 1990).

### **Low-Latitude Ocean Hypotheses**

Most of the ocean surface and seafloor is situated at low- and mid-latitudes, between 45° N and 45° S, where strong insolation forms a warm and thus buoyant surface water mixed layer that only slowly exchanges with colder and denser subsurface water. In these settings, the slow nutrient supply to the surface from depth leads to nearly complete surface nutrient consumption and a balance between nutrient supply and the export of organic matter with average nutrient-to-carbon ratios of 1:16:106 (P:N:C)—the “Redfield ratios” (Redfield, 1934). Furthermore, the low latitudes dominate CaCO<sub>3</sub> production and burial, both on the continental shelves and by calcifying plankton in the open ocean. Both the complete consumption of the nutrient supply and the extensive precipitation of CaCO<sub>3</sub> are in contrast to characteristics of biological productivity in polar ocean surface waters, as described below. These differences yield distinct sets of hypotheses for ice age CO<sub>2</sub> drawdown that are mechanistically linked to one or the other of these surface ocean environments. The low-latitude ocean-based hypotheses are organized into those that entirely relate to CaCO<sub>3</sub> cycling versus those hypotheses in which organic matter and nutrient cycling are central but with knock-on effects from CaCO<sub>3</sub>.

#### Reef-, Weathering-, and Rain Ratio-Driven Ocean Alkalinity Change

With the discoveries of orbitally paced glacial/interglacial cycles with major sea-level change (e.g., Broecker & Thurber, 1965; Hays et al., 1976) and abrupt CO<sub>2</sub> rise at the end of the last ice age, Berger (1982a, 1982b) noted the importance of CaCO<sub>3</sub> burial by coral reefs for the ocean’s alkalinity budget. He proposed that glacial sea-level lowering would expose shallow water reefs to reduce carbonate burial on the continental shelves and/or increase the weathering of newly emergent shelf carbonate deposits, reducing the ocean’s alkalinity output or driving a net alkalinity input, deepening the steady state lysocline and lowering atmospheric CO<sub>2</sub> (Opdyke & Walker, 1992), with about -1% (-2- to -3-ppm) atmospheric CO<sub>2</sub> decline per 100 m of steady-state lysocline deepening (see Equations 15c, 18d; Table 1). While the scenario is intuitive (Figure 7b), the requisite proportional increase in deep ocean CaCO<sub>3</sub> burial is not borne out by the observations (e.g., Archer & Maier-Reimer, 1994), which implies that the global average depth of the lysocline changed little (deepened by ~200 m in Table 2 and Figure 6) during peak glacials (e.g., Catubig et al., 1998; Yu et al., 2010), requiring that the difference between weathering and

shelf CaCO<sub>3</sub> burial remained constant (Cartapanis et al., 2016; Hays et al., 2021; Wood et al., 2023) or was offset by other changes.

The attempt to overcome the constraint of the ocean’s lysocline depth led to the proposal of a glacial reduction in the proportion of open ocean export of CaCO<sub>3</sub> relative to organic carbon: the “rain ratio hypothesis” (Archer & Maier-Reimer, 1994; Ridgwell et al., 2002). In this line of argument, the greater proportion of organic matter to CaCO<sub>3</sub> reaching the deepest seafloor would enhance porewater-driven seafloor CaCO<sub>3</sub> dissolution (Archer, 1991; Emerson & Bender, 1981) and thereby shoal the lysocline relative to the CSH (Figure 5). However, such decoupling of lysocline and CSH was found implausible for the LGM (Anderson & Archer, 2002; Ridgwell, 2003a; Sigman et al., 1998). Furthermore, reconstructions of deep-sea carbonate ion concentrations (Allen et al., 2015; Broecker & Clark, 2003; Marchitto et al., 2005; Yu et al., 2010) do not differ greatly between glacial and interglacial intervals, with the notable exception of significant glacial stage shoalings of CSH and lysocline in the Atlantic (e.g., Chalk et al., 2019; Francois et al., 1990) that have long been thought to counterbalance minor lysocline deepening in the Pacific (Emerson & Archer, 1990; Farrell & Prell, 1989). Hence, while the lysocline depth constraint allows for large changes in ocean alkalinity driven by CaCO<sub>3</sub> compensation (which affects the CSH only transiently), it effectively eliminates hypotheses that rely on steady-state CSH deepening to increase ocean alkalinity and lower CO<sub>2</sub> (Sigman et al., 1998). This includes scenarios in which changes in low-latitude surface CaCO<sub>3</sub> export are driven by changes in the CaCO<sub>3</sub>-to-organic carbon rain ratio or in the rate of nutrient supply to, and thus biological export production from, low-latitude surface waters.

#### Ocean Nutrient Inventory and the Biological Pump

In his first attempt to explain the newly discovered ice age CO<sub>2</sub> drawdown, Broecker (1982a, 1982b) recognized the potential of the ocean’s biological pump (more specifically, its soft-tissue pump) to store more carbon in the ocean interior. He considered three observational tests of this possibility, all focused on the ice age deep ocean: (a) a stronger surface-to-deep carbon isotopic gradient, (b) lower deep ocean oxygen concentrations, and (c) a deep seafloor CaCO<sub>3</sub> dissolution event upon glaciation and a CaCO<sub>3</sub> preservation event upon deglaciation. With some caveats, there is now compelling support for each of these tests (e.g., Anderson et al., 2019; Curry & Oppo, 2005; François et al., 1997; Galbraith & Jaccard, 2015; Hoogakker et al., 2018; Jaccard et al., 2009, 2016; Jacobel et al., 2020; Marchitto et al., 2005; Vollmer et al., 2022). These findings have motivated the ongoing search for a mechanism by which the biological pump was strengthened during ice ages.

Broecker’s (1982a, 1982b) focus was on the low-latitude ocean (Figure 7c), where the supply of nitrogen and phosphorus, the two “major nutrients” required universally and in large quantities by phytoplankton, are fully consumed

and ultimately converted to export production. An increase in the ocean's inventory of the major nutrients would increase their supply to the surface, drive an increase in export production, and hence sequester additional carbon away from the atmosphere. This first-order description of sustained biological carbon sequestration in the ocean interior was the first paradigm of the biological pump (Figure 4a).

Phosphorus is delivered to the ocean by rivers, and it spends on average >16 kyr in the ocean between entering from the continents and being buried in sediment, mainly along the continental margin (Froelich et al., 1982; Ruttenberg, 2003). Yet, during the major deglaciations, CO<sub>2</sub> rises by >80 ppm in less than 10 kyr, which requires a major excess in phosphorus removal during these periods. Broecker suggested the deposition of phosphorus-containing marine organic matter on coastal sediments upon deglacial sea-level rise as the special process that drove the hypothesized rapid deglacial decline in ocean phosphorus, but phosphorus would have declined by less than 40% even if burial had somehow doubled over the approximate 10 kyr of deglacial sea-level rise. Critically, since Broecker's proposal, it was determined that sea-level rise lags the increase in atmospheric CO<sub>2</sub> at deglaciations (Bard et al., 1990; Fairbanks, 1989), which rules out Broecker's shelf

sediment-based hypothesis in its original form. Still, climate-related changes in shelf phosphorus storage appear possible and warrant continued investigation (Wallmann, 2010).

Phytoplankton in the tropical to subtropical ocean are most frequently found to be limited by biologically available ("fixed") nitrogen (Moore et al., 2013). Accordingly, it has been proposed that changes in the ocean fixed nitrogen reservoir might strengthen the biological pump so as to explain part of the ice age CO<sub>2</sub> drawdown (Broecker & Henderson, 1998; Falkowski, 1997; McElroy, 1983). Dinitrogen (N<sub>2</sub>), a gas that composes most of the atmosphere and is abundant in ocean water, is converted to oceanic fixed nitrogen through biological nitrogen fixation, and it spends on average 2–3 kyr in the ocean before being "denitrified" (converted from nitrate back to N<sub>2</sub>) by bacteria that thrive under oxygen depletion, mainly in sediments on the continental shelf and in oxygen-deficient zones of the ocean's water column (Brandes & Devol, 2002). On average, nitrogen and phosphorus are consumed in the Redfield ratio of 16:1 during the production of open ocean biomass and released back into the water column in that ratio during its remineralization (Equation 9a). Denitrification, by removing oceanic fixed N but not P, generates a nitrogen deficit (or phosphorus excess) relative to the stoichiometric requirements of plankton (Broecker & Peng, 1982; Deutsch et

**Table 3. Comparing CO<sub>2</sub> Effects of Different Hypotheses**

scenario	P <sub>prf</sub> PAZ/NA/SAZ	volume ventilated PAZ/NA/SAZ	ΔP <sub>reg</sub>	ΔCaCO <sub>3</sub> <sup>reg</sup>	SAZ export	AZ export	Low lat. export	direct ΔCSH	lysocline deepening	deep O <sub>2</sub>	direct CO <sub>2</sub>	ΔCaCO <sub>3</sub>	compensated CO <sub>2</sub>
	μmol/kg	%	μmol/kg	μmol/kg	Δ%	Δ%	Δ%	meters	meters	μmol/kg	ppm (Δ%)	μmol/kg	ppm (Δ%)
a) T, SL, and land carbon combined	2.10/0.80/1.2	35%/25%/20%	0.000	+0	+0%	+0%	+0%	-559 m	0 m	165	285	17	267
b) P <sub>total</sub> +0.4 μmol/kg (+18%)	2.50/1.00/1.6	35%/25%/20%	0.130	+4	-0%	+0%	+18%	664 m	-498 m	143	247(-7%)	-20	266(+0%)
c) Subantarctic P <sub>prf</sub> -0.3 μmol/kg	2.25/0.65/0.9	35%/25%/20%	0.045	-3	+50%	+0%	-14%	-693 m	373 m	150	255(-4%)	21	237(-11%)
d) Subantarctic P <sub>prf</sub> -0.6 μmol/kg	2.40/0.50/0.6	35%/25%/20%	0.090	-6	+100%	+0%	-28%	-1407 m	747 m	135	246(-7%)	43	214(-20%)
e) Antarctic P <sub>prf</sub> -0.4 μmol/kg	1.70/0.80/1.2	35%/25%/20%	0.140	+0	+0%	+200%	+0%	-580 m	0 m	118	243(-9%)	17	229(-14%)
f) Antarctic P <sub>prf</sub> -0.8 μmol/kg	1.30/0.80/1.2	35%/25%/20%	0.280	+0	+0%	+400%	+0%	-1198 m	0 m	70	223(-16%)	35	200(-25%)
g) Antarctic P <sub>prf</sub> -1.2 μmol/kg	0.90/0.80/1.2	35%/25%/20%	0.420	+0	+0%	+600%	+0%	-1849 m	0 m	23	205(-23%)	52	177(-34%)
h) Antarctic isolation (10Sv)	2.10/0.80/1.2	25%/30%/25%	0.110	+10	+0%	-49%	+0%	-119 m	0 m	128	256(-3%)	4	253(-5%)
i) Antarctic isolation (3.5Sv)	2.10/0.80/1.2	15%/35%/30%	0.220	+20	+0%	-82%	+0%	-240 m	0 m	91	247(-7%)	7	241(-9%)
j) Antarctic isolation (0Sv)	2.10/0.80/1.2	0%/45%/35%	0.395	+40	+0%	-100%	+0%	-298 m	0 m	31	237(-11%)	9	230(-13%)
k) complete Antarctic sea ice	2.20/0.80/1.2	0%/45%/35%	0.395	+40	+0%	-100%	+0%	-298 m	0 m	31	237(-11%)	9	230(-13%)
l) cell decoupling (40Sv)	2.10/0.80/1.2	50%/15%/15%	-0.175	-10	+0%	+104%	+0%	-1306 m	0 m	180	309(+11%)	38	268(+4%)
m) cell decoupling (20Sv)	2.10/0.80/1.2	50%/15%/15%	-0.175	+0	+0%	+0%	+0%	-941 m	0 m	180	322(+15%)	28	288(+3%)
n) cell decoupling with P deepening	2.20/0.70/1.1	50%/15%/15%	-0.175	-2	+0%	+0%	-9%	-1292 m	249 m	180	320(+14%)	38	275(-2%)
o) Antarctic (-0.4 μmol/kg, 3.5Sv)	1.70/0.80/1.2	15%/35%/30%	0.280	+20	+0%	-45%	+0%	-491 m	0 m	70	238(-10%)	15	226(-15%)
p) Subantarctic & Antarctic	1.85/0.65/0.9	15%/35%/30%	0.400	+14	+50%	-45%	-14%	-1642 m	373 m	29	217(-18%)	48	188(-29%)
q) Subantarctic, Antarctic & P <sub>total</sub> +9%	2.05/0.75/1.1	15%/35%/30%	0.475	+18	+50%	-45%	-5%	-888 m	124 m	29	210(-21%)	27	193(-27%)
r) decoupled, Sub/Antarctic & P <sub>total</sub> +9%	2.15/0.65/1.0	25%/30%/25%	0.413	+14	+50%	-48%	-14%	-1876 m	373 m	19	215(-19%)	55	183(-31%)

**Note:** Based on the results in Tables 1 and 2, the CO<sub>2</sub> effects are given for different hypotheses for the cause of peak atmospheric CO<sub>2</sub> drawdown, such as during the Last Glacial Maximum (LGM, Table 2). The various indicative scenarios shown here are called out by letter throughout the third part of the text. Table 3a indicates the minor effect of the combined changes in temperature (3.3 °C cooling), sea-level (125-m drop), and land carbon storage (350 PgC decrease). Table 3b indicates the potential for a whole-ocean phosphate (P<sub>total</sub>) increase to shoal the steady state lysocline to counter lysocline deepening from other hypotheses. Table 3c–r summarize the effects of changes in the Southern Ocean that have been proposed to be central to ice age

al., 2001). It has long been expected that  $N_2$  fixation would increase in the face of fixed nitrogen deficits, acting as a negative feedback to stabilize the ocean's fixed N reservoir at a given relationship to the P reservoir (Broecker, 1982a, 1982b; Deutsch et al., 2004; Schindler, 1977; Tyrrell, 1999). The ocean's spatial distribution of  $N_2$  fixation (Deutsch et al., 2007; Marconi et al., 2017) and regional changes in  $N_2$  fixation over glacial cycles (Ren et al., 2009, 2012, 2017; Straub et al., 2013) suggest that this feedback acts on adequately short spatial and temporal scales to maintain a consistent relationship between nitrogen and phosphorus reservoirs on glacial/interglacial time scales. This argues against N reservoir change as a major, independent driver of glacial/interglacial  $CO_2$  change, refocusing attention on the oceanic dissolved phosphorus inventory.

Based on Broecker's first paradigm for the biological pump (Figure 4a), a mean ocean dissolved phosphate concentration increase of approximately  $1 \mu\text{mol/kg}$  (+45%) could explain LGM  $CO_2$  drawdown. However, the quasi-global increase in export production required in this hypothesis (Figure 7c) is inconsistent with available reconstructions of export production proxy records from the low latitudes (Sigman & Haug, 2003 and references therein). Moreover, complete consumption of the major nutrients only applies to the low-latitude surface because phytoplankton in high-latitude surface regions tend to be limited by some combination of light and trace nutrients (most importantly, iron). As a result, a given increase in ocean phosphate would not lead to a proportionally equivalent strengthening of the soft-tissue pump (Sigman et al., 1998). For the example given in Table 3b, a  $0.4 \mu\text{mol/kg}$  (+18%) ocean phosphate increase raises regenerated phosphate by only  $0.13 \mu\text{mol/kg}$ , with preformed phosphate increasing by  $0.27 \mu\text{mol/kg}$ .

Moreover, because the low-latitude ocean hosts most of the surface  $CaCO_3$  production and seafloor burial in the global ocean, an increase in low-latitude biological production would likely strengthen not just the soft-tissue pump but also the carbonate pump. The strengthening of the carbonate pump would counteract the decrease in atmospheric  $CO_2$  due to the soft-tissue pump. For the example given in Table 3b, a  $0.4 \mu\text{mol/kg}$  (+18%) phosphate increase raises regenerated  $CaCO_3$  by  $+4 \mu\text{mol/kg}$  (+16%) in proportion to productivity; as a result, the  $CO_2$  reduction due to the combined direct effects of soft-tissue and carbonate pump is only  $-20 \text{ ppm}$  (-7%, Table 3b). Because the "rain ratio" of  $CaCO_3$  and soft-tissue organic carbon (Corg) from the low-latitude surface to the deep ocean is roughly 1:1, any proportional change in export would fail to cause a transient seafloor  $CaCO_3$  dissolution event (Box 2), resulting in only a negligible additional  $CO_2$  decline from  $CaCO_3$  compensation in this same example scenario (Equation 18d; Table 3b). Finally, an increase in surface  $CaCO_3$  export also raises the burial of  $CaCO_3$  on the seafloor above the lysocline, causing excess  $CaCO_3$  burial and ocean alkalinity loss until the CSH and lysocline have adjusted to a shallower steady-state depth (Sigman & Boyle, 2000; Sigman et al., 1998; Equation

19b). In the example in Table 3b, because  $CaCO_3$  rain is 18% greater, the ocean must lose  $20 \mu\text{mol/kg}$  of dissolved  $CaCO_3$  for the steady-state lysocline to shoal by approximately 500 m so as to rebalance the ocean's  $CaCO_3$  budget. The loss of ocean alkalinity from this process works to raise atmospheric  $CO_2$ . Taking all processes into consideration, the net atmospheric  $CO_2$  reduction is only about 1 ppm (-0%; Table 3b). This simplified scaling relationship assumes a constant deep ocean  $CaCO_3$ -to-Corg rain ratio near 1 (see Table 9.4.1 in Sarmiento & Gruber, 2006; Milliman et al., 1999), and ocean models can show larger net  $CO_2$  decreases depending on their respective representations of the soft-tissue and carbonate components of the biological pump (Box 1; Hain et al., 2010, 2014). However, under the reasonable assumption that productivity in nutrient-rich high-latitude ocean regions would not respond to an increase in the ocean phosphate reservoir (because of a lack of phosphate limitation in those waters), it captures the limited capacity of an ocean phosphate change to lower atmospheric  $CO_2$  (Sigman et al., 1998).

Other changes, as well as combinations of changes, in low-latitude biological production have been considered as possible drivers of  $CO_2$  reduction during ice ages. One such proposal is that organic matter decomposed more slowly as it was sinking through a colder ice age water column (Boyle, 1988a, 1988b; Kwon et al., 2009; Matsumoto, 2007), thereby reaching greater depth and differentially concentrating respired carbon and nutrients at the depth of the lysocline, causing a  $CaCO_3$  compensation event in which deep seafloor  $CaCO_3$  burial transiently decreases. Deeper remineralization of the organic matter sinking flux would have shifted nutrients out of the upper ocean, reducing low-latitude biological production and thus the  $CaCO_3$  sinking flux, in which case this change would have caused a deepening of the steady-state lysocline (Sigman et al., 1998), which is not observed. This violation of observations could be avoided if deeper organic matter remineralization (e.g., Table 3c,d,n) is somehow paired with some mechanism for an increase in low latitude biological production (e.g., due to an increase in ocean phosphate), in which case the observation of a relatively conserved steady-state lysocline is not violated (Table 3q,3r). As a similar example, enhanced low latitude biological production could be paired with a reduction in the  $CaCO_3$ -to-Corg rain ratio, effectively holding constant the per area rate of  $CaCO_3$  rain (Equations 18a, 18b, and 19) and thus the steady-state lysocline depth (Sigman et al., 1998). However, as described earlier, there is not compelling evidence for such low-latitude biological changes (Hernández-Almeida et al., 2019; Sigman & Haug, 2003).

### **High-Latitude Ocean Hypotheses**

For the purposes of this article, the "polar ocean" refers to the high-latitude regions where surface waters are cold and dense enough to readily exchange with water masses of the deep ocean and even form new deep-water masses, in contrast to the warm low/mid-latitude regions where the exchange between surface and interior waters is slow (Figure

4b). Furthermore, the rapid rate of nutrient supply to the surface in the polar ocean typically outstrips the capacity of the surface ocean ecosystem to consume it, with phytoplankton growth often limited by light and/or the trace metal nutrient iron (e.g., Moore et al., 2013). As a result, the major nutrients N and P go unused for carbon sequestration by the biological pump, leading them to elevate the ratio of preformed to regenerated nutrients in the ocean interior, allowing regenerated CO<sub>2</sub> to leak back to the atmosphere, and thus raising atmospheric CO<sub>2</sub>. Any change in the ratio of polar nutrient upwelling to export production modifies the degree of surface nutrient consumption, the preformed nutrient concentration of the deep ocean, and hence the overall efficiency of the biological pump, separate from any change in the ocean's overall nutrient inventory (Keir, 1988; Knox & McElroy, 1984; Marinov et al., 2006; Marinov, Follows, et al., 2008; Marinov, Gnanadesikan, et al., 2008; Sarmiento & Toggweiler, 1984; Siegenthaler & Wenk, 1984; Toggweiler, 1999; Toggweiler & Sarmiento, 1985). These concepts have become the cornerstone for the development of detailed polar ocean-centered hypotheses for glacial CO<sub>2</sub> drawdown (reviews by Fischer et al., 2010; Galbraith & Skinner, 2020; Hain et al., 2014; Kohfeld & Ridgwell, 2009; Sigman & Boyle, 2000; Sigman & Haug, 2003; Sigman et al., 2010, 2021). Moreover, they have motivated paleoproxy reconstructions of the conditions in polar ocean surface waters and the deep ocean over glacial-interglacial cycles (Figure 9).

#### Subantarctic Iron Fertilization

Two discoveries—(a) that polar ocean productivity in the early 21st century is in part limited by the deficiency of the trace nutrient iron (Landing & Bruland, 1987; Martin & Fitzwater, 1988; Martin & Gordon, 1988) and (b) that the ice age atmosphere was dustier (De Angelis et al., 1987)—led John Martin to propose that iron-bearing dust fertilized the Southern Ocean so as to draw down CO<sub>2</sub> during the ice ages (Martin, 1990; Figure 7d). Subsequent work confirmed a much dustier ice age atmosphere (Kohfeld & Harrison, 2001; Lambert et al., 2008, 2021; Maher et al., 2010; Mahowald et al., 1999; Vallelonga et al., 2013). Coupled reconstructions of dust-borne iron supply, biological productivity, and the degree of nitrate consumption have yielded strong support for the iron fertilization hypothesis (Chase et al., 2001; Kumar et al., 1995; Martinez-Garcia et al., 2009, 2014; Robinson, Sigman, et al., 2005; Figure 9f). However, the region of enhanced productivity during peak ice ages was found to be only the Subantarctic Zone, the northern portion of the Southern Ocean that is under the strong westerly winds and directly downwind of dust sources in Patagonia and Australia (Kohfeld et al., 2005, and references therein).

The Subantarctic is an important pathway by which nutrients from the deep ocean are supplied to the low-latitude surface ocean (Fripiat et al., 2021; Marinov et al., 2006; Palter et al., 2010; Sarmiento et al., 2004), as part of the “upper cell” of ocean overturning that ultimately feeds NADW formation (Figure 4c). Subantarctic nutrient

drawdown would therefore reduce the preformed nutrient burden of the ocean volume ventilated by the Subantarctic (~20% of ocean volume in the early 21st century) and, to some degree, also the volume ventilated by the North Atlantic (~25%). However, by concentrating the ocean's nutrient inventory in the deep ocean, it would also increase nutrient supply via the “lower cell” of ocean overturning to the more poleward Antarctic zone of the Southern Ocean surface, raising the unused nutrient concentration where AABW is formed (Table 3c,d). Including these downstream effects, the capacity of Subantarctic iron fertilization to make the soft-tissue pump more efficient is limited. At the same time, the reduction in upper ocean nutrients would cause a reduction in low-latitude biological productivity (Figure 7d; Marinov et al., 2006), which may also reduce the low-latitude CaCO<sub>3</sub> rain, weakening the carbonate pump, such that a Subantarctic phosphate drawdown of 0.3–0.6 μmol/kg would yield a net direct CO<sub>2</sub> drawdown of 12–22 ppm (–4 to –7%) before CaCO<sub>3</sub> compensation (Table 3c,d). In addition, the reduction in low-latitude productivity and CaCO<sub>3</sub> rain to the seafloor would reduce CaCO<sub>3</sub> burial to make the global ocean more alkaline until the steady-state lysocline had deepened by approximately 370–750 m, decreasing atmospheric CO<sub>2</sub> in the process. Including alkalinity changes from the CaCO<sub>3</sub> compensation of the biological pump (Equations 16d, 16e) and from steady-state lysocline deepening results in the potential for 34–62 ppm CO<sub>2</sub> drawdown (–11% to –20%; Table 3). Similar sensitivities of CO<sub>2</sub>

Subantarctic nutrient drawdown in carbon cycle models that include some or all of these effects (Hain et al., 2010; Joos et al., 1991; Marinov et al., 2006; Parekh et al., 2008; Ridgwell, 2003b; Sarmiento et al., 2004; Watson et al., 2000) support the view that the dust-borne Subantarctic iron fertilization hypothesis as envisaged by Martin (1990) can explain a significant part—although probably not more than half—of the peak ice age CO<sub>2</sub> drawdown (e.g., Röthlisberger, 2004). The steady-state lysocline deepening arguably risks violating the observational constraint of little steady-state lysocline change. However, low-latitude changes that might have offset this effect, such as a modest ocean nutrient inventory increase (Figure 7c), have been described.

#### Antarctic Mechanisms of CO<sub>2</sub> Drawdown

The Antarctic Zone of the Southern Ocean is the surface source region for about 35% of the global ocean's interior volume including through the formation of AABW (Broecker et al., 1985; Gebbie & Huybers, 2010; Primeau et al., 2013; Rae & Broecker, 2018; Table 3a). Due to this role of the Antarctic Zone in ventilating the deep ocean, if iron fertilization is also applied to spur productivity in the Antarctic Zone (i.e., Figure 7d), the full ice age CO<sub>2</sub> drawdown would easily be in reach (Marinov et al., 2006; Table 3e,f). However, in contrast to the evident increase in biogenic fluxes in the ice age Subantarctic Zone, available reconstructions consistently indicate lower productivity

across the ice age Antarctic Zone (François et al., 1997; Jaccard et al., 2013; Kohfeld et al., 2005; Mortlock et al., 1991; Weber et al., 2022), although some researchers have questioned this interpretation (Abelmann et al., 2006; DiTullio et al., 2000; Moore et al., 2000). Remarkably, nitrogen isotopic measurements of bulk sediment and fossil-bound organic matter indicate that Antarctic Zone nitrate consumption was more complete during the ice ages, despite the apparently lower productivity. The combination of more complete nitrate consumption and lower productivity suggests that gross nitrate supply was reduced (Ai et al., 2020; François et al., 1997; Robinson & Sigman, 2008; Sigman et al., 1999, 2021; Studer et al., 2015; Wang et al., 2017; Figure 9e). That is, major nutrient supply declined, as did productivity, but productivity declined less, so as to yield more complete nutrient consumption and lower surface nutrient concentrations relative to deep water. The reduction in nutrient supply appears to require slowed input of subsurface water into the Antarctic surface (Kemeny et al., 2018) and has been referred to as Antarctic surface “stratification” (François et al., 1997) or “isolation” (Sigman et al., 2021; Figure 8a). Why productivity would decline less than the major nutrient supply in the ice age Antarctic is an important question, likely involving the role of iron as a limiting nutrient in the region (Boyd & Ellwood 2010; Lefèvre & Watson, 1999; Rafter et al., 2017; Studer et al., 2015).

There is great potential for CO<sub>2</sub> drawdown associated with changing the Antarctic surface water entering the deep ocean from its Holocene condition of nutrient-richness to being nutrient-poor: All else equal, an Antarctic surface phosphate decrease from 2.1 to 1.3 μmol/kg lowers atmospheric CO<sub>2</sub> by 66 ppm (–24%, Table 3f). This potential is due to several considerations. First, there is little CaCO<sub>3</sub> production in the Antarctic Zone, so the enhanced nutrient drawdown is not associated with enhanced surface alkalinity drawdown (i.e., no strengthening of the carbonate pump) or with significantly higher CaCO<sub>3</sub> flux to the deep ocean (i.e., no forced shoaling of the steady state lysocline; cf. Broecker & Peng, 1989; Hain et al., 2010). Second, the organic carbon flux is regenerated in waters that communicate readily with the abyssal water that bathes the global lysocline, maximizing the CaCO<sub>3</sub> compensation-driven CO<sub>2</sub> decline (Box 2). These factors are in contrast with an enhancement of the low latitude biological pump, which (a) would tend to strengthen the carbonate pump due to an associated increase in CaCO<sub>3</sub> rain, which in itself raises atmospheric CO<sub>2</sub>, and (b) would send sinking matter to the deep ocean with a CaCO<sub>3</sub>-to-Corg ratio near 1, which prevents it from driving significant CO<sub>2</sub> reduction through CaCO<sub>3</sub> compensation (Hain et al., 2011; Sigman et al., 1998).

However, significant Antarctic nutrient drawdown requires either (a) a large increase in Antarctic productivity (Table 3e,f,g; Figure 7d) or (b) a substantial decline in gross nutrient supply from the ocean interior to the Antarctic surface (François et al., 1997; Kemeny et al., 2018; Figure 8a).

The first option, when considered alone, is counter to the observations of an ice age reduction in export production. As described above, there is a scenario that is, in some sense, a composite of the two options: Both nutrient supply and productivity declined, but nutrient supply declined more, yielding both a reduction in the nutrient content of Antarctic surface waters and the importance of this water in ventilating the ocean interior. This scenario (Table 3o; cf., Hain et al., 2010) involves large reductions in Antarctic overturning (–82%), Antarctic deep ocean ventilation (–20% of ocean volume vacated), productivity (–45%), and Antarctic preformed phosphate (from 2.1 to 1.7 μmol/kg), resulting in a net atmospheric CO<sub>2</sub> reduction of 41 ppm (–15%).

Importantly, in this calculation, the circulation-driven reduction in nutrient supply to the Antarctic surface must be coupled to a reduction in the flux of the Antarctic surface waters back into the deep ocean, such as in the process of AABW formation. Otherwise, its CO<sub>2</sub> effects would be similar to, and redundant with, those of Subantarctic iron fertilization, such that their respective CO<sub>2</sub> drawdown terms would not be additive (Hain et al., 2010). Much of the CO<sub>2</sub> decline associated with the scenario of Table 3o derives from this reduction in deep ocean ventilation. If the rate of exchange between the Antarctic surface and the deep Southern Ocean declines, more of the global deep ocean water is ventilated by (i.e., “comes from”) the North Atlantic and Subantarctic surface—a framing of ocean ventilation as the competition between preformed nutrient-rich and -poor ventilation sources (Figure 4c; Bolin & Stommel, 1961; Hain et al., 2010, 2014; Kwon et al., 2012; Sigman & Haug, 2003; Sigman et al., 2010; Stommel & Arons, 1959; Toggweiler et al., 2003). The proposed change has been categorized as an “Antarctic barrier mechanism” (Archer et al., 2003), in which the communication between the deep ocean and the atmosphere through the Antarctic surface undergoes an ice age reduction.

In isolation, eliminating Antarctic overturning and deep ventilation (from 35% to 0%) lowers the preformed nutrient content of the ocean by almost 0.4 μmol/kg phosphate, thus strengthening the soft tissue pump (Figure 6) so as to lower atmospheric CO<sub>2</sub> by 36 ppm (–13%, Table 3h–j). This mechanism is less effective at lowering atmospheric CO<sub>2</sub> than is Antarctic surface nutrient decline alone, as shown earlier (Table 3e–g *versus* 3h–j). This is because the reduced Antarctic ventilation strengthens the carbonate pump in step with the soft-tissue pump and leads to a weaker CaCO<sub>3</sub> compensation response (Hain et al., 2010; Kwon et al., 2012; Table 3h–j). Moreover, it is not additive with the surface nutrient depletion mechanism (Table 3e–g): In the end-member limit where the Antarctic surface does not ventilate the ocean interior, Antarctic surface nutrient conditions do not affect the soft-tissue pump (Hain et al., 2010; e.g., Table 3o). That is, Antarctic nutrient drawdown and reduction in Antarctic ventilation of the ocean interior undercut each other’s leverage to set the deep ocean’s preformed nutrient burden. As a result, given



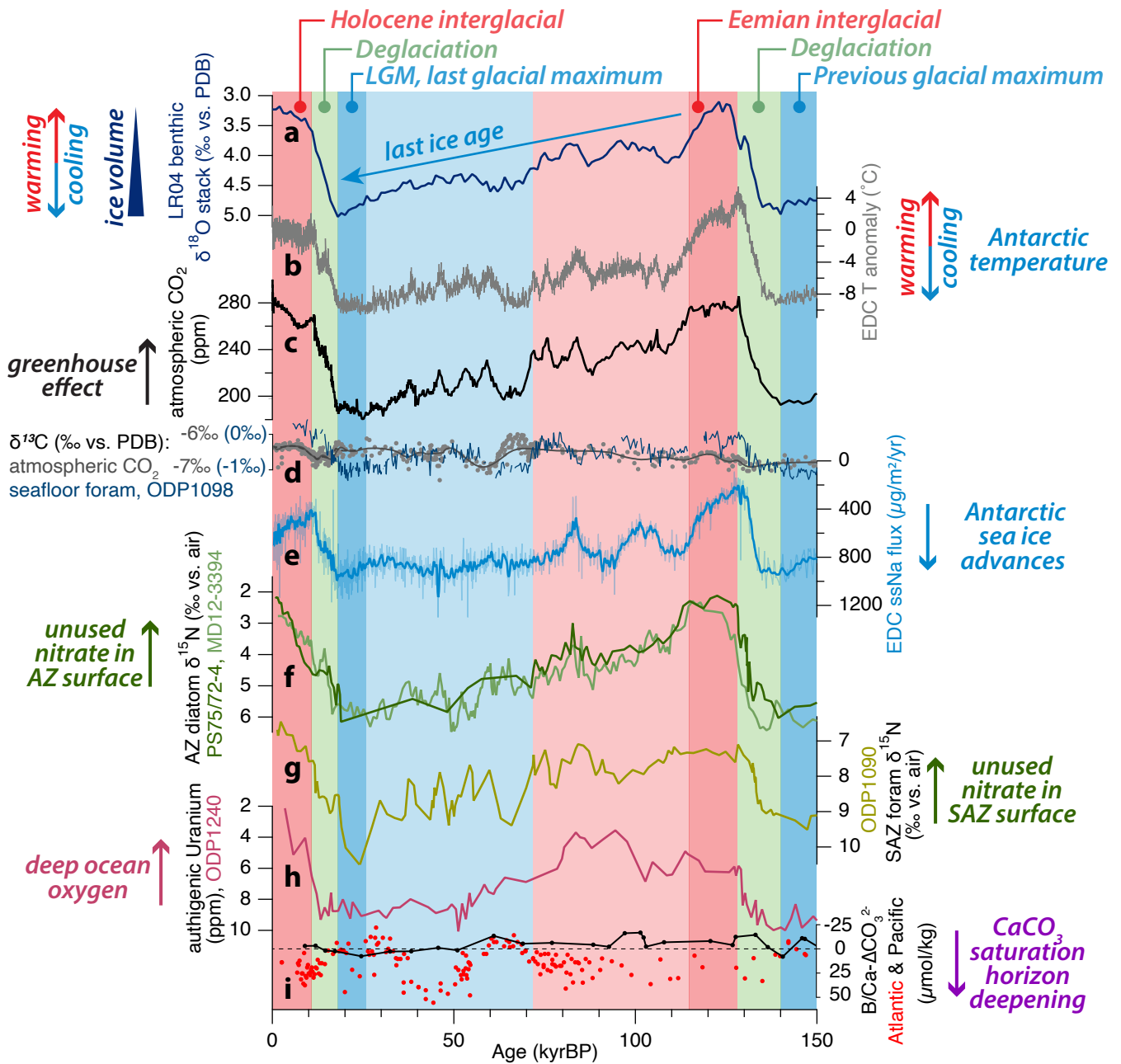
the observational constraint of reduced Antarctic productivity during the ice ages, it appears unlikely that the composite Antarctic CO<sub>2</sub> drawdown exceeded 35–40 ppm (–10% to –15%; Hain et al., 2010; Table 3h–j,o).

#### Antarctic Hypotheses: Ocean Circulation Versus Air–Sea Gas Exchange

A number of physical mechanisms have been proposed to change ocean circulation so as to yield Antarctic surface “isolation” during the ice ages. These mechanisms operate by altering the vertical density structure of the Antarctic water column (de Boer et al., 2007; Sigman et al., 2004), with increased sea ice cover (Bouttes et al., 2010; Watson et al., 2015) or reduced wind-driven upwelling often proposed as the instigator (Ai et al., 2020; Anderson et al., 2009; Menviel et al., 2018; Sigman & Boyle, 2000; Sigman et al., 2021; Toggweiler et al., 2006). Changes in deep ocean conditions have also been proposed to reduce the rate of deep ocean ventilation by the Antarctic Zone, largely by slowing the loss of dense water from the abyssal ocean (de Boer & Hogg, 2014; Lund et al., 2011; Watson & Naveira Garabato, 2006). The critical challenge for all of these hypotheses is that they are not supported by ocean general circulation models: Under ice age boundary conditions, most models have the tendency to increase the exchange of water between the Antarctic surface and the underlying deep ocean, not decrease that exchange (Galbraith & Laverne, 2019; Jansen, 2017; Jansen et al., 2018; Otto-Bliesner et al., 2007; Stouffer & Manabe, 2003; see also Lhardy et al., 2021b; Figure 8d).

An alternative Antarctic “barrier mechanism” for reducing CO<sub>2</sub> leakage from the deep ocean to the atmosphere is that of reduced air–sea CO<sub>2</sub> exchange due to enhanced sea-ice cover (Stephens & Keeling, 2000; Figures 8c and 9e). If sea ice cover was nearly complete in the region of the Antarctic forming deep water during the ice ages, then it could have reduced CO<sub>2</sub> outgassing and thus the concentration of atmospheric CO<sub>2</sub>. In this hypothesis, the CO<sub>2</sub> was sequestered in another part of the ocean by the soft-tissue component of the biological pump, but it was retained in the sea ice–covered Antarctic surface water even as its nutrients went unused. The potential for CO<sub>2</sub> storage by this hypothesis, taken to completion, is effectively equivalent to that of complete isolation of the Antarctic surface from the underlying ocean interior (Table 3j vs. Table 3k), sharing the same limited and mutually exclusive potential to effect atmospheric CO<sub>2</sub> drawdown, unlikely more than 37 ppm (–13%; Table 3). The main difference between these two Antarctic barrier mechanisms—reduced surface–deep exchange and sea ice–induced reduction in gas exchange—is that the barrier to communication with the atmosphere is at the top or bottom of the Antarctic surface mixed layer, respectively (Figure 8a vs. 8c). This difference has few implications for the carbon chemistry of the atmosphere and ocean at the large scale. Similarly, Antarctic sea-ice cover and Antarctic nutrient consumption undercut each other's leverage to effect CO<sub>2</sub> decline (Hain et al., 2010). Sea ice

retains upwelled respired carbon in Antarctic surface waters in form of “disequilibrium carbon” (e.g., Galbraith & Skinner, 2020; Khatiwala et al., 2019; Williams & Follows, 2011) whereas nutrient consumption removes disequilibrium carbon storage and would even generate negative disequilibrium carbon in the Antarctic surface under high productivity scenarios (e.g., Table 3g; Hain et al., 2010; Wu et al., 2019). Both of the Antarctic barrier mechanisms—controlled by circulation or sea ice cover (Figure 8a vs. Figure 8c)—suffer from the fact that the carbonate pump is strengthened along with the soft-tissue pump. As a stronger carbonate pump works to raise atmospheric CO<sub>2</sub>, this effect reduces the net atmospheric CO<sub>2</sub> drawdown (Figures 3, 6; Box 1). Estimates of this effect depend strongly on how ocean models simulate CaCO<sub>3</sub> fluxes and seafloor processes. In particular, models with insufficient CaCO<sub>3</sub> sinking flux to the deep ocean underrepresent the carbonate pump and thereby overestimate the potential of the Antarctic barrier mechanisms to lower atmospheric CO<sub>2</sub> (supplement to Hain et al., 2010).



**Figure 9. CO<sub>2</sub>-relevant records over the last ice age cycle.** Ice age cycles of the last million years have broadly followed a pattern of long-term cooling, ice growth, and CO<sub>2</sub> reduction to yield glacial maxima, which are followed by abrupt glacial terminations that yield short-lived interglacial climate conditions similar to preindustrial times (Figure 1). The best studied of these glacial cycles is the last one. The previous glacial maximum (~140 kyrBP; 140 thousand years before present) gave rise to deglaciation and ice age termination II (~125–135 kyrBP) and the Eemian interglacial (~115–125 kyrBP). The climate system then trended back toward ice age conditions, with three distinct episodes of cooling, ice sheet growth, and CO<sub>2</sub> reduction (at ~115, 70–80, and 20–30 kyrBP). This last glacial cycle culminated in the Last Glacial Maximum (LGM, ~20 kyrBP), followed by deglaciation and ice age termination I (~10–20 kyrBP) and then the current interglacial (the Holocene; since ~11 kyrBP). These broad global patterns are evident in reconstructions of ocean temperature and ice volume (a), Antarctic air temperature (b), and atmospheric CO<sub>2</sub> (c) (Bereiter et al., 2015; EPICA Community Members, 2004, 2006; Lisiecki & Raymo, 2005). The lowest recorded temperatures and CO<sub>2</sub> correspond to glacial maxima (dark blue background shading), after which temperature and CO<sub>2</sub> rise during intervals of deglaciation that terminate ice ages (light green shading), leading to the highest recorded temperatures and CO<sub>2</sub> during the brief warm interglacial periods (dark red shading)—with a stable climate/CO<sub>2</sub> relationship extending back throughout the ice core record (Figure 1; Siegenthaler et al., 2005). Shown here are several data sets relevant to the origin of the glacial/interglacial change in atmospheric CO<sub>2</sub> (d–i). Comparison of deep ocean (Hodell et al., 2003) and atmospheric (Eggleston et al., 2016; Elsig et al., 2009; Menking et al., 2022; Schmitt et al., 2012; Schneider et al., 2013) carbon isotope reconstructions (d) suggests there was more respired carbon (with its low δ<sup>13</sup>C) in the deep ocean during peak ice age conditions than during interglacial times. Many hypotheses for ice age CO<sub>2</sub> change involve the Southern Ocean, as the modern Southern Ocean is characterized by incomplete consumption of major nutrients (nitrogen and phosphorus) by phytoplankton, representing a “missed opportunity” for carbon sequestration via the biological pump (Box 1; Sigman et al., 2010). (continues next page)

**Figure 9. (continued)** The nitrogen isotopic composition ( $\delta^{15}\text{N}$ ) of organic matter preserved within plankton fossils is a proxy for the degree of Southern Ocean surface nutrient consumption (Altabet & Francois, 1994; Shemesh et al., 1993; Sigman et al., 1999), where higher  $\delta^{15}\text{N}$  reflects more complete nutrient consumption, with a smaller proportion of nutrients left unused in the surface. Fossil-bound  $\delta^{15}\text{N}$  records from the Southern Ocean, both the SAZ (g) and AZ<sup>ii</sup> (f), indicate a more efficient biological pump during ice ages (Ai et al., 2020; Martinez-Garcia et al., 2014; Studer et al., 2015). SAZ productivity increases during ice ages (Kumar et al., 1995; Martinez-Garcia et al., 2009), consistent with dust-borne iron fertilization driving the enhanced nutrient consumption. In contrast, even though Antarctic  $\delta^{15}\text{N}$  indicates that nutrient supply to the AZ surface was more completely consumed during ice ages, it appears that AZ productivity was reduced (François et al., 1997; Mortlock et al., 1991). This combination suggests that the ice age AZ was characterized by reduced upwelling and/or overturning, leading to a reduction in the leakage of biologically stored carbon. An alternative AZ-focused mechanism for CO<sub>2</sub> drawdown is a sea ice-induced restriction of air-sea CO<sub>2</sub> exchange (Stephens & Keeling, 2000), a possibility supported by evidence for ice age sea ice expansion from sea salt-sodium concentration in Antarctic ice cores (e, Fischer et al., 2007; Fischer et al., 2021; Röthlisberger et al., 2010; Wolff et al., 2006) and other data. Findings of an ice age decline in deep ocean O<sub>2</sub> (h; Jacobel et al., 2020) and in preformed phosphorus (Vollmer et al., 2022) provide observational support for an ice age increase in the biologically stored carbon in the deep ocean or its conversion to disequilibrium-stored carbon by a failure to vent to the atmosphere across the AZ air-sea interface. Reconstructed changes in deep ocean CaCO<sub>3</sub> saturation (i) are significant in the Atlantic (Sosdian et al., 2018) but minimal in the Pacific (Kerr et al., 2017). The Southern Ocean-centered dynamics responsible for the progressive CO<sub>2</sub> decline going into long ice ages may be different from the dynamics of abrupt glacial termination, where Southern Ocean CO<sub>2</sub> release may have been caused by a sequence of events that began with a change in the northern ice sheets.

### Deep Ocean Overturning, Ventilation, and Carbon Storage

In considering the role of the polar ocean in glacial/interglacial CO<sub>2</sub> decline, the focus so far has been on the conditions and fluxes of the upper ocean. This is appropriate, as the ocean surface layer and its communication with both the overlying atmosphere and the underlying ocean interior are responsible for the effect that the polar ocean has on atmospheric CO<sub>2</sub>. Nonetheless, the ocean interior is the ultimate site of the ocean's CO<sub>2</sub> storage, and its physical and chemical conditions record the net outcome of the upper ocean's fluxes of heat, salt, carbon, alkalinity, and nutrients. Thus, evidence of deep ocean change is central to the effort to understand how the ocean worked to lower atmospheric CO<sub>2</sub> during the ice ages.

Collectively, the data have yielded a rough consensus for the geometry and composition of the deep ocean during at least the last ice age. The “upper cell” associated with NADW formation is reconstructed to have shoaled, leaving the “lower cell” sourced by AABW to take up a larger proportion of the deep ocean (e.g., Adkins, 2013; Curry & Oppo, 2005; Duplessy et al., 1988; Lynch-Stieglitz et al., 2007; Menviel et al., 2017; Figure 8b; see also Figure 4c). The upper cell appears to have been more nutrient-depleted than in the Holocene, perhaps due to a combination of Subantarctic iron fertilization and a circulation-driven reduction in the contribution of AAIW and SAMW to NADW source waters (e.g., Sigman et al., 2003). The lower cell of global ocean overturning appears to have absorbed the nutrients from the upper cell (Boyle, 1988a, 1988b). A lower oxygen concentration in the lower cell and other changes suggest that a large proportion of these nutrients were employed by the remineralization of sinking organic matter (François et al., 1997; Hoogaker et al., 2018; Jaccard et al., 2009; Jacobel et al., 2020; Lu et al., 2022), which would represent a strengthening of the soft-tissue pump (e.g., Kwon et al., 2009; Matsumoto, 2007; Menviel et al., 2012; Vollmer et al., 2022). Moreover, the concentrated carbon storage in the deep ocean near the lysocline depth would have driven CaCO<sub>3</sub> compensation that would have raised whole ocean alkalinity (Boyle, 1988b; Toggweiler, 1999; Yu et al., 2010; Box

2). Radiocarbon data suggest that the lower cell was ventilated only slowly (Galbraith et al., 2007; Rafter et al., 2022; Sikes et al., 2000; Skinner & Bard, 2022; Skinner et al., 2017; Zhao et al., 2018; cf. Broecker & Clark, 2010), which could be due to reduced flow rates of water into the abyssal volume, limited air-sea CO<sub>2</sub> isotopic equilibration in the source region for incoming abyssal water, or both. The resulting picture of the deep ocean appears strongly supportive of Southern Ocean-driven mechanisms for lowering ice age CO<sub>2</sub> (e.g., Anderson et al., 2019; Galbraith & Jaccard, 2015; Hoogaker et al., 2018; Jaccard et al., 2016; Jacobel et al., 2020; Menviel et al., 2017; Rae et al., 2018; Vollmer et al., 2022; e.g., Figure 9h).

Numerical models of ocean circulation would appear to support this “geometry” for the ice age ocean's water masses. In response to glacial boundary conditions (e.g., in temperature, land ice, and orbit), models often spontaneously produce an expanded lower overturning cell sourced from the Southern Ocean, with an upper cell sourced from the North Atlantic that reaches less far into the interior (e.g., Jansen, 2017; Otto-Bliesner et al., 2007; Stouffer & Manabe, 2003). Moreover, studies have suggested physical mechanisms for the “decoupling” of upper and lower overturning cells that might reinforce this tendency (de Boer & Hogg, 2014; Ferrari et al., 2014; Galbraith & Laverigne, 2019; Jansen et al., 2018; Lund et al., 2011; Figure 8b). However, expanded Antarctic ventilation of the deep ocean overturning cell tends to impose the high preformed nutrient burden of the Antarctic surface onto the deep ocean, weakening the soft-tissue component of the biological pump and thereby tending to raise atmospheric CO<sub>2</sub> (Cliff et al., 2021; Galbraith & Laverigne, 2019; Galbraith & Skinner, 2020; Hain et al., 2010; Khatiwala et al., 2019; Kwon et al., 2012; Marinov, Follows, et al., 2008; Marzocchi & Jansen, 2019; Sigman & Haug, 2003; Figure 8b; Table 3l–m). Hence, it is incorrect to assume—as is done commonly in the literature—that expansion of the Antarctic-ventilated lower overturning cell would, by itself, enhance ocean CO<sub>2</sub> sequestration. It has also been proposed that the abyssal water of the lower cell “stagnated” due to extremely slow circulation (Keigwin & Schlegel, 2002; Marchitto et al., 2007;

Sikes et al., 2000; Skinner et al., 2010) and thus accumulated the regenerated products of biological production (Kwon et al., 2011; Skinner et al., 2017; Toggweiler, 1999). However, adding the effect of the carbonate pump associated with the biological  $\text{CaCO}_3$  sinking flux into the deep ocean would yield a nearly equal sequestration of regenerated DIC and ALK in the proposed stagnant abyssal reservoir—leading to little change in atmospheric  $\text{CO}_2$  (Hain et al., 2011; Equation 5f). In the extreme case of perfect isolation of an abyssal volume, its tendency to accumulate regenerated alkalinity and DIC at a ratio greater than 1 would lead to the unobserved phenomenon of abyssal  $\text{CaCO}_3$  deposition, a decline in global ocean alkalinity, and thus a rise in atmospheric  $\text{CO}_2$  (Hain et al., 2011), the opposite of what is required to lower ice age atmospheric  $\text{CO}_2$ . Among the physical ocean modeling community, the specific set of mechanisms that is most often favored for ice age  $\text{CO}_2$  drawdown is that of an abyssal ocean reservoir, in which  $\text{CO}_2$  is trapped due to sea ice cover–driven gas exchange limitation at the Antarctic surface, the “Antarctic barrier” mechanism operating through disequilibrium carbon storage (Marzocchi & Jansen, 2019; Stouffer & Manabe, 2003; Figure 8e). The discussion above has pointed out the limitations on  $\text{CO}_2$  drawdown by this mechanism, which are in part due to  $\text{CaCO}_3$  dynamics, preventing it from achieving more than half of the full ice age  $\text{CO}_2$  drawdown (Hain et al., 2010; Kwon et al., 2011). From a physical perspective, this combination of changes also leads to a somewhat unintuitive situation: An “Antarctic-sourced” abyssal reservoir that was last ventilated outside the Antarctic, in the North Atlantic, or some other region. That is, from the perspective of air-sea  $\text{CO}_2$  exchange, the water in the putative abyssal reservoir would need to have been ventilated largely from the North Atlantic and have entered the abyssal reservoir by ocean interior mixing, across the hydrographic boundary that separates the upper and lower overturning cells (Figure 8e; Toggweiler, 1999).

Given the inherent limitations of the  $\text{CO}_2$  drawdown from Antarctic gas exchange limitation, some studies have combined the mechanism with enhanced nutrient drawdown by Southern Ocean iron fertilization (e.g., Brovkin et al., 2012; Stephens & Keeling, 2000). Indeed, some studies find that, even without an ice age expansion in sea ice cover, the slow rate of gas exchange in the Antarctic Zone is adequate to prevent the evasion of the additional  $\text{CO}_2$  stored from iron fertilization (Ito & Follows, 2013; Khatiwala et al., 2019). Reciprocally, studies arguing for lower surface nutrients in the Antarctic surface have turned to reduced Antarctic surface-to-deep exchange in order to explain the lower biological export production in the region (François et al., 1997; Kemeny et al., 2018; Sigman et al., 2021). In both cases, the net result is the coupling of a biological pump mechanism with an Antarctic barrier mechanism, be it Antarctic surface “isolation” (Figure 8d) or Antarctic air-sea gas exchange limitation (Figure 8e). In summary, there is now substantial evidence that more  $\text{CO}_2$  was stored in the deep ice age ocean and that the Southern Ocean played a major

role in this, but the respective roles of the biological pump versus surface  $\text{CO}_2$  disequilibrium are not yet clear (Sigman & Hain, 2024).

### Deglaciations and Their Implications

The end of the last ice age can be viewed as an abrupt *termination* of a longer cycle or as a short period of transition between climate and carbon cycle states. The approximate 10- kyr interval containing the termination of each of the glacial maxima is known as a *deglacial period* (highlighted by green shading in Figure 9), a colloquial term referring to the age range of geomorphic features and deposits that record most ice sheet and mountain glacier retreat (i.e., deglaciation).

The timing of events at deglaciations, especially the last deglaciation, has been pursued as a source of information on the cause of the ice age cycles in ice volume, climate, and atmospheric  $\text{CO}_2$ , including the mechanistic connections among these cycles. Among the most influential findings is that Antarctica, and the southern hemisphere in general, began warming prior to the first major warming in the northern hemisphere (Jouzel et al., 1995; Sowers & Bender, 1995; WAIS Divide Project Members, 2015). This suggests either that northern hemisphere insolation change associated with deglaciations somehow remotely triggers Antarctic warming, preserving the primacy of the Milankovitch hypothesis that northern summer insolation drives ice ages (Abe-Ouchi et al., 2013; Alley et al., 2002; Broecker & Denton, 1989; Imbrie et al., 1992; Jochum et al., 2012; Kawamura et al., 2007; Figure 10) or that a southern hemisphere insolation trigger must be sought (Galbraith & Laverigne, 2019; Genthon et al., 1987; Held, 1982; Huybers & Denton, 2008; Timmermann et al., 2014; WAIS Divide Project Members, 2013). While most northern hemisphere warming and ice loss appear to occur relatively late in the deglaciation (Fairbanks, 1989), there is evidence for some northern hemisphere ice loss very early in the deglacial sequence, consistent with Milankovitch’s mechanism (Clark et al., 2009). Accordingly, mechanisms have been proposed by which Milankovitch theory could be extended to explain early southern hemisphere warming (Imbrie et al., 1992). A popular proposal is that a nascent deglacial warming in the northern hemisphere triggered a “Heinrich event” at approximately 17 kyrBP, an iceberg discharge into the North Atlantic that shut down NADW formation, causing a cold event in the circum-North Atlantic until 14.7 kyrBP (Clark et al., 2002; Heinrich, 1988; Hemming, 2004; Jouzel et al., 2007; McManus et al., 2004; Robinson et al., 2005). The NADW shut-off would have reduced heat transport from the southern to the northern hemisphere, thus potentially explaining the early warming in the southern hemisphere (Blunier et al., 1998; Clark et al., 2002; Ganopolski & Rahmstorf, 2001; Manabe & Stouffer, 1988; Stocker, 1998; Zhu et al., 2022). There is tentative evidence that some amount of Southern Ocean deglacial change began prior to the onset of North Atlantic cooling associated with this

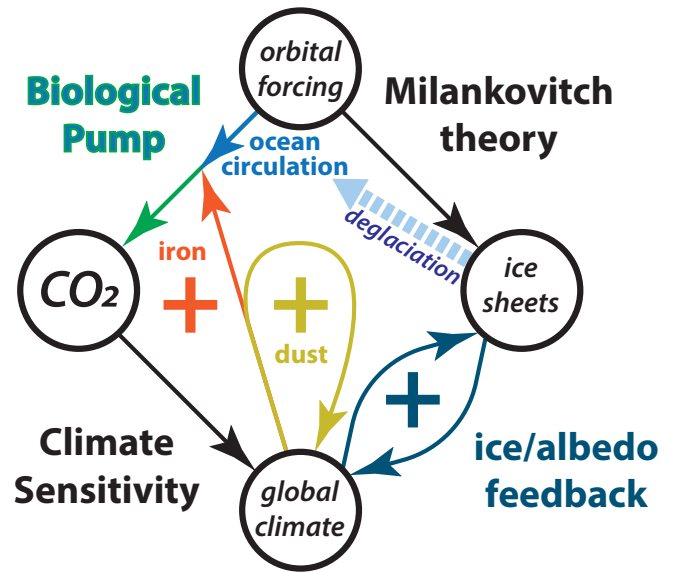
deglacial Heinrich event (Sikes et al., 2023; Stott et al., 2007; Wolff et al., 2006). If so, an additional mechanism must explain these changes, with possible implications for the pacing mechanism of the ice age cycles as a whole (Huybers & Denton, 2008; Timmermann et al., 2009).

Deglacial CO<sub>2</sub> rise is observed to begin nearly in step with Antarctic warming (Monnin et al., 2001). This has been interpreted to indicate a leading, early role for the greenhouse effect of CO<sub>2</sub> in causing global warming and deglaciation (Parrenin et al., 2013; Shakun et al., 2012). Regarding the cause of deglacial CO<sub>2</sub> rise, the timings suggest a direct connection to the Antarctic climate and Southern Ocean changes (Monnin et al., 2001; Schmitt et al., 2012). This might be taken to indicate that the CO<sub>2</sub> feedback is not strongly related to the Milankovitch northern hemisphere ice sheet-based feedback (Figure 10). However, as with southern hemisphere deglacial warming, the Milankovitch mechanism could still have triggered Southern Ocean CO<sub>2</sub> release to the atmosphere, with many proposals positing a role for NADW shutdown as the teleconnection between hemispheres.

Various hypotheses for this linkage call on the Southern Ocean mechanisms for reducing ice age atmospheric CO<sub>2</sub> discussed earlier but operating in the context of deglaciation. For example, the CO<sub>2</sub> increase at the onset of deglaciation might result from the waning of dust-borne iron fertilization (Martinez-Garcia et al., 2014), from declining Antarctic sea ice cover that allowed CO<sub>2</sub> to escape across the sea-air interface (Stephens & Keeling, 2000), or from enhanced Antarctic upwelling and/or deep overturning (Anderson et al., 2009; Burke & Robinson, 2012; Denton et al., 2010; Martínez-Botí, Marino, et al., 2015; Menviel et al., 2011; Rose et al., 2010; Schmittner & Galbraith, 2008; Sigman et al., 2007; Skinner et al., 2010; Tschumi et al., 2011). In general, the data on deglaciations are consistent with a primary role of the Southern Ocean in ice age CO<sub>2</sub> cycles (Figure 9). Ongoing measurement efforts seek to test the range of proposed mechanisms for ice age CO<sub>2</sub> reduction by assessing their potential to explain the timing of deglacial CO<sub>2</sub> rise (Ai et al., 2020; Anderson et al., 2009; Rae et al., 2018). Ultimately, this will require that the data be incorporated into or compared with numerical models of the proposed environmental changes (e.g., Chalk et al., 2017; Gottschalk et al., 2019; Hain et al., 2014; Menviel et al., 2018).

## Perspective

This article documents our evolving understanding of the regulation of atmospheric CO<sub>2</sub> on orbital timescales as part of the natural functioning of marine, terrestrial, and open system carbon cycling at the Earth System scale. The concepts are the product of 200 years of discovery in nearly every aspect of Earth System Science: physical, chemical, and geological oceanography; global biogeochemical cycles and atmospheric chemistry; terrestrial and marine biology; and paleoceanography, paleoclimatology, paleontology, and



**Figure 10. Interactions and feedbacks proposed for the ice age cycles.** Changes in Earth's orbit gradually redistribute solar insolation between seasons and latitude bands, and this orbital forcing somehow paced the rhythm of past ice age cycles. The original proposal by Milankovitch and his associates was that low summer insolation in high northern latitudes favors accumulation of snow and hence ice sheet advance. Any expansion of high-albedo snow and ice cover would cause ice age cooling near that ice and in the global average, thereby amplifying ice sheet advance in a positive ice/albedo feedback. This paradigm was largely accepted by the 1970s. Subsequently, the discovery of glacial/interglacial changes in atmospheric carbon dioxide (CO<sub>2</sub>) indicated a second feedback, driven by the greenhouse effect, with the potential for strong interaction between the two feedbacks. However, the insolation and/or climatic origins of the CO<sub>2</sub> changes remain unclear. Based on the sensitivity analysis and data reviewed here, CO<sub>2</sub> change was probably primarily caused by changes in the ocean's biological pump, likely mediated by the response of the Southern Ocean to orbital forcing. Any global cooling from CO<sub>2</sub> reduction would be amplified by the positive ice/albedo feedback, driving additional ice sheet advance. There is also evidence for a much dustier atmosphere during cold and dry ice ages, which would increase Earth's albedo in positive dust/albedo climate feedback. Moreover, data support the hypothesis that the dust fertilized Southern Ocean phytoplankton growth during peak ice age conditions, acting to strengthen the ocean's biological pump and thus lower CO<sub>2</sub> levels, thereby constituting a positive dust/CO<sub>2</sub> climate feedback. When put in this context, the Milankovitch theory and the CO<sub>2</sub> theory are not in competition, instead acting together to cause the ice age cycles in CO<sub>2</sub>, global climate, and glaciation of the last million years or more. Additional feedbacks between climate, deglacial ice sheet melting, and ocean circulation appear to be important in the abrupt terminations of ice ages (dashed blue arrow), and they may also apply under anthropogenic warming.

Earth history. Advances in geochemical, glaciological, geochronological, and sedimentological methods and in atmospheric and oceanic theory, modeling, and computation have been central to progress.

The ocean's biological pump is likely a critical factor in observed CO<sub>2</sub> changes, with plausible changes achieving reconstructed atmospheric CO<sub>2</sub> reductions during ice ages. The orbital theory and CO<sub>2</sub> theory for repeated ice age cycles in global climate are consistent if orbital change drives atmospheric CO<sub>2</sub> changes that yield positive climate

feedbacks (Figure 10). While CO<sub>2</sub> was clearly a key element of the ice age cycles, it remains unclear whether ice sheet-related feedbacks to orbital forcing trigger the CO<sub>2</sub> feedbacks, *vice versa*, or the two sets of feedbacks are largely independent. Ocean circulation appears to impact long-term CO<sub>2</sub> change more directly than does global climate, with the Southern Ocean surrounding Antarctica emerging as the nexus. The main exception is climate-induced enhancement of atmospheric dustiness, which has both a radiative albedo effect and an apparent CO<sub>2</sub> feedback through iron fertilization of the ocean's biological pump, both amplifying climate change (Figure 10).

This perspective has diverse implications for ongoing fossil fuel CO<sub>2</sub>-driven climate change, three of which are highlighted here. First, as with land carbon release during the ice ages, the vast majority of the CO<sub>2</sub> emitted by humans will be taken up by the ocean (over centuries) and by net dissolution of CaCO<sub>3</sub> to achieve CaCO<sub>3</sub> compensation (over millennia). However, in the early 21st century, fossil fuel emissions far outpace ocean carbon uptake so that anthropogenic CO<sub>2</sub> is accumulating in the atmosphere and surface ocean, where it drives climate change and threatens marine ecosystems with its acidic properties. Both of these adverse conditions would be mitigated by emissions reductions, purposeful ocean alkalinity enhancement, and interventions that speed the transfer of carbon to the deep ocean.

Second, if CO<sub>2</sub> was the primary mediator of ice age climate change but climate sensitivity to CO<sub>2</sub> accounts for only half of ice age cooling, then the global ice sheets and their positive ice albedo feedback (Figure 10) may be more sensitive to greenhouse gas forcing than has been estimated so far. If so, important climate feedbacks may have been missed or underestimated. Continued loss of ice may beget more global warming than expected, with the potential for diverse feedbacks on different timescales.

Finally, glacial/interglacial CO<sub>2</sub> change likely involved the exchange of CO<sub>2</sub> between the atmosphere, polar ocean surface, and the deep ocean, as mediated by the physical, chemical, and biological processes of the polar oceans, especially the Southern Ocean. The questions that persist regarding the specific mechanisms at play—if answered—could plausibly alter climate models' treatment of polar ocean processes. This, in turn, might change the projections for anthropogenic carbon uptake by the ocean as climate warms in the future. In particular, the role that the Southern Ocean played in deep ocean CO<sub>2</sub> storage during the ice ages should clarify whether the circulation of the ocean's deepest, densest waters speeds up or slows down in response to climate warming. The answer to this question has direct implications for the rate with which the ocean will take up anthropogenic CO<sub>2</sub> and global warming heat.

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## List of Symbols

Symbol	Unit	Description	Equations
T, ΔT, δT	°C	Temperature, temperature change, incremental temperature change	1, 8, 16
F <sub>GHG</sub>	W/m <sup>2</sup>	Greenhouse gas radiative forcing	1
λ <sub>ECS</sub> , λ <sub>icesheets</sub>	W/m <sup>2</sup> /°C	Climate feedback parameters related to the radiative forcing caused by a change in global temperatures	1
H <sup>+</sup> , H <sub>2</sub> CO <sub>3</sub> , HCO <sub>3</sub> <sup>-</sup> , CO <sub>3</sub> <sup>2-</sup> , H <sub>3</sub> BO <sub>3</sub> , B(OH) <sub>4</sub> <sup>-</sup>	μmol/kg	Concentrations of dissolved chemical species: total proton, carbonic acid, bicarbonate ion, carbonate ion, boric acid, borate ion	2
CO <sub>2</sub> , δCO <sub>2</sub>	ppm	Dry mixing ratio of carbon dioxide in air or equivalent CO <sub>2</sub> concentration of seawater, incremental CO <sub>2</sub> change	2,4,5
K <sub>1</sub> , K <sub>2</sub> , K <sub>B</sub>	μmol/kg	Acid/base dissociation constants	2,16
K <sub>0</sub>	μmol/kg/ppm	CO <sub>2</sub> solubility constant	2,16
α, β, R, CPF	unitless	Buffer factors: carbonate buffer, borate buffer, Revelle	2,4,5

		CO <sub>2</sub> buffer, fraction of total buffering by carbonate			O <sub>2</sub> saturation with respect to the atmosphere; often referred to as oxygen depletion caused by the oxic respiration of organic matter biomass		
DIC, ALK, δDIC, δALK	μmol/kg	Concentrations of dissolved inorganic carbon species, concentration of alkalinity, incremental changes in DIC and ALK	3,4,5	Si(OH) <sub>4</sub> , SiO <sub>2</sub>	μmol/kg	Seawater concentration of silicic acid, which is used by some plankton to form solid opal hard parts	12
pH <sub>T</sub> , -log <sub>10</sub> H <sup>+</sup>	unitless	Logarithm of total proton concentration	3	Ca <sup>2+</sup> , CaCO <sub>3</sub> , δCa <sub>reg</sub>	μmol/kg	Seawater concentration of calcium ion, which is used by some plankton to form solid calcium-carbonate hard parts, where Ca release of Ca during CaCO <sub>3</sub> dissolution in the ocean interior is counted as an incremental increase in regenerated Ca (analogous to regenerated P in eq.11)	13,16
S, ΔS, δS	unitless	Seawater salinity, salinity change, incremental salinity change	3, 9, 16	K <sub>sp</sub>	(μmol/kg) <sup>2</sup>	CaCO <sub>3</sub> solubility product	14,16
δ <sub>x</sub> CO <sub>2</sub> /C O <sub>2</sub>	unitless	Incremental fractional change in CO <sub>2</sub> in response to a linear incremental change a given factor X, such as DIC, ALK, T, Sal	5, 14, 15	Ω, ΔΩ, δΩ/Ω	unitless	CaCO <sub>3</sub> saturation index, absolute Ω change, incremental fractional Ω change	14, 15,16
Δ <sub>x</sub> lnCO <sub>2</sub>	unitless	Integrated fractional CO <sub>2</sub> change caused by a change in a given factor X	5	ΔCO <sub>3</sub> <sup>2-</sup>	μmol/kg	Net change in bulk ocean carbonate ion concentration	14
N <sub>atm</sub>	mol	Number of gas in the atmosphere excluding water vapor (1.773x10 <sup>20</sup> mol)	6	ΔC <sub>CaCO3</sub> , δCaCO <sub>3</sub>	mol, PgC	Integrated and incremental net dissolution of CaCO <sub>3</sub> into the ocean, convertible between carbon atom number and carbon atom weight with 12 gC per mol C. In our context this refers strictly to the open system alkalinity mass balance of the ocean maintained through CaCO <sub>3</sub> burial.	14, 16
M <sub>oc</sub>	kg	Mass of seawater in the modern ocean	6	A <sub>oc</sub> , A <sub>lyso</sub>	m <sup>2</sup>	Ocean area, seafloor area above or below the lysocline depth	16,18
Δ <sub>A-o</sub> CO <sub>2</sub> , Δ <sub>A</sub> CO <sub>2</sub> , Δ <sub>o</sub> CO <sub>2</sub>	ppm	CO <sub>2</sub> difference between atmosphere and ocean taken to be some initial disequilibrium, atmospheric CO <sub>2</sub> change during equilibration, atmospheric CO <sub>2</sub> change during equilibration	6	V <sub>oc</sub>	m <sup>3</sup>	Ocean volume	16
Φ, Δ <sub>A</sub> CO <sub>2</sub> /Δ <sub>o</sub> CO <sub>2</sub>	unitless	CO <sub>2</sub> partition factor during atmosphere-ocean equilibration (assumed constant 85% in this chapter)	6	F <sub>weath.</sub> , F <sub>prod.</sub> , F <sub>diss.</sub> , F <sub>burial</sub> , δF <sub>burial</sub>	mol/yr, PgC/yr	CaCO <sub>3</sub> fluxes of weathering, production, dissolution, burial, and incremental change in CaCO <sub>3</sub> burial flux, convertible between carbon atom number and carbon atom weight with 12 gC per mol C	17, 18
δC <sub>Land</sub>	mol, PgC	Incremental change in land carbon stock, convertible between carbon atom number and carbon atom weight with 12 gC per mol C	7,14,16	CSH, δCSH, ΔCSH	m	Calcite saturation horizon depth with respect to modern sea level, incremental and integrated increase in CSH depth is often referred to as CSH deepening. CSH is closely tied to the depth of the lysocline	18, 19
δ <sub>x</sub> K/K	unitless	Incremental fractional change in equilibrium constants (see eq.2 and eq.14) in response to a linear incremental change a given factor X, such as T, S, pressure	8,9,18	A <sub>lyso</sub>	m <sup>2</sup>	Seafloor area above or below the lysocline depth, refers to the cumulative hypsometric curve of the deep ocean with a modern sea level datum	18
SL, δSL	m	Sea level, incremental sea level change	9, 16	δA <sub>lyso</sub> /δz	m <sup>2</sup> /m	Incremental change in seafloor area above the lysocline per incremental change in the depth of the lysocline, refers to the hypsometric curve of the deep ocean with a modern sea level datum	18
P, δP, ΔP	μmol/kg	Concentration of phosphorous nutrient, incremental and integrated P uptake during phytoplankton biomass net growth	10,16	T <sub>lysocline</sub>	yr	e-folding timescale for lysocline shoaling/deepening to reestablish balance between alkalinity sources to the ocean and CaCO <sub>3</sub> burial	19
ΔC <sub>P</sub>	mol, PgC	Integrated change in ocean biomass carbon stock in response to integrated net P uptake, convertible between carbon atom number and carbon atom weight with 12 gC per mol C	10				
P <sub>total</sub> , P <sub>preformed</sub> , P <sub>regenerated</sub>	μmol/kg	Total concentration of phosphorous nutrient includes preformed component when unused by phytoplankton in the surface or regenerated when P is released in the ocean interior during biomass decomposition	11,16				
AOU	μmol/kg	Apparent oxygen utilization refers to the deficit in seawater oxygen concentration relative to	11				

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<sup>i</sup> Henry's Law (1803) states that, for ideal gases, the equilibrium concentration of a dissolved gas is proportional to the partial pressure (Dalton, 1802) of that gas in the atmosphere, commonly expressed as a fraction of standard surface air pressure in units of  $\mu\text{atm}$ . The partial pressure of CO<sub>2</sub> corresponds to the product of the total surface air pressure and the mole fraction of CO<sub>2</sub>, commonly expressed in  $\mu\text{atm}$ . Correcting for moisture yields the “dry mixing ratio” of CO<sub>2</sub> ( $x\text{CO}_2$ ), commonly expressed in units of parts per million (ppm, ppmv), which is 0%–2% higher than the “wet”  $p\text{CO}_2$  in their respective units. The effective partial pressure of CO<sub>2</sub> in a solution (“fugacity,”  $f\text{CO}_2$ , in  $\mu\text{atm}$ ) differs from ideal partial pressure ( $p\text{CO}_2$ ) by less than 0.5% (e.g., Weiss, 1974). For example, seawater with a temperature of 5 °C, salinity of 34.7, DIC of 2150  $\mu\text{mol/kg}$  and ALK of 2360  $\mu\text{mol/kg}$  has  $f\text{CO}_2$ ,  $p\text{CO}_2$  and  $x\text{CO}_2$  of 286.5  $\mu\text{atm}$ , 287.7  $\mu\text{atm}$  and 290.1 ppm, respectively. Throughout this article, these minor distinctions are avoided, and CO<sub>2</sub> concentration is simply referred to in terms of atmospheric mixing ratio  $x\text{CO}_2$  in units of ppm, the Earth system property most pertinent to the greenhouse effect and the global carbon cycle mass balance. A constant atmospheric total of  $1.773 \times 10^{20}$  mole N<sub>2</sub>-O<sub>2</sub>-Ar is used (see Table A.1 in Sarmiento & Gruber, 2006).

<sup>ii</sup> Throughout the text, a distinction is made between the Subantarctic Zone (SAZ) and Polar Antarctic Zone (PAZ) of the Southern Ocean, a simplification that is meant to highlight the biogeochemical divide (Marinov et al., 2006) between Southern Ocean deep upwelling that is either bound to head north into the upper cell via the SAZ or south into the lower cell via the PAZ (Box 1; Figures 4c, 7, 8). However, most existing paleoceanographic data relevant to the PAZ are from the open Antarctic Zone (the AZ) where the upwelling occurs, as opposed to the PAZ proper. This explains the usage of AZ in this figure but of PAZ elsewhere.